



AIX-MARSEILLE UNIVERSITÉ
FACULTÉ DE MEDECINE DE MARSEILLE
ECOLE DOCTORALE DES SCIENCES DE LA VIE ET DE LA SANTÉ (EDVS)

THÈSE DE DOCTORAT

Présentée et soutenue le **5 Juillet 2017**

Par

Iman Jaber Dandachi

Multi-Drug Resistant Organisms in Lebanese Livestock

En vue de l'obtention de grade de **Docteur de L'Université de Balamand et d'Aix-Marseille**

Spécialité: Microbiologie, Pathologie humaine et maladies infectieuses.

Membres du Jury de la Thèse

Pr. Jean-marc Rolain, Université d'Aix-Marseille

Directeur de thèse

Pr. Ziad Daoud, Université de Balamand

Directeur de thèse

Dr. Isabelle Kempf, Anses

Rapporteur

Pr. Ghassan Matar, American University of Beirut

Rapporteur

Pr. Philippe Colson, Université d'Aix-Marseille

Examineur

Pr. Claude Afif, Université de Balamand

Examineur

TABLE OF CONTENT

⊙	Avant propos	4
⊙	Résumé/Abstract	5
⊙	Introduction	7
⊙	Chapter I: Review Papers - Description of the prevalence of Beta-lactamase and Colistin Resistant Gram-negative bacilli worldwide.	12
❖	Article 1: Prevalence and emergence of Extended-spectrum cephalosporin-, carbapenem- and colistin- resistant Gram-negative bacteria of animal origine in the Mediterranean basin. Iman Dandachi, Selma Chabou*, Ziad Daoud and Jean-Marc Rolain. Submitted to Frontiers In Microbiology.	16
❖	Article 2: Colistin use in animals: a two side weapon against multi-drug resistant organisms. Selma Chabou, Iman Dandachi*, Ziad Daoud and Jean-Marc Rolain. To be submitted.	70
⊙	Chapter II: Epidemiology of Multi-Drug Resistant organisms in Chicken, pigs and environment in Lebanon.	96
❖	Article 3: Prevalence and characterization of multi-drug-resistant Gram-negative bacilli isolated from Lebanese poultry: A nationwide study. Iman Dandachi, Elie S.Sokhn, Elias A.Dahdouh, Eid Azar, Bassel El-Bazzal, Jean-Marc Rolain and Ziad Daoud. Frontiers in Microbiology 2018;9:550.	100
❖	Article 4: First detection of mcr-1 plasmid mediated colistin resistant E. coli in Lebanese poultry. Iman Dandachi, Thongpan Leangapichart, Ziad Daoud and Jean-Marc Rolain. J Glob Antimicrob Resist 2018 Jan 16.	109
❖	Article 5: Prevalence of multi drug resistance and colistin resistant Gram-negative bacilli In Lebanese swine farms. Iman Dandachi, Elie Fayad, Bassel El-Bazzal, Ziad Daoud and Jean-Marc Rolain. Submitted to Microbial Drug Resistance.	115
❖	Article 6: Dissemination of multi-drug resistant and mcr-1 Gram-negative bacilli in Broilers, farm workers and the surrounding environment in Lebanon. Iman Dandachi, Elie Fayad, Ziad Daoud, Ahmad Sleiman and Jean-Marc Rolain. To be submitted.	136
⊙	Chapter III: Genomic Analysis of a colistin Hetero-resistant Enterobacter cloacae isolate.	161
❖	Article 7: Colistin Hetero-resistance in Enterobacter cloacae from Lebanon mediated by over-expression of acrAB-tolC efflux pump through inactivation of acrR local repressor gene. Iman Dandachi, Sophie Baron, Linda Hadjadj, Ziad Daoud, Seydina M.Dienne, Jean-Marc Rolain. To be submitted	164
⊙	Chapter IV: Collaborative Studies - Surveillance of colistin and carbapenem resistance in patients in Algeria	180
❖	Article 8: Colistin- and carbapenem-resistant Klebsiella pneumoniae clinical isolates, Algeria. Hanane Yousfi, Linda Hadjadj, Iman Dandachi, Rym Lalaoui, Adil Merah, Kamel Amoura, Ahlem	183

Dahi, Mazouz Dekhil, Naima Messalhi, Seydina M.Dienne, Sophie Baron and Jean-Marc Rolain.
Submitted to Microbial Drug Resistance

⊙ Chapter V: Annex - Descripton of Lachnoclostridium Nov. species.	196
❖ Article 9: Genome sequence and description of Lachnoclostridium phoceense isolated from a patient after kidney transplantation in Marseille. Iman Dandachi, Sami Brahimi, Jean-Christophe Lagier, Ziad Daoud, Jean-Marc Rolain. To be submitted.	199
⊙ Chapter VI: Studies conducted in Lebanon during M2 and 1st year PhD Studies -Multi-drug Resistant organisms in Lebanese Nursing Homes.	213
❖ Article 10: Carriage of beta-lactamase-producing enterobacteriaceae among nursing home residents in north Lebanon. Iman Dandachi, Elie S.Sokhn, Elie Najem, Eid Azar and Ziad Daoud International Journal of Infectious Diseases: IJID : Official Publication of the International Society for Infectious Diseases, 45, 24-31. doi:S1201-9712(16)00027-8.	216
❖ Article 11: Fecal carriage of MDROs in a population of Lebanese elderly: Dynamics and impact on bacterial fitness. Caren Challita, Elias Dahdouh, Michel Attiyeh, Iman Dandachi, Elio Ragheb, Roy Taoutel, Carl Tanba and Ziad Daoud. Journal of Infection and Public Health, 10(5), 572-578.	225
❖ Article 12: Competition assays between ESBL-producing E. coli and K. pneumoniae isolates collected from Lebanese elderly: An additional cost on fitness. Nourhane Hafza, Caren Challita, Iman Dandachi, Mounir Bousaab, Elias Dahdouh and Ziad Daoud. Journal of Infection and Public Health. //doi.org/10.1016/j.jiph.2017.09.010 Oct5.2017.	233
⊙ Conclusion and Future Perspectives	240
⊙ Acknowledgements	245

AVANT PROPOS

Le format de présentation de cette thèse correspond à une recommandation à la spécialité Pathologie Humaine, Maladies infectieuses, à l'intérieur du Master des Sciences de la Vie et de la Santé qui dépend de l'Ecole Doctorale des Sciences de la Vie de Marseille.

Le candidat est amené à respecter les règles qui lui sont imposées et qui comportent un format de thèse utilisé dans le Nord de l'Europe et qui permet un meilleur rangement que les thèses traditionnelles. Par ailleurs, la partie introduction et bibliographie est remplacée par une revue envoyée dans un journal afin de permettre une évaluation extérieure de la qualité de la revue et de permettre à l'étudiant de commencer le plus tôt possible une bibliographie sur le domaine de cette thèse.

Par ailleurs, la thèse est présentée sur article publié, accepté, ou soumis associé d'un bref commentaire donnant le sens général du travail. Cette forme de présentation a paru plus en adéquation avec les exigences de la compétition internationale et permet de se concentrer sur des travaux qui bénéficieront d'une diffusion internationale.

Professeur Didier RAOULT

RÉSUMÉ

De nos jours, l'épidémiologie des bactéries multi-résistantes aux antibiotiques a évolué et ne se limite plus aux milieux hospitaliers. En effet, les animaux dont ceux utilisés dans la production alimentaire sont désormais considérés comme d'importants réservoirs de bactéries multi-résistantes, notamment des Bacilles à Gram négatif sécréteurs de bêta-lactamases et/ou résistant à la colistine. L'émergence de ces bactéries multi-résistantes chez les animaux est due principalement à l'utilisation excessive d'antibiotiques en tant que prophylaxie et facteurs de croissance. De plus, certains antibiotiques utilisés chez les animaux le sont également chez les humains tels que la colistine. Le transfert d'organismes multi-résistants aux antibiotiques provenant d'animaux vers les humains est un problème majeur pouvant entraîner de graves infections. La transmission zoonotique se fait principalement par contact direct / indirect mais aussi par voie environnementale. Au Liban, plusieurs études ont été menées dans les hôpitaux et ont montré une prévalence élevée de bactéries multi-résistantes. En revanche, ces études sont rares dans le milieu vétérinaire. Le but de ce travail de thèse est de décrire l'épidémiologie des organismes multi-résistants dans les animaux d'élevage destinés à la consommation au Liban. Pour cela, nous avons tout d'abord déterminé 1) la prévalence nationale du portage intestinal de bactéries résistantes aux bêta lactamines chez les poulets 2) la présence d'une relation entre les organismes multi-résistants chez les poulets et leur milieu environnant direct et en 3) la prévalence des organismes multi-résistants chez les porcs. Le typage des bactéries par MLST, le transfert de plasmides par conjugaison et le séquençage du génome entier ont été utilisés pour décrire la prévalence des organismes multi-résistants et les mécanismes de résistance chez les souches isolées de poulet, de porc, d'éleveur et de l'environnement. Nous pouvons ainsi conclure que les élevages de poulets et de porcs sont de puissants réservoirs de gènes de résistance BLSE et mcr-1 au Liban. La dissémination de la résistance semble être polyclonale et liée à la propagation de plasmides porteurs de gènes de résistance. Par conséquent, l'utilisation de la colistine en médecine vétérinaire au Liban doit être interdite.

Mots-clés: poulets, cochons, mcr-1, ESBL, environnement, agriculteurs.

ABSTRACT

Nowadays, the epidemiology of multi-drug resistance has changed and is no more confined to the hospital settings. Food producing animals are increasingly regarded as potent reservoirs of multi-drug resistant organisms i.e. beta lactamase producers and colistin-resistant Gram-negative bacilli. The emergence of multi-drug resistance in animals is thought to be mainly driven by the overuse of antibiotics as growth promoters and prophylaxis. The dissemination of multi-drug resistant organisms in animals is sparked by the concern of being transferred to humans where they can be candidates for infections with limited therapeutic options. The zoonotic transmission of resistant organisms from animals to humans occurs mainly via direct/indirect contact but also via environmental routes. In Lebanon, several studies were conducted in hospitals and showed a high prevalence of multi-drug resistance; unlikely, these studies are scarce in animals. The aim of this thesis research was thus to describe the epidemiology of multi-drug resistant organisms in Lebanese Livestock via 1) Determination of the nationwide prevalence of multi-drug resistance in poultry in terms of intestinal carriage, 2) Determination if any link exists between the prevalence of multi-drug resistant organisms in chicken and the surrounding environment and 3) Determination of the prevalence of multi-resistant organisms in pigs. Multi-locus sequence typing, conjugation experiments and whole genome sequencing were used to describe the prevalence of multi-drug resistant organisms and the corresponding mechanisms of resistance in the isolated strains from chicken, pigs, farmers and environment. Chicken and swine farms showed to be potent reservoirs of ESBL and *mcr-1* genes in Lebanon. The dissemination of multi-drug resistance appears to be multi-clonal and related to the spread of plasmid carrying resistance genes. Colistin use in veterinary medicine in Lebanon should be banned.

Keywords: Chicken, pigs, *mcr-1*, ESBL, environment, farmers

Introduction

In the 1940s, the discovery of antibiotics was considered as one of the medicine's major achievements that saved millions of lives (1). However, in the past twenty years, bacterial resistance has increased and reduced the efficiency of many antibiotics frequently used in the clinical settings (2). Antibiotic resistance in bacteria can be intrinsic or acquired. Acquired resistance can occur either through sequential mutations within the bacterial cell genome or via the acquisition of resistance genes from another bacterium, the so-called "horizontal gene transfer" (3). The mechanisms of antibiotic resistance in bacteria are manifested by alterations of the antibiotic's target, activation of efflux pumps, changes in the outer membrane permeability or via the secretion of hydrolyzing enzymes (4). Nowadays, vancomycin-resistant Enterococci (VRE), methicillin-resistant *Staphylococcus aureus* (MRSA), multi-drug resistant *Pseudomonas aeruginosa* and *Acinetobacter baumannii*, extended spectrum beta-lactamase producing Enterobacteriaceae (ESBL-PA), carbapenem-resistant Enterobacteriaceae (CRE) and colistin resistant Gram-negative bacilli are among the most common organisms where multi-drug resistance is encountered (5). The over-usage of antibiotics appears to be the main driven for the rapid evolution of resistance in bacteria. Antibiotic overuse creates a selective pressure that favors the proliferation of resistant strains over the susceptible ones provoking thus their dissemination (6).

Nowadays, the animal intestinal microbiota is considered as a potent reservoir of multi-drug resistant organisms as well as an epicenter for gene resistance (7). Antibiotics in livestock are not only administered for therapeutic purposes but are rather also given as growth promoters and for prophylaxis (8). The European centre for disease Prevention and control/ European Food Safety Authority/European Medicines Agency (ECDC/EFSA/EMA) joint report found that the average consumption of antibiotics in animals exceeded the one in humans: 152 mg/kg versus 124mg/kg in 2014 respectively. In this same report, univariate analysis showed a significant correlation between *E. coli* resistance in the animal/human sectors and fluoroquinolones consumption and between tetracyclines and polymyxins and resistant *E. coli* in animals (9). ESBL, ampC and carbapenemase producers as well as colistin resistant Gram-negative bacilli are currently frequently detected in wild type animals, pets and Livestock (10).

In Lebanon, several studies were conducted in the clinical settings and showed an elevated prevalence of ESBL and carbapenemase producing Gram-negative bacilli. One study done at the American University of Beirut Medical Centre reported that between 2008 and 2011, 2.45% of *Klebsiella pneumoniae* and 1.07% of *Escherichia coli* strains were ESBL producers

as well as ertapenem resistant (11). Another study in the north showed that during 2009-2012, 28% and 9% of the bacteremia episodes in febrile neutropenic patients were caused by third-generation cephalosporin and carbapenem resistant Gram-negative bacilli, respectively (12). In animals as well as in the environment, studies addressing multi-drug resistance are scarce in Lebanon. In the environment, Rafei et al reported the detection of *Acinetobacter baumannii* in 7% of water samples, 3% of milk samples, 14% of cheese samples, 8% of meat samples and 8% of animal samples (13). VIM-2 producing *Pseudomonas aeruginosa*, OXA-23/OXA-58 *A. baumannii* as well as OXA-48 carrying *E. coli* strains were previously detected in animals in this country (14, 15). More recently, Diab et al showed a high prevalence of CTX-M-15 producing *E. coli* isolates in Lebanese cattle (16). The epidemiology of ESBL/ampC producers and more importantly colistin-resistant Gram-negative bacilli remains unknown in livestock and the surrounding environment in Lebanon. Hence the aim of this PhD research work was to describe the epidemiology of multi-drug resistant organisms in Lebanese livestock at the nationwide level via:

- 1) Determination of the nationwide prevalence of ESBL/ampC producing Gram-negative bacilli in Lebanese chicken farms in terms of intestinal carriage.
- 2) Investigating if any link exists between multi-drug resistant organisms in poultry and the ones in farmers and the surrounding environment.
- 3) Determination of the prevalence of ESBL/ampC producers and *mcr-1* Gram-negative bacilli in the main swine farms located in Lebanon.

This manuscript is divided into six main chapters.

Chapter I involves a systematic review and a mini review. The first one “**Article 1**” presents an extensive examination of the current literature on the epidemiology of ESBL, ampC and carbapenemase producing Gram-negative bacilli as well as colistin resistant ones in animals of the region surrounding the Mediterranean Basin. This review is beneficial in that it shows the driver of multi-drug resistance emergence in this area of the world. In addition it sheds the light on the countries where insufficient data are available regarding the spread of multi-drug resistant organisms and the level of antibiotic consumption. The second one, mini review “**Article 2**” describes the impact of colistin use on the worldwide emergence and dissemination of colistin resistance in animals especially the one mediated by *mcr* colistin resistance genes. The risk of transmission of colistin resistant Gram-negative bacilli from animals to humans was also discussed.

In **Chapter II** we describe the epidemiology of multi-drug resistant organisms in Lebanese Livestock in terms of intestinal carriage. “**Article 3**” includes the prevalence of ESBL and

ampC producing Gram-negative bacilli in chicken farms distributed over the seven districts of Lebanon. “**Article 4**” reports the first detection of an mcr-1 positive E. coli strain in Lebanese poultry. “**Article 5**” shows the prevalence of ESBL/ampC producing Gram-negative bacilli in the main swine farms located in Lebanon. In addition, it outlines the first detection of mcr-1 in pigs of this country. “**Article 6**” describes the dissemination of ESBL/ampC producers and especially mcr-1 E. coli strains in chicken, farmers and environment in the same farm where the first detection of mcr-1 was reported by our team two years ago.

In **Chapter III** we describe the genomic analysis of a colistin hetero-resistant *Enterobacter cloacae* strain that was isolated from chicken in Lebanon. This strain presented with an elevated colistin MIC up to 1024µg/ml and was an ampC producer harbouring the MIR-20 ampC beta lactamase. Using whole genome sequencing and qPCR, the mechanism of colistin hetero-resistance in this isolate was explored “**Article 7**”.

Chapter IV included a collaborative study in which colistin and carbapenem resistant *Klebsiella pneumoniae* strains were isolated from clinical samples in Algeria “**Article 8**”.

Chapter V involves the description of a *Lachnoclostridium* nov. species. The strain was isolated from the urine sample of a patient in Marseille “**Article 9**”.

Chapter VI is devoted to the work achieved in Lebanon during M2 and 1st year PhD studies. “**Article 10**” describes the dynamic of beta-lactamase-producing enterobacteriaceae carriage among elderlies in two nursing homes located in the north of Lebanon over a four month period. In this study, we described the first detection of an OXA-48 producing E. coli strain isolated from a community setting in Lebanon. “**Article 11**” describes the dynamic of multi-drug resistant organisms in Lebanese elderlies and their impact on bacterial fitness. “**Article 12**” describes the fitness cost achieved by competing different species of sensitive and ESBL strains isolated from nursing home residents in Lebanon

References

1. **van Hoek AH, Mevius D, Guerra B, Mullany P, Roberts AP, Aarts HJ.** Acquired antibiotic resistance genes: an overview. *Front Microbiol* 2011 Sep 28;2:203.
2. **Schill F, Abdulmawjood A, Klein G, Reich F.** Prevalence and characterization of extended-spectrum beta-lactamase (ESBL) and AmpC beta-lactamase producing Enterobacteriaceae in fresh pork meat at processing level in Germany. *Int J Food Microbiol* 2017 Sep 18;257:58-66.
3. **Verraes C, Van Boxtael S, Van Meervenne E, Van Coillie E, Butaye P, Catry B, et al.** Antimicrobial resistance in the food chain: a review. *Int J Environ Res Public Health* 2013 Jun 28;10(7):2643-2669.
4. **Giedraitiene A, Vitkauskiene A, Naginiene R, Pavilionis A.** Antibiotic resistance mechanisms of clinically important bacteria. *Medicina (Kaunas)* 2011;47(3):137-146.
5. **Rolain JM.** Food and human gut as reservoirs of transferable antibiotic resistance encoding genes. *Front Microbiol* 2013 Jun 24;4:173.
6. **Bbosa GS, Mwebaza N, Odda J, Kyegombe DB, Ntale M.** Antibiotics/antibacterial drug use, their marketing and promotion during the post-antibiotic golden age and their role in emergence of bacterial resistance. *Health* 2014;6(5):410.
7. **Ghodousi A, Bonura C, Di Noto AM, Mammina C.** Extended-Spectrum ss-Lactamase, AmpC-Producing, and Fluoroquinolone-Resistant *Escherichia coli* in Retail Broiler Chicken Meat, Italy. *Foodborne Pathog Dis* 2015 Jul;12(7):619-625.
8. **Economou V, Gousia P.** Agriculture and food animals as a source of antimicrobial-resistant bacteria. *Infect Drug Resist* 2015 Apr 1;8:49-61.
9. **ECDC/EFSA/EMA** second joint report on the integrated analysis of the consumption of antimicrobial agents and occurrence of antimicrobial resistance in bacteria from humans and food-producing animals. 2017.
10. **Laube H, Friese A, von Salviati C, Guerra B, Kasbohrer A, Kreienbrock L, et al.** Longitudinal monitoring of extended-spectrum-beta-lactamase/AmpC-producing *Escherichia coli* at German broiler chicken fattening farms. *Appl Environ Microbiol* 2013 Aug;79(16):4815-4820.
11. **Baroud M, Dandache I, Araj GF, Wakim R, Kanj S, Kanafani Z, et al.** Underlying mechanisms of carbapenem resistance in extended-spectrum beta-lactamase-producing *Klebsiella pneumoniae* and *Escherichia coli* isolates at a tertiary care centre in Lebanon: role of OXA-48 and NDM-1 carbapenemases. *Int J Antimicrob Agents* 2013 Jan;41(1):75-79.

12. **Moghnieh R, Estaitieh N, Mugharbil A, Jisr T, Abdallah DI, Ziade F, et al.** Third generation cephalosporin resistant Enterobacteriaceae and multidrug resistant Gram-negative bacteria causing bacteremia in febrile neutropenia adult cancer patients in Lebanon, broad spectrum antibiotics use as a major risk factor, and correlation with poor prognosis. *Front Cell Infect Microbiol* 2015 Feb 12;5:11.
13. **Rafei R, Hamze M, Pailhories H, Eveillard M, Marsollier L, Joly-Guillou ML, et al.** Extrahuman epidemiology of *Acinetobacter baumannii* in Lebanon. *Appl Environ Microbiol* 2015 Apr;81(7):2359-2367.
14. **Al Bayssari C, Olaitan AO, Dabboussi F, Hamze M, Rolain JM.** Emergence of OXA-48-producing *Escherichia coli* clone ST38 in fowl. *Antimicrob Agents Chemother* 2015 Jan;59(1):745-746.
15. **Al Bayssari C, Dabboussi F, Hamze M, Rolain JM.** Emergence of carbapenemase-producing *Pseudomonas aeruginosa* and *Acinetobacter baumannii* in livestock animals in Lebanon. *J Antimicrob Chemother* 2015 Mar;70(3):950-951.
16. **Diab M, Hamze M, Madec JY, Haenni M.** High Prevalence of Non-ST131 CTX-M-15-Producing *Escherichia coli* in Healthy Cattle in Lebanon. *Microb Drug Resist* 2016 Jun 15.

Chapter I

Review Papers

Description of the prevalence of Beta-lactamase and Colistin Resistant Gram-negative bacilli in animals worldwide.

Introduction

Gram-negative bacilli are common inhabitants of the human and animals' intestinal tract (1). During the past twenty years, resistance in these organisms has increased and reduced the efficacy of commonly prescribed antibiotics such as beta-lactams, aminoglycosides and fluoroquinolones (2). The main mechanism of beta-lactam resistance encountered nowadays in Gram-negative bacilli is the production of ESBLs, ampC beta lactamases and carbapenemases (2). Genes encoding these enzymes are often localized on plasmids carrying resistance genes to other non beta-lactam antibiotics (3). Furthermore, colistin resistance has recently emerged in these organisms. Colistin resistance in Gram-negative bacilli occurs either via the acquisition of *mcr* colistin resistance genes or via chromosomal mutations that mediate the modification of the lipid A moiety in the lipopolysaccharide chain (4). In this chapter, we aim to 1) shed the light on the current distribution of multi-drug resistant organisms in the animal sector of the Mediterranean 2) provide an updated view on the effect of colistin use in animals and the corresponding emergence of *mcr* colistin resistant Gram-negative bacilli in animals worldwide.

In the first review paper **Article 1** entitled **“Prevalence and emergence of ESBLs, carbapenemases and colistin resistant Gram-negative bacteria in animals of the Mediterranean basin”** we describe the epidemiology of ESBL and carbapenemase producers in addition to colistin resistance in animals of the region surrounding the Mediterranean basin. The Mediterranean basin is a region of the world that comprises a wide diversity of populations. It includes five Asian countries (Israel, Lebanon, Syria, Cyprus and Turkey), eleven European countries (Greece, Albania, Montenegro, Bosnia, Herzegovina, Croatia, Slovenia, Italy, Monaco, France and Spain) and five African countries (Morocco, Algeria, Tunisia, Libya and Egypt).

Studies involving chicken, cattle, pigs, pets and wild type animals in the aforementioned nations were all included. The types of antibiotics in each country were also included. CTX-M group 1 followed by SHV-12 and CTX-M group 9 were the most ESBL types prevailing in animals of the Mediterranean region. On the other hand, the spread of carbapenemase producers and *mcr* strains remains limited. Antibiotic prescription in veterinary medicine is not controlled in this area of the world. Tetracyclines, aminoglycosides, fluoroquinolones and polymyxins are often administered as therapeutics, prophylaxis and growth promoters. This review paper is now in the interactive review forum in *Frontiers in Microbiology*, manuscript reference number 373411.

In the mini-review **Article 2** entitled “**Colistin use in animals: a two side weapon against multi-drug resistant organisms**”, we summarize the impact of colistin use in animals in terms of emergence of resistance in Gram-negative bacilli. Colistin previously abandoned in the human medicine in view of its toxicity inside the human body was always prescribed in animals many decades ago. Available data on the level of colistin consumption, in addition to the corresponding distribution of *mcr* plasmid mediated colistin resistant isolates in the Asian, European, African and American countries in the animal sector were included. In addition, the risk of *mcr* colistin resistant Gram-negative bacilli transmission to humans was also discussed.

References

1. **Rolain JM.** Food and human gut as reservoirs of transferable antibiotic resistance encoding genes. *Front Microbiol* 2013 Jun 24;4:173.
2. **Schill F, Abdulmawjood A, Klein G, Reich F.** Prevalence and characterization of extended-spectrum beta-lactamase (ESBL) and AmpC beta-lactamase producing Enterobacteriaceae in fresh pork meat at processing level in Germany. *Int J Food Microbiol* 2017 Sep 18;257:58-66.
3. **Seiffert SN, Hilty M, Perreten V, Endimiani A.** Extended-spectrum cephalosporin-resistant Gram-negative organisms in livestock: an emerging problem for human health? *Drug Resist Updat* 2013 Feb-Apr;16(1-2):22-45.
4. **Baron S, Hadjadj L, Rolain JM, Olaitan AO.** Molecular mechanisms of polymyxin resistance: Knowns and unknowns. *Int J Antimicrob Agents* 2016 Dec;48(6):583-91.

Article 1

Prevalence and emergence of Extended-spectrum Cephalosporin-, carbapenem- and Colistin- resistant Gram negative bacteria of Animal Origin in the Mediterranean basin.

Iman Dandachi*, Selma Chabou*, Ziad Daoud, Jean-Marc Rolain

Submitted to **Frontiers In Microbiology**

Impact Factor: 4.019

1 **Prevalence and Emergence of Extended-spectrum Cephalosporin-**
2 **, Carbapenem- and Colistin- Resistant Gram Negative Bacteria of**
3 **Animal Origin in the Mediterranean Basin**

4
5 **Iman Dandachi^{1,2}, Selma Chabou^{1†}, Ziad Daoud² and Jean-Marc Rolain^{1*}**

6
7 1 Aix Marseille Univ, IRD, APHM, MEPHI, IHU-Méditerranée Infection, Marseille, France.

8
9 ² Faculty of Medicine and Medical Sciences, Clinical Microbiology Laboratory, University of
10 Balamand, PO Box 33, Amioun, Beirut, Lebanon.

11
12 † equal contribution

13
14 * Correspondence

15 Pr Jean-Marc Rolain

16 IHU Méditerranée Infection

17 Marseille, France

18 Tel: ++33 491324375

19 Fax: ++33 491387772

20 Email: jean-marc.rolain@univ-amu.fr

21
22 Abstract word count = 289

23 Text word count = 8534

24 Number of references = 177

25 Number of tables = 2

26 Number of figures = 2

27
28 Running title: multi-drug resistance in animals of the Mediterranean

29
30 **Keywords:** ESBL, carbapenemase, mcr-1, Mediterranean, livestock.

34 **Abstract**

35 In recent years, extended ESBL and carbapenemase producing Gram negative bacteria have
36 become widespread in hospitals, community settings and the environment. This has been
37 triggered by the few therapeutic options left when infections with these multi-drug resistant
38 organisms occur. The emergence of resistance to colistin, the last therapeutic option against
39 carbapenem-resistant bacteria, worsened the situation. Recently, animals were regarded as
40 potent antimicrobial reservoir and a possible source of infection to humans. Enteric Gram
41 negative bacteria in animals can be easily transmitted to humans by direct contact or
42 indirectly through the handling and consumption of undercooked/uncooked animal products.
43 In the Mediterranean basin, little is known about the current overall epidemiology of multi-
44 drug resistant bacteria in livestock, companion and domestic animals. This review describes
45 the current epidemiology of ESBL, carbapenemase producers and colistin resistant bacteria of
46 animal origin in this region of the world. The CTX-M group 1 seems to prevail in animals in
47 this area, followed by SHV-12 and CTX-M group 9. The dissemination of carbapenemase
48 producers and colistin resistance remains low. Isolated multi-drug resistant bacteria were
49 often co-resistant to non beta-lactam antibiotics, frequently used in veterinary medicine as
50 treatment, growth promoters, prophylaxis and in human medicine for therapeutic purposes.
51 Antibiotics used in veterinary medicine in this area include mainly tetracycline,
52 aminoglycosides, fluoroquinolones and polymyxins. Indeed, it appears that the emergence of
53 ESBL and carbapenemase producers in animals is not related to the use of beta-lactam
54 antibiotics but is, rather, due to the co-selective pressure applied by the over usage of non-
55 beta-lactams. The level of antibiotic consumption in animals should be, therefore, re-
56 considered in the Mediterranean area especially in North Africa and western Asia where no
57 accurate data are available about the level of antibiotic consumption in animals.

58
59
60
61
62
63
64
65
66
67

68 **Background**

69 Antimicrobial resistance is an emerging and rapidly evolving phenomenon. This phenomenon
70 is currently observed in all bacterial species including clinically important Gram negative
71 bacilli (GNB) (Rubin and Pitout 2014). Gram negative bacilli, “enterobacteriaceae and non-
72 fermenters” are normal inhabitants of the human intestinal microflora (Vaishnavi 2013); they
73 are responsible for the most common hospital and community acquired infections. Antibiotic
74 resistance in GNB is mediated by target drug modification (Lambert 2005), changes in
75 bacterial cell permeability (Delcour 2009) and, most importantly, the production of
76 hydrolyzing enzymes, namely beta-lactamases. The most common beta-lactamases which are
77 now widespread include the extended spectrum beta-lactamases (ESBL) (SHV, TEM, OXA
78 and CTX-M types), AmpC beta-lactamases, and carbapenemases (MBL, KPC and class D
79 oxacillinases) (Giedraitiene et al. 2011)(Poirel et al. 2011). These enzymes provide the
80 bacterium with resistance towards the majority of therapeutic options available in the clinical
81 market. Furthermore, resistance determinants of these enzymes are often located on plasmids
82 carrying resistance genes to other non-beta-lactam antibiotics, thus further limiting treatment
83 options (Guerra, Fischer, Helmuth 2014).

84 The emergence of colistin resistance in GNB is another concern. Colistin belongs to the
85 polymyxin group of polypeptide antibiotics (Olaitan, Morand, Rolain 2014). Previously
86 abandoned due to its nephrotoxicity and neurotoxicity, it is now in use once again and is
87 considered to be the last resort antimicrobial agent against carbapenem resistant GNB
88 (Kempf et al. 2013). Colistin resistance can be mediated either by the acquisition of the
89 plasmid mediated “mcr” gene or by chromosomal mutations that lead to modification of the
90 lipid A moiety of lipopolysaccharide (LPS), which is considered the primary target of colistin
91 in Gram negative bacilli (Baron et al. 2016).

92 It is currently known that, in addition to the human intestinal microflora, resistant GNB can
93 be found in water, soil and fecal animal matter (Verraes et al. 2013). In fact, there is
94 increasing evidence that animals constitute a potent reservoir of resistant GNB (Ewers et al.
95 2012). This is mainly due to the over- and misuse of antibiotics in veterinary medicine
96 (Guerra, Fischer, Helmuth 2014): antibiotics are not only prescribed for treatment but are also
97 administered for disease prevention and growth promotion (Economou and Gousia 2015).
98 Although studies have shown that the direct threat of resistant GNB to human health is still
99 controversial (Olsen et al. 2014), the wide dissemination of these resistant organisms is
100 worrying due to their ease of transmission (Rolain 2013) and their high potential contribution

101 to the spread of bacterial resistance across all ecosystems (Pomba et al. 2017). In this review,
102 we attempt to describe the epidemiology of ESBL, AmpC and carbapenemase producing
103 GNB of animal origin in the Mediterranean region. Colistin resistance in GNB in the same
104 area is also described. The Mediterranean basin is a region of the world that comprises a
105 wide diversity of populations. It includes five Asian countries (Cyprus, Israel, Lebanon,
106 Syria, and Turkey), eleven European countries (Albania, Bosnia, Croatia, France, Greece,
107 Herzegovina, Italy, Monaco, Montenegro, Slovenia and Spain) and five African countries
108 (Algeria, Egypt, Libya, Morocco and Tunisia).

109

110 **Distribution of ESBLs and AmpC producers in animals**

111 **Chicken and food of poultry origin**

112 Poultry production is a complex system in the food and agricultural industry. It includes
113 breeding chickens for meat and eggs. Chickens are kept either as a “breeding flock” or as a
114 “broiler flock” for human consumption. Along with eggs, broilers are traded and transported
115 across different countries around the world (Dierikx et al. 2013). This trade results in a
116 vulnerable system that can be hacked by multi-drug resistant organisms (MDRO), i.e., once a
117 MDRO is introduced into the production chain, it can be transferred internationally. This is
118 why the dissemination of ESBL and AmpC-producing GNB, recently extensively reported in
119 chicken farms (Blaak et al. 2015) is worrying, as these can contribute to not only local but
120 global dissemination of antimicrobial resistance (Dierikx et al. 2013). Studies have shown
121 that the carriage of ESBL and AmpC producers in chicken is persistent (Huijbers et al. 2016).
122 ESBL and AmpC producers are isolated from grandparent breeding stock (Nilsson et al.
123 2014), broiler chickens (Reich, Atanassova, Klein 2013), retail meat (Choi et al. 2015) and at
124 the slaughterhouses (Maciucă et al. 2015).

125 In the Mediterranean basin, the first detection of ESBL in chicken dates back to 2000 in
126 Greece, when a CTX-M-32 harboring *Salmonella enterica* was isolated from poultry end
127 products (Politi et al. 2005). Since then, many studies have reported the emergence of ESBL
128 in poultry in the Mediterranean area. In Italy for instance, the first ESBL reported was a case
129 of SHV-12 detected in *Salmonella* spp (Chiaretto et al. 2008). *Salmonella infantis* species
130 harboring CTX-M-1 were later isolated in 2011 from broiler chicken flocks. These strains led
131 to human infection in Italy in 2013-2014 (Franco et al. 2015). In both studies, isolated strains
132 were co-resistant to non beta-lactam antibiotics, notably nalidixic acid, sulfonamide,
133 trimethoprim and tetracyclines. According to the European Food Safety Authority and the

134 European Centre for Disease Prevention and Control recent report, *S. infantis* is the fourth
135 most common serovar detected in humans in the European Union and that is mostly being
136 observed in the turkey and broiler chain. In this report, it has been stated that this serovar has
137 been able to extensively disseminate along the broiler production chain (EFSA 2017). Indeed
138 it has been suggested that the consumption of contaminated chicken meat is among the most
139 common sources of salmonellosis in humans (Antunes et al. 2016). Furthermore, in Italy,
140 opportunistic pathogen such as *Escherichia coli* isolates producing CTX-M-32, CTX-M-1
141 and SHV-12 type beta-lactamases were also reported (Giufre et al. 2012). These strains were
142 retrieved from flocks which had no prior treatment with cephalosporins. It is proposed that
143 the prescription of other antimicrobials such as enrofloxacin and tylosin is responsible for the
144 co-selection of the aforementioned resistant organisms (Bortolaia et al. 2010). Reports on
145 chicken feces (Giufre et al. 2012), broiler chicken samples and retail chicken meat (Ghodousi
146 et al. 2016) showed that these latter carried *E. coli* producing CTX-M-grp-1, CTX-M-grp-2
147 and CTX-M-grp-9 enzymes in Italy. The co-existence of these enzymes with AmpC beta-
148 lactamases was also reported, including CTX-M-1/CMY-2 (Accogli et al. 2013) and CIT-
149 like/CTX-M (Ghodousi et al. 2015) in *E. coli* of poultry origin. CTX-M and AmpC beta-
150 lactamase producers in the Italian poultry belong mostly to the A and B phylogroups with the
151 genes being carried mainly on Inc11 plasmids. In France, the only report from poultry was the
152 detection of two CTX-M-1-producing *E. coli* isolates (Meunier et al. 2006). CTX-M-1 was
153 linked to the insertion sequence ISEcp1 (Meunier et al. 2006). This insertion sequence has
154 been previously described as being a potent contributor to the mobilization and insertion of
155 blaCTX-M genes (El Salabi, Walsh, Chouchani 2013). Although no studies described the
156 emergence of ESBL in the Slovenian animal sector, one study reported the presence of CTX-
157 M-1 and SHV-12-producing in Slovenian raw chicken meat samples sold on the Swiss
158 market (Zogg et al. 2016).

159 In Spain, the Spanish Veterinary Antimicrobial Resistance Surveillance Network (VAV)
160 monitored antimicrobial resistance of *Salmonella enterica* in healthy broilers in 2003-2004:
161 two CTX-M-9 producers were isolated (Riano et al. 2006). During the same period, ESBL-
162 producing *E. coli* were also detected (Mesa et al. 2006)(Moreno et al. 2007). Indeed, it seems
163 that early monitoring systems often targeted resistance in *Salmonella* species, as these are
164 common causative agents of human infections of food of animal origin (Antunes et al. 2016).
165 Thereafter, as bacterial resistance became widely disseminated in all environments (Stoll et
166 al. 2012), researchers began to think of poultry as a reservoir of resistance in enteric
167 organisms. For instance, Egea et al. found that the prevalence of retail poultry meat colonized

168 by CTX-M and/or SHV producing *E. coli* increased from 62.5% in 2007 to 93.3% in 2010
169 (Egea et al. 2012). During these three years, a significant increase was observed at the level
170 of A0 and D1 phylogroups. Egea et al suggested that the rise of meat colonization is multi-
171 clonal since only 2 strains from the main phylogroup detected in this study showed genetic
172 relatedness by PFGE typing. Thus, it appears that the diffusion of ESBL producers in retail
173 chicken meat is related rather to successful spread of one or several plasmids carrying the
174 blaCTX-M and blaSHV genes (Egea et al. 2012). Apart from *E. coli*, ESBL production in the
175 poultry production system in Spain was also detected in *Klebsiella pneumoniae*, *Enterobacter*
176 *cloacae*, *Proteus mirabilis* and *Serratia fonticola* (Ojer-Usoz et al. 2013). In parallel, CMY-2
177 is the only AmpC beta-lactamase type reported in *E. coli* originating from chicken in this
178 country (Blanc et al. 2006) (Sola-Gines et al. 2015b) (Cortes et al. 2010). Apart from chicken,
179 one study in Spain reported the detection of CTX-M-1, CTX-M-9, CTX-M-14 harboring *E.*
180 *coli* strains in flies surrounding chicken farms (Sola-Gines et al. 2015a). For instance, the
181 detection of ESBL producers in flies reflects on one side the contamination status of the farm
182 housing environment; and on the other side, it contributes to the colonization of other broilers
183 with ESBL producing *E. coli* strains (Sola-Gines et al. 2015a).

184 In Turkey, the first ESBL production in animals was detected in *K. pneumoniae* and
185 *Klebsiella oxytoca* in 2007-2008 (Gundogan, Citak, Yalcin 2011). In 2012-2014, *E. coli*
186 producing CTX-M-1, CTX-M-3, CTX-M-15, CTX-M-8 as well as SHV-5 and SHV-12 were
187 identified in raw chicken meat samples in different areas across the country (Pehlivanlar
188 Onen et al. 2015)-(Tekiner and Ozpinar 2016). The A, D1 and D2 were the most common
189 phylogroups detected. In the same aforementioned study, ESBL was also detected in *E.*
190 *cloacae*, *Citrobacter werkmanii* and *K. pneumoniae* (CTX-M-1) (Tekiner and Ozpinar 2016).
191 Similarly, CMY-2 type beta-lactamase was detected in *E. coli* (Pehlivanlar Onen et al. 2015)
192 as well as in *E. cloacae* (Tekiner and Ozpinar 2016). In Lebanon, CTX-M type beta-
193 lactamase followed by CMY AmpC beta-lactamase appear to dominate the Lebanese chicken
194 farms (Dandachi et.al 2018). MLST typing of CTX-M positive *E. coli* strains revealed the
195 presence of different sequence types across the territory. Furthermore, a significant resistance
196 of ESBL producers toward gentamicin was observed. The spread of ESBL producers in
197 Lebanon could be attributed in part to the co-selective pressure applied by the heavy usage of
198 gentamicin in the veterinary sector as previously reported (Dandachi et.al 2018). In Israel,
199 only one study showed the presence of CTX-M-producing *E. coli* of A, B and D phylogroups
200 in liver samples of dead broiler chickens and ready-to-market chicken meat (Qabajah,
201 Awwad, Ashhab 2014).

202 Concerning Africa, ESBL was first detected in *E. coli* strains isolated from foods of poultry
203 origin in Tunisia in 2006. These harbored SHV-5, CTX-M-8, CTX-M-14 and CTX-M-1 type
204 beta-lactamases (Jouini et al. 2007). It appears that in this country, blaCTX-M-1 and
205 blaCMY-2 are the dominant genes responsible for ESBL and AmpC production in *E. coli*
206 isolated from chicken samples (Ben Sallem et al. 2012) (Ben Slama et al. 2010). This is in
207 addition to blaCTX-M-15, blaCTX-M-14 (Maamar et al. 2016) and blaCTX-M-9 that were
208 detected in *E. coli* isolated from the fecal samples of dead/diseased chickens (Grami et al.
209 2014). ESBL genes in Tunisia appear to be located on various plasmids carried by different
210 *E. coli* phylogroups. These include mainly IncI1 followed by IncF and IncFIB (table 2).
211 blaCTX-M as well as CMY genes in Tunisia were found to be also associated to the ISEcp1
212 insertion sequence. Furthermore, apart from the CMY gene, AmpC production in *E. coli*
213 strains in this country was found to be also mediated via mutations in the promoter region of
214 the chromosomal AmpC gene (Ben Slama et al. 2010). In Algeria, CTX-M-like enzymes
215 were detected in *E. coli* (Mezhoud et al. 2015) (Chabou et al. 2017) as well as in other
216 species such as ST15 *Salmonella* Heidelberg (Djeffal et al. 2017). In their study, Djeffal et al
217 reported the detection of the same sequence type “ST15” of *Salmonella* spp isolated from
218 both chicken and human. This emphasizes on the hypothesis that the poultry production
219 system could constitute a potent contributor to the diffusion of multi-drug resistant
220 *Salmonella* in the human population (Djeffal et al. 2017). In parallel, blaSHV-12 and CMY-2
221 genes were detected in *E. coli* strains recovered from slaughtered broilers’ intestinal swabs
222 (Belmahdi et al. 2016).

223 In Egypt, *E. coli* producing CTX-M-15 and CMY-2 were initially reported from blood
224 samples from the hearts of septicemic broilers in 2011 (Ahmed, Shimamoto, Shimamoto
225 2013). CTX-M-15 and CTX-M-14 were further detected in *E. coli*, *K. pneumoniae*, *K.*
226 *oxytoca* and *Enterobacter* spp isolated from chicken carcasses in the north of Egypt
227 (Abdallah et al. 2015)(Ahmed and Shimamoto 2015). *E. coli* isolates harboring SHV-12 have
228 also been reported in Egypt; although they originated from liver and heart samples of
229 chickens affected with colibacillosis (El-Shazly et al. 2017) (figure 1). Similarly to other
230 countries in the Mediterranean basin, ESBL producers in the Egyptian poultry sector belong
231 mainly to the A and B1 phylogroups with the blaCTX-M genes being associated with ISEcp1
232 (table 2).

233

234 **Cattle and sheep**

235 Cattle and sheep are essential members of the human food and agricultural system. For
236 humans, cattle and sheep serve as a source of meat and milk. In agriculture, their feces are
237 commonly used as manure for artificial fertilization (Nyberg et al. 2014). As it is now widely
238 recognized that animals' intestines are a normal habitat for wild type and resistant micro-
239 organisms (Nelson, Rogers, Brown 2013), it has been suggested that if resistant bacteria
240 contaminated animal manures are used without prior treatment, there is a potential risk of
241 transmitting this resistance to the surrounding environment and to the human population
242 (Hruby et al. 2016). This transmission may occur through irrigation and drinking water
243 without treatment (Hruby et al. 2016) or through animals grazing on contaminated lands
244 (Bagge, Lewerin, Johansson 2009).

245 In France, the first identification of an ESBL producer in cattle dates back to 2004 when *E.*
246 *coli* strains harboring CTX-M-1 and CTX-M-15 were isolated from cows (Meunier et al.
247 2006). *E. coli* producing the CTX-M-15 type ESBL were later isolated from the fecal sample
248 of a dead calf (Valat et al. 2012) and from the feces of cattle located in 10 different
249 geographical areas in France (Madec et al. 2012). In the aforementioned study, CTX-M-15
250 was carried on IncII plasmids but also on F31:A4:B1/IncFII and F2:A-:B-/IncFII plasmids
251 which has been extensively reported in humans (Madec et al. 2012). Although CTX-M-15
252 appears to be dominant in French cattle, other ESBL types were also reported in *E. coli*
253 (Hartmann et al. 2012) and *Klebsiella* species (Dahmen et al. 2013b)(Haenni et al. 2014) such
254 as CTX-M-1, CTX-M-14, CTX-M-9, CTX-M-2, CTX-M-32, CTX-M-57, CTX-M-3
255 (Dahmen et al. 2013b)(Haenni et al. 2014) and TEM-71(Hartmann et al. 2012). These latter
256 were carried by *E. coli* strains of different sequence types such as ST23, ST58, ST10, ST45,
257 ST88, ST2210, ST2212-ST2215, ST2497 and ST2498 (table 1); no epidemic clones such as
258 ST101 were detected. Moreover, two studies in France detected AmpC-producing *E. coli* in
259 calves. In both, AmpC beta-lactamase production was suggested as being due to highly
260 conserved mutations in the promotor/attenuator region and to an over-expression of the
261 chromosomal AmpC gene, respectively (Haenni et al. 2014)(Haenni, Chatre, Madec 2014). In
262 sheep, only one study was conducted in France in which one CTX-M-1 *E. fergusonii* and
263 three *K. pneumonia* harboring both blaCTX-M-15 and DHA genes were detected (Poirel et
264 al. 2013). The three *K. pneumoniae* were co-resistant to nalidixic acid, sulfonamides,
265 trimethoprim-sulfamethoxazole and tetracycline and belonged to the same sequence type
266 ST274. In Spain, ESBL-producing Gram-negative bacilli were isolated from beef samples
267 collected from different geographical locations (Doi et al. 2010)(Ojer-Usoz et al. 2013). In

268 Italy, Stefani et al. reported the isolation of five *Klebsiella ozaenae* harboring CTX-M-1,
269 CTX-M-1/TEM-24 and CTX-M-15 ESBL types from cattle (Stefani et al. 2014).
270 In Turkey, a study conducted in 2007-2008, showed the presence of ESBL-producing *K.*
271 *pneumoniae* and *K. oxytoca* in raw calf meat (Gundogan, Citak, Yalcin 2011). Later on,
272 CTX-M-3 and CTX-M-15 harboring *E. coli* were isolated from beef samples sold in a market
273 in the south of Turkey (Conen et al. 2015). Recently, a study conducted by Tekiner et al.
274 reported the isolation of ESBL-producing *E. coli*, *E. cloacae* and *Citrobacter brakii* from raw
275 cows' milk collected from different cities of Turkey. In these areas, CTX-M-1 was dominant
276 (Tekiner and Ozpinar 2016). In Lebanon the situation differs, in that unlike Turkey but
277 similarly to other Mediterranean countries, blaCTX-M-15, blaSHV-12 and blaCTX-M-14 are
278 the dominant ESBL genes prevailing in *E. coli* in the Lebanese cattle (Diab et al. 2016). In
279 this latter study, various sequence types were detected. Of special interest is the detection of
280 ST10. ST10 was heavily reported in the literature as being shared between animal and human
281 isolates all over the world: Chile (Hernandez et al. 2013), Denmark (Huijbers et al. 2014),
282 Vietnam (Nguyen et al. 2015), Germany (Belmar Campos et al. 2014). Indeed, it has been
283 suggested that ST10 became associated with the production and dissemination not only of
284 CTX-M-type ESBLs but also of *mcr-1* in animals, humans and environment (Monte et al.
285 2017). In Israel, Adler et al. reported the identification of CTX-M-1/CTX-M-9 and SHV-12
286 beta-lactamase producing *E. coli* and *K. pneumoniae* strains respectively, which were isolated
287 from cattle farms situated in the main farming locations across the country (Adler et al.
288 2015).
289 In Egypt, SHV-12 (Ahmed et al. 2009) in addition to CTX-M-1/15 and CTX-M-9 were
290 detected in *E. coli* strains isolated from cattle (Braun et al. 2016). On study targeting raw
291 milk samples reported the detection of SHV-12 /CTX-M-3, in addition to CMY-2-producing
292 *E. coli* strains (Ahmed and Shimamoto 2015). In Tunisia, *E. coli* strains producing CTX-M-
293 1 and TEM-20 were isolated from beef and sheep situated in different areas across the country
294 (Jouini et al. 2007)(Ben Slama et al. 2010). Furthermore, blaCTX-M-15 was detected in an
295 ST10 *E. coli* isolate recovered from the milk sample of cattle affected with mastitis (Grami et
296 al. 2014). Similarly, In Algeria, Yaici et al reported the detection of four ST1284 *E. coli*
297 strains carrying CTX-M-15, CMY-42 and NDM-5 in raw milk samples (Yaici et al. 2016).

298

299 **Swine**

300 Meat from pigs is used by humans for consumption and their feces are used as manure for
301 land fertilization. Studies have shown that antibiotics are usually detected in higher

302 concentrations in pig manures compared to that of other farm animals (Hou et al. 2015). This
303 finding reflects high and uncontrolled antimicrobial usage in swine farms (Woolhouse et al.
304 2015). Heavy antibiotic usage creates a selective pressure that contributes to the emergence
305 and spread of bacterial resistance; in this regard, pigs are suggested as a potential source of
306 resistant bacteria.

307 Reports concerning the prevalence of ESBL of swine origin in the Mediterranean area are
308 very scarce with the majority being reported from Spain where a blaSHV-12 positive
309 Salmonella enterica was isolated in the early 2000s (Riano et al. 2006). Furthermore, CTX-
310 M-grp-9 (Doi et al. 2010) (Ojer-Usoz et al. 2013), SHV-5 and CTX-M-grp-1 carried by A
311 phylogroup E. coli strains and SHV-12 carried by B1 E. coli and blaSHV-5 were detected
312 (Cortes et al. 2010) (Blanc et al. 2006). One study conducted in 13 different Spanish
313 provinces found seven AmpC-producing E.coli. In these cases, AmpC production was due to
314 a mutation in the promoter region of the chromosomal AmpC gene (Escudero et al. 2010). In
315 Italy, TEM-52, CTX-M-1, CTX-M-15 and CTX-M-1/TEM-201 carrying E. coli were
316 reported in pigs (Stefani et al. 2014). Franco et al. reported also the presence of Salmonella
317 infantis carrying CTX-M-1 in swine (Franco et al. 2015). In France, only one study
318 conducted at the beginning of the 21th century reported the detection of CTX-M-1-producing
319 E. coli strains in pigs (Meunier et al. 2006). Similarly to what is widely observed in the
320 Mediterranean basin, the CTX-M-1 was associated with the insertion sequence
321 ISEcp1 (Meunier et al. 2006). In Algeria, CTX-M-15 harboring E. coli and K. pneumoniae
322 strains were isolated in 2014 from wild boars (Bachiri et al. 2017). MLST typing showed the
323 K. pneumoniae belongs to the ST584 while on the other hand several sequence types (ST617,
324 ST131, ST648, ST405, ST1431, ST1421, ST69, ST226) were observed among E. coli strains
325 (Bachiri et al. 2017). The aforementioned study was the only one to investigate the
326 epidemiology of ESBL-producing Gram-negative bacilli in the African and Asian countries
327 lining the Mediterranean Sea.

328

329 **Companion animals**

330 Unlike food producing animals, companion animals are not used as consumption source of
331 human food, nor are their feces used as manure for land fertilization. Instead, these animals
332 are kept for the individual's protection, entertainment and company. The number of
333 companion animals has significantly increased in modern society in recent decades (Pomba et
334 al. 2017). Despite regular close contact with people, little attention has been given to the
335 prevalence of antimicrobial resistance in these animals (Scott Weese 2008). The close contact

336 between companion animals such as dogs, cats and horses and their owners makes the
337 transmission of resistant organisms more likely to occur (Dierikx et al. 2012). As such, it is
338 essential to investigate the prevalence of resistant bacteria in companion animals as well as to
339 identify the possible risk factors for the transmission of resistant organisms to humans (Rubin
340 and Pitout 2014).

341 In the Mediterranean basin, the first detection of ESBL in companion animals was in Spain
342 where an *E. coli* harboring SHV-12 was isolated from a dog with a urinary tract infection
343 (Teshager et al. 2000). Subsequently, between 2008 and 2010, three strains carrying CMY-2
344 (one ST2171 *E. coli* and two *P. mirabilis*) were recovered from dogs infected with
345 respiratory, urinary tract and skin and soft tissue infections, respectively (Bogaerts et al.
346 2015). In all three strains, the CMY-2 genes were associated with the ISEcp1. More recently,
347 one *K. pneumoniae* and one *E. cloacae* producing CTX-M-15/DHA and SHV-12,
348 respectively, were isolated from the fecal swabs of healthy dogs in this same country
349 (Gonzalez-Torralba et al. 2016).

350 In Italy, a study conducted by Donati et al. on 1555 dog samples of clinical cases and
351 necropsy specimens with suspicious bacterial infections, between the center and the north of
352 Italy found two *K. oxytoca* harboring SHV-12/DHA-1 and 11 *K. pneumoniae* carrying the
353 following genes: blaCTX-M-15 (six strains), blaCTX-M-15/DHA-1, blaCTX-M-15/SHV-28,
354 blaCTX-M-1/SHV-28 and blaCTX-M-1 (Donati et al. 2014). In this same study, 429 cats'
355 samples were also investigated revealing the presence two *K. oxytoca* producing CTX-M-9
356 and four *K. pneumoniae* producing CTX-M-15 (two isolates), CTX-M-15/ DHA-1 and SHV-
357 28/CMY-2 beta-lactamases (Donati et al. 2014). The beta-lactamase and AmpC genes in *K.*
358 *oxytoca* strains isolated from dogs and cats were located on different plasmid types: IncL/M
359 versus IncHI2 respectively. This is unlike the *K. pneumoniae* strains where the blaCTX-M-15
360 was localized on the same plasmid IncR and both strains in dogs and cats shared the same
361 ST340. ST15 and ST101 were also common between dogs and cats in this study. ST15 and
362 ST101 are among the most international clones carrying ESBL as well as carbapenemase
363 genes which became highly detected recently worldwide (Donati et al. 2014). Another study
364 conducted reported the detection of CTX-M-1-producing *K. pneumoniae* was further reported
365 from a dog with urinary tract infection and an *E. coli* carrying the CMY-2 type beta-
366 lactamase associated to ISEcp1 also in a diseased cat with a urinary tract infection (Bogaerts
367 et al. 2015). Infections in pets with *E. coli* strains carrying CTX-M-14 (three isolates), CTX-
368 M-15, CTX-M-1 and CTX-M-14/CMY-2 (two isolates) were also reported in Italy (Nebbia et
369 al. 2014). The strains also showed different sequence types and phylogroups (A "ST3848,

370 ST3847”, B2 “ST131, ST155, ST555, ST4181”, B1 “ST602”) emphasizing that apparently
371 the dissemination of ESBL and AmpC beta-lactamase producers is most likely due to the
372 successful spread of various plasmids carrying these resistance genes (Nebbia et al. 2014).
373 In France, the highest number of studies addressing the prevalence of extended-spectrum-
374 cephalosporin resistance in companion animals in the Mediterranean was conducted. In dogs,
375 CTX-M-grp 1 (CTX-M-1, CTX-M-15, CTX-M-3, CTX-M-32) and CTX-M-grp 9 in addition
376 to CMY-2 and TEM-52 prevail in *E. coli* (Poirel et al. 2013) (Dahmen et al. 2013a) (Haenni
377 et al. 2014) (Bogaerts et al. 2015) (Melo et al. 2017). These genes were mostly carried on
378 IncI1, IncFII and IncHI2 plasmid types and were harbored by strains of different sequence
379 types and phylogroups. Furthermore, *K. pneumoniae* isolated from dogs showed to produce
380 the CTX-M-15, CTX-M-32, SHV-12 and DHA-1 have been reported (Poirel et al. 2013)
381 (Haenni et al. 2014). In parallel, *P. mirabilis* showed to produce CMY-2, DHA-16, VEB-6
382 and CTX-M-15 have been described (Schultz et al. 2017) and *E. cloacae* the CTX-M-15,
383 CTX-M-14, CTX-M-3 and SHV-12 have been identified (Haenni et al. 2016). In addition,
384 CTX-M-15 and CMY-2 were also described in *K. oxytoca* and *Salmonella enterica*,
385 respectively isolated from dogs in this same country (Poirel et al. 2013)(Haenni et al. 2014).
386 On the other hand, in cats, the following distribution was observed: in *E. coli* (CTX-M-1,
387 CTX-M-15, CTX-M-32, CTX-M-3, CTX-M-14) (Poirel et al. 2013)(Haenni et al. 2014)
388 (Melo et al. 2017), in *K. pneumoniae* (CTX-M-15/DHA) (Poirel et al. 2013), in *E. cloacae*
389 (CTX-M-15, SHV-12) (Haenni et al. 2016), in *P. mirabilis* (CMY-2) and in *Proteus rettgeri*
390 (CTX-M-1) (Schultz et al. 2017). The dissemination of extended-spectrum-cephalosporin
391 resistance in companion animals in France necessitates studies addressing the risk factors
392 responsible for the acquisition of these strains in pets as well as novel approaches to control
393 the spread of resistance in these animals. Furthermore, the contribution of the pet animals to
394 the spread of resistance in the common population in France should be also investigated.
395 Moreover, France is the only Mediterranean country in which studies reporting ESBL and/or
396 AmpC-producing bacteria in horses are available. Between 2010 and 2013, *E. cloacae*
397 harboring CTX-M-15, CTX-M-1 and SHV-12 were isolated from clinical samples of horses.
398 These genes were located on IncHI2 and IncP plasmids and were harbored by strains of
399 various sequence types such as ST127, ST372, ST145, ST114, ST135, ST118, ST268, ST107
400 (Haenni et al. 2016). Later on, VEB-6 carrying *P. mirabilis* were isolated from healthy
401 horses (Schultz et al. 2017). In Greece, CMY-2 carried on IncI1 plasmid and harbored by
402 ST212 *E. coli* strains were isolated from diseased canines in 2011 (Vingopoulou et al. 2014).
403 More recently, a study conducted in Greek households revealed the detection of extended-

404 spectrum-cephalosporin-resistant *E. coli* isolates. The strains presented with different
405 sequence types including the human pandemic ST131 clone which suggests a possible from
406 humans to animals and vice-versa (Liakopoulos et al. 2018).
407 In Egypt, CTX-M beta-lactamases have been detected in *E. coli* recovered from cats' rectal
408 swabs. In this same study, CTX-M-producing *E. coli*, *K. pneumoniae* and *P. mirabilis* were
409 isolated from dogs (Abdel-Moein and Samir 2014). In Algeria, only one study reported the
410 detection of *E. coli* strains carrying blaCTX-M-1, blaCTX-M-15 in cats and blaCTX-M-1,
411 blaCTX-M-15, blaSHV-12 in dogs (Yousfi et al. 2016b). In Tunisia, CTX-M-1 carrying *E.*
412 *coli* were isolated from cats; while from dogs CTX-M-1, CTX-M-15 and CMY-2-producing
413 *E. coli* were detected (Sallem et al. 2013) (Grami et al. 2013). CTX-M-1 was mostly carried
414 on IncI1 plasmid where as CTX-M-15 on IncFII (Grami et al. 2013). The blaCTX-M-1 and
415 CMY-2 genes were also found associated with the ISEcp1. Indeed it appears that the
416 insertion sequence ISEcp1 might be also responsible for the dissemination of CMY-2 AmpC
417 genes apart from the blaCTX-M ones.

418

419 **Wild Birds and domestic animals**

420 Besides companion and food producing animals, scattered reports exist on the isolation of
421 ESBL from domestic animals such as wild birds and dromedaries in the Mediterranean. For
422 instance, CTX-M-producing *E. coli* was isolated from wild birds in Algeria (Meguenni et al.
423 2015), Turkey (Yilmaz and Guvensen 2016), blaCTX-M-1 in addition to blaCTX-M-15
424 carrying *E. cloacae* in France (Bonnedahl et al. 2009). Furthermore, in France, CTX-M-1 and
425 CTX-M-15 were detected in ST93, ST124 and ST10 *E. coli* strains recovered from tawny
426 owls/rock pigeons and domestic geese, respectively. In addition, a CTX-M-15/DHA-
427 producing ST274 *K. pneumoniae* was isolated from a hedgehog living in the same city (Poirel
428 et al. 2013). Rooks carrying CTX-M-14 type ESBL in *E. coli* have been described in Italy
429 and Spain (Jamborova et al. 2015). Furthermore, in Spain, *E. coli* and *K. pneumoniae*
430 harboring CTX-M-14, CTX-M-1, CTX-M-32, CTX-M-9, CTX-M-15, CTX-M-14b, CTX-M-
431 3, and CTX-M-8 were recovered from the fecal samples of gulls (Stedt et al. 2015). In
432 rabbits, CMY-2-producing *E. coli* and CTX-M-14, CTX-M-9-producing *E. cloacae* were
433 isolated (Blanc et al. 2006)(Mesa et al. 2006). More recently, blaCTX-M-1 was identified in
434 *E. coli* isolated from the fecal sample of a deer living in the Los Alcornocales natural park in
435 southern Spain (Alonso et al. 2016). In Algeria, blaCTX-M-15 and blaCTX-M-9 genes were
436 detected in *E. coli* isolated from the gut and gills of fish caught in the Mediterranean across
437 Bejaia city (Brahmi et al. 2016). In this study, it has been suggested that the presence of beta-

438 lactamase producers is due to contamination of the fish from river water and the rising
439 amount of untreated waste that is released into the Mediterranean Sea from the agricultural as
440 well as the industrial operations (Brahmi et al. 2016). These findings emphasizes on the
441 importance of the natural environment in the dissemination of resistance from humans to
442 animals and vice versa. Furthermore, Bachiri et al. also reported the detection of CTX-M-15-
443 producing ST584 *K. pneumoniae* in Barbary macaques situated in national parks in the north
444 of Algeria (Bachiri et al. 2017). In both Tunisia and Egypt, CTX-M beta-lactamases were
445 detected in *E. coli* and *Pseudomonas aeruginosa* recovered from dromedaries and camels,
446 respectively (Ben Sallem et al. 2012) (Elhariri et al. 2017). In Croatia, the only study
447 investigating the prevalence of ESBL in animals was conducted in 2009-2010 in mussels
448 caught in the Adriatic Sea. In this study, 18 *Aeromonas* species carrying SHV-12, CTX-M-
449 15, FOX-2 and PER-1 were identified (Maravic et al. 2013).

450

451 **Prevalence of carbapenemase producers in livestock and domestic animals**

452 Carbapenems are beta-lactam antibiotics often considered as the last resort antimicrobial
453 agent against multi-drug resistant organisms (Temkin et al. 2014). Carbapenems are active
454 against ESBL and AmpC-producing Gram negative bacilli. Due to the wide dissemination of
455 multi-drug resistant organisms, these antimicrobials recently became heavily used in human
456 medicine. As a result, the emergence of carbapenem resistance has accelerated and it is now a
457 normal phenomenon encountered in hospital settings and, to a lesser extent, community
458 settings. The production of hydrolyzing enzymes called “carbapenemases” is one of the
459 mechanisms by which carbapenem resistance is mediated in Gram negative bacilli. These
460 include a) class A carbapenemases (KPC, GES, SME, IMI, NMC-A) b) class B metallo beta-
461 lactamases “MBL” (NDM, VIM, IMP and TMB) and c) class D oxacillinases (Martinez-
462 Martinez and Gonzalez-Lopez 2014).

463 In the Mediterranean basin, in Egypt, OXA-48 and OXA-181 carbapenemases were detected
464 in *E. coli* strains recovered from dairy cattle farms (Braun et al. 2016). In the poultry
465 production system, one study reported the isolation of *K. pneumoniae* and *K. oxytoca*
466 harboring NDM metallo beta-lactamases (Abdallah et al. 2015). Another study described the
467 identification of *K. pneumoniae* carrying OXA-48, NDM and KPC type carbapenemases.
468 Isolated strains were recovered from the liver, lungs and trachea of broiler chicken (Hamza,
469 Dorgham, Hamza 2016). In Algeria, NDM-1 and NDM-5 were observed, respectively, in
470 ST85 *Acinetobacter baumannii* and ST1284 *E. coli* originating from raw milk in the west and
471 north of the country (Chaalal et al. 2016) (Yaici et al. 2016). In *E. coli*, NDM-5 was located

472 on an IncX3 plasmid (Yaici et al. 2016). In broilers, OXA-58 was identified (Chabou et al.
473 2017) while in pigeons, in addition to OXA-58 and OXA-23 were detected (Morakchi et al.
474 2017). In terms of companion animals, NDM-5 and OXA-48-producing *E. coli* were reported
475 from healthy dogs Algeria (Yousfi et al. 2015) (Yousfi et al. 2016a). The NDM-5 was
476 harbored by an *E. coli* strain having the same sequence type ST1284 previously described in
477 cattle (Yaici et al. 2016) (Yousfi et al. 2015). OXA-48 was further detected in healthy and
478 diseased cats in the same city (Yousfi et al. 2016a). Furthermore, in this same country, two *A.*
479 *baumannii* producing OXA-23 were isolated from fish (Brahmi et al. 2016). In Lebanon, *A.*
480 *baumannii* with different sequence types (ST294, ST491, ST492, ST493) were detected in a
481 horse's mouth carrying OXA-143 (Rafei et al. 2015), and in pigs and cattle carrying OXA-
482 23 (Al Bayssari et al. 2015a). Furthermore, in cattle, a VIM-2-producing *P. aeruginosa* was
483 isolated (Al Bayssari et al. 2015a). In fowl, Bayssari et al. reported the detection of OXA-23
484 and OXA-58 harboring *A. baumannii* and OXA-48-producing *E. coli* as well as VIM-2
485 producing *P. aeruginosa* (Al Bayssari et al. 2015b). VIM-2 producers in fowl and cattle were
486 of different sequence types suggesting the presence of plasmid that is mediating the spread of
487 this resistance gene. In France, OXA-23-producing *Acinetobacter* species were described in
488 cows and dogs (Poirel et al. 2012) (Herivaux et al. 2016). Melo et al reported the detection of
489 OXA-48 located on an IncL plasmid and carried by an ST372 *E. coli* strain from dogs in
490 France (Melo et al. 2017). In contrast, in Spain, only one study reported the isolation of a
491 VIM-1-producing ST2090 *K. pneumoniae* from a dog's rectal swab (Gonzalez-Torralba et al.
492 2016) (Figure 2).

493

494 **Clonal relationship of beta-lactamase producers and plasmid types of beta-** 495 **lactamase genes isolated from all animal sources.**

496 The different phylogroups and sequence types of beta-lactamase and *mcr-1* positive strains as
497 well as the type of plasmids carrying ESBL, AmpC, carbapenemase and *mcr-1* genes
498 detected in all animal sources in the Mediterranean region are summarized in table 2. In this
499 area of the world, it appears that multi-drug resistance in the veterinary sector is mediated by
500 the spread of different phylogroups and sequence types with the main ones being A, B and D
501 phylogroups (table 2). The detection of ST10 in CTX-M producers in poultry, cattle, pets and
502 domestic animals in Algeria, Tunisia, Lebanon and France is of special interest. ST10 was
503 often described in the literature as being common to ESBL *E. coli* strains of human and avian
504 origin worldwide such as in Germany (Belmar Campos et al. 2014), Denmark (Huijbers et al.

505 2014), Vietnam (Nguyen et al. 2015) and Chile (Hernandez et al. 2013). ST10 was suggested
506 as being associated with the spread of CTX-M ESBL types and *mcr-1* genes in humans,
507 animals and environments (Monte et al. 2017). Another distinct finding is the detection of
508 ST101 in dogs and cats in Italy. ST101 is an international sequence types frequently detected
509 in pigs (El Garch et al. 2017), broilers (Sola-Gines et al. 2015) as well as in the clinical
510 settings. In several countries, ST101 was associated to NDM-1 *E. coli* strains isolated from
511 the clinical settings of Germany, Canada, Australia, UK and Pakistan (Yoo et al. 2013)
512 implying thus that ST101 is a candidate for the zoonotic transmission to the human
513 population.

514 More deeply speaking, ESBL and AmpC encoding genes were mostly carried on conjugative
515 IncI1, IncFIB, IncN and IncK plasmids (table 1). ISEcp1 was the most common insertion
516 sequence associated with the CTX-M ESBL types with the main ones being *bla*CTX-M-1
517 and *bla*CTX-M-15 genes. ISEcp1 has been previously described as a potent contributor to the
518 mobilization and insertion of *bla*CTX-M genes worldwide (El Salabi, Walsh, Chouchani
519 2013). As for the carbapenemase encoding genes, these latter were found to be carried by
520 IncX3 and IncL plasmids detected in *E. coli* strains isolated from cattle, swine and dogs in
521 Algeria, Italy and France, respectively. Overall, the detection of a variety of sequence types
522 and phylogroups in ESBL and AmpC producers isolated from animals of all origins within
523 and among countries's animals suggests that the dissemination of multi-drug resistance in the
524 Mediterranean is multi-clonal and related rather to the diffusion of conjugative plasmids
525 carrying beta-lactamase genes.

526

527 **Prevalence of colistin resistance in livestock and domestic animals**

528 Polymyxin E (colistin) and polymyxin B are polycationic antimicrobial peptides that are
529 considered as the last-line antibiotic treatment for multi-drug resistant (MDR) Gram-negative
530 bacterial infections (Olaitan and Li 2016). From the 1960s until the 1990s, colistin was
531 considered as an effective treatment for MDR-GNB (Olaitan et al. 2014). However, due its
532 nephrotoxicity within the human body, the clinical use of this antimicrobial was abandoned
533 (Olaitan and Li 2016). Recently, the emergence of carbapenem resistance in clinically
534 important bacteria such as *P. aeruginosa*, *A. baumannii*, *K. pneumoniae* and *Escherichia coli*,
535 necessitated the re-introduction of colistin into clinical practice as a last-resort treatment
536 option (Olaitan and Li 2016).

537

538 Colistin is not only administered in humans, its use has been also described in veterinary
539 medicine. Indeed, it has been suggested that the uncontrolled use of colistin in animals has
540 played an important role in the global emergence of colistin-resistant bacteria (Collignon et
541 al. 2016). The World Health Organization recently added polymyxins to the list of critically
542 important antibiotics used in food producing animals worldwide (Collignon et al. 2016). The
543 main use for colistin in animals includes the treatment of gastrointestinal infections caused by
544 *E. coli* in rabbits, pigs, broilers, veal, beef, cattle, sheep and goats; and, in particular,
545 gastrointestinal infections caused by *E. coli* (Poirel, Jayol, Nordmann 2017). Colistin is
546 mainly administered orally using different formulations such as premix, powder and oral
547 solutions (Catry et al. 2015). In European countries, several epidemiological studies reported
548 the use of colistin in veterinary medicine. In fact, Kempf et al. reported that colistin is mainly
549 used to inhibit infections caused by *E. coli*, a Gram-negative bacillus known as a common
550 causative agent of diarrhea, septicemia and colibacillosis in animals (Kempf et al. 2013). In
551 Spain, Casal et al. revealed that colistin is among the most frequent administered drug for the
552 treatment of digestive diseases in pigs (Casal et al. 2007).

553 Epidemiologically speaking, the worldwide prevalence of resistance to polymyxins accounts
554 for 10% of Gram-negative bacteria with the highest rates being observed in Mediterranean
555 countries and Southeast Asia (Al-Tawfiq, Laxminarayan, Mendelson 2017). For many years,
556 colistin resistance was thought to be mainly mediated by chromosomal mutations, with no
557 possibility of horizontal gene transfer. However, the emergence of the *mcr-1* plasmid
558 mediated colistin resistance gene (Liu et al. 2016) has thoroughly altered the view of colistin
559 resistance as a worldwide problem (Baron et al. 2016). The current epidemiology of colistin
560 resistance is poorly understood.

561 In the Mediterranean area (figure 2), the first detection of *mcr-1* was in an *E. coli* strain
562 isolated from chickens in Algeria (Olaitan et al. 2016). This same isolate was further detected
563 in sheep in another region of this country in 2016 (Chabou et al. 2017). In Tunisia, Grami et
564 al. reported a high prevalence of multi-clonal *E. coli* carrying the *mcr-1* gene in three chicken
565 farms imported from France (Grami et al. 2016). Isolated strains were found to co-harbor the
566 *bla*_{CTX-M-1} ESBL gene along with *mcr-1* on an IncHI2/ST4 plasmid (table 1) (Grami et al.
567 2016). Apart from colistin resistance, these strains were also co-resistant to tetracyclines,
568 quinolones, fluoroquinolones, trimethoprim and sulfonamides (Grami et al. 2016). The co-
569 existence of ESBL and *mcr-1* genes on the same plasmid facilitates the dissemination of
570 colistin resistant strains by the co-selective pressure applied via the use of colistin as well as
571 possibly the utilization of non beta-lactam antibiotics. Molecular analysis targeting the co-

572 localization of ESBL and *mcr* genes along with the ones mediating resistance toward non
573 beta-lactams is however warranted in order to validate this hypothesis. Also in Tunisia, two
574 colistin resistant *E. coli* strains positive for *mcr-1* and harboring the CMY-2 gene were
575 recently detected in chicken. Both strains shared the same sequence type “ST2197” in
576 addition to their PFGE patterns. The *mcr-1* gene in these latter was associated with the
577 ISAp11 and was carried by IncP plasmid while the CMY-2 gene was located on an IncII
578 plasmid type (Maamar et al. 2018). Furthermore, in this same country, a recent study revealed
579 the absence of *mcr-1* and *mcr-2* positive Gram-negative bacilli in camel calves in southern
580 Tunisia (Rhouma et al. 2018). Likewise, in Egypt, *mcr-1* was detected in *E. coli* isolated from
581 diseased chickens as well as from cows displaying subclinical mastitis (Khalifa et al. 2016)
582 (Lima Barbieri et al. 2017). The emergence of *mcr-1* in Egypt can be related to the use of
583 colistin in animal agriculture, and its ready application as a therapeutic agent for
584 colibacillosis as well as other infections, in rabbits and calves (Lima Barbieri et al. 2017). In
585 Southeast Asia, Dandachi et al. reported the detection of the *mcr-1* plasmid mediated colistin
586 resistance gene in *E. coli* in poultry in the south of Lebanon (Dandachi et al. 2018). This
587 strain had a sequence type of ST515 that was not reported before in *mcr-1* *E. coli* strains of
588 poultry origin (Dandachi et al. 2018).

589 Of the European countries bordering the Mediterranean, Spain was the first to report the
590 detection of *mcr-1* in *E. coli* and *Salmonella enterica* isolated from farm animals (Quesada et
591 al. 2016). This could be related to the fact that Spain is one of the countries where colistin is
592 extensively used in veterinary medicine (de Jong et al. 2013). More recently, *mcr-1* co-
593 existing with *mcr-3* on the same non mobilizable IncHI2 plasmid was detected in an *E. coli*
594 strain recovered from cattle feces in a slaughterhouse (Hernandez et al. 2017). In France, as
595 part of routine surveillance by the French agricultural food sector, *mcr-1* was identified in
596 four *Salmonella* spp isolated from sausage, food of poultry origin and boot swabs taken from
597 broiler farms (Perrin-Guyomard et al. 2016) (Webb et al. 2016). *E. coli* harboring *mcr-1* was
598 also isolated in France from pig, broiler and turkey samples (Haenni et al. 2016). Haenni et
599 al. reported the identification of unique IncHI2/ST4 plasmid co-localizing *mcr-1* and ESBL
600 genes in an *E. coli* strain isolated from French veal calves (Haenni et al. 2016). In Italy,
601 Carnevali et al. reported the detection of *mcr-1* in *Salmonella* spp strains isolated from
602 poultry and pigs (Carnevali et al. 2016). Subsequently, *mcr-1* was further detected in *E. coli*
603 of swine origin. In the aforementioned report, *mcr-1* was co-existent with the carbapenemase
604 OXA-181 in the same bacterium and was carried on an IncX4 plasmid type (Pulss et al.
605 2017). In the Mediterranean basin, likewise ESBL producers, *mcr* positive strains belong to

606 different phylogroups and appear to be not clonally related; however, they were not
607 associated to a common plasmid or an insertion sequence type. This questions the molecular
608 mechanism by which the *mcr* genes are being disseminating in this region of the world. More
609 molecular work is warranted in this area especially that *mcr* genes are often located on
610 plasmids carrying ESBL and/or carbapenemase genes.

611

612 **Antibiotic use in animals and potential impact on public health**

613 For many years, the use of antibiotics in the veterinary medicine has increased animal health
614 via lowering mortality and the incidence of infectious diseases (Hao et al. 2014). However, in
615 view of the heavy dissemination of resistant organisms namely ESBL, AmpC and
616 carbapenemase producers in addition to the emergence of colistin resistance in livestock and
617 animals with frequent contacts with human; the efficiency of antibiotic administration to
618 animals has been reconsidered. Indeed, antibiotic use in animals is not controlled, in that
619 these latter are not only prescribed for treatment, but are also given for prophylaxis and as
620 growth promoters (Economou and Gousia 2015). In its recent publication, the world health
621 organization recommended a reduction but an overall restriction of the use of medically
622 important antibiotics for prophylaxis and growth promotion in farm animals (WHO 2017
623 2017). According to the world health organization list of Critically Important Antimicrobials
624 for Human Medicine (WHO CIA list), these include mainly extended spectrum
625 cephalosporins, macrolide, ketolides, glycopeptides and polymixins (WHO CIA 2017 2017).
626 The control of antibiotic use in the veterinary sector aims to reduce the emergence of
627 resistance in addition to preserving the efficacy of important classes for treatment in the
628 human medicine.

629 In the Mediterranean region, tetracyclines, aminoglycosides, sulfonamides, fluoroquinolones
630 and polymixins are the most common antimicrobial classes prescribed in the veterinary sector
631 (table 1). The usage level of each antibiotic class in addition to its real purpose of
632 administration apart from treatment is limited and not well understood in this area of the
633 world. In fact, it is nowadays accepted that the over-use of antibiotics in animals is the main
634 driven for the dissemination of multi-drug resistance (Barton 2014). As shown in table 1,
635 ESBL, AmpC and carbapenemase producers are often co-resistant to non beta-lactam
636 antibiotics with the most common being gentamicin, streptomycin, tetracycline,
637 trimethoprim-sulfamethoxazole, nalidixic acid and ciprofloxacin. One study conducted in
638 healthy chicken in Tunisia showed the presence of *tetA*, *tetB*, *sul1* and *sul2* on the same
639 plasmids carrying the *bla*CTX-M genes (Maamar et al. 2016). Another study in Egypt,

640 reported the detection of tetB, qnrB2, qnrA1, aadA1 on the same gene cassette along with the
641 blaCMY-2 AmpC beta-lactamase gene (Ahmed, Shimamoto, Shimamoto 2013). In Italy,
642 strA/B, tetD, qnrB, aadA1, sulI genes were associated with the blaCTX-M and blaSHV
643 ESBL genes types in companion animals (Donati et al. 2014). Furthermore, in this same
644 country, aminoglycoside modifying enzymes (aadA1, aadA2), quinolone resistance genes
645 (qnrS1), florfenicol/chloramphenicol resistance gene (floR), in addition to tetracycline and
646 sulfonamide resistance genes (tetA, sul1, sul2, sul3) were found associated with OXA-48/181
647 and OXA-48/181/ CMY-2 /mcr-1 positive E. coli strains isolated from pigs (Pulss et al.
648 2017). In Salmonella enterica, Franco et al reported the detection of a megaplasmid
649 harboring the blaCTX-M-1 ESBL gene along with tetA, sulI, dfrA1 and dfrA14 conferring
650 thus additional resistance towards tetracycline, sulfonamide and trimethoprim (Franco et al.
651 2015). Beta-lactamase producing Gram-negative bacilli appear thus to be selected by the co-
652 selective pressure applied by the use of non beta-lactam antibiotics in livestock and
653 companion animals. Surveillance studies addressing the types, purpose and level of antibiotic
654 classes' administration in animals of the Mediterranean region are warranted in order to
655 develop approaches that control the use of antibiotics while preserving animal's health. This
656 is especially in Syria, Cyprus, Albania, Montenegro, Bosnia, Herzegovina, Monaco,
657 Morocco and Libya where even no data exists on the prevalence and epidemiology of multi-
658 drug resistant organisms in animals.

659 The spread of multi-drug resistant organisms of animal origin is sparked by the concern of
660 being transmitted to humans; these latter can then be causative agents for infections with
661 limited therapeutic options (Bettioli and Harbarth 2015). The transfer of resistant organisms
662 from animals to humans can occur either via direct contact or indirectly via the consumption
663 of under/uncooked animals products (Dahms et al. 2014). Recent studies have also
664 highlighted the importance of the farms surrounding environment in the transmission chain.
665 Air (von Salviati et al. 2015), dust (Blaak et al. 2015), contaminated waste waters (Guenther,
666 Ewers, Wieler 2011) and soil fertilized with animal manures (Laube et al. 2014) are all
667 potential sources from which resistant organisms can be transferred to the general population.
668 In their study, Olaitan et al, demonstrated the transfer of a colistin resistant E. coli strain from
669 a pigs to its owner (Olaitan et al. 2015). This was documented by both strains (in the pig and
670 its owner) having the same sequence types and sharing the same virulence as well as same
671 PFGE patterns (Olaitan et al. 2015). The increased risk of ESBL fecal carriage in humans
672 with frequent contact with broilers has been further taken as an evidence of transmission
673 (Huijbers et al. 2014). Furthermore, sharing the same sequence types, virulence and PFGE

674 patterns in addition to common plasmids/ESBL genes are all proofs for the possible transfer
675 of resistant organisms and/or genes from the veterinary sector to the human population
676 (Leverstein-van Hall et al. 2011). In Algeria, Djeflal et al reported the detection of a common
677 sequence type (ST15) in *Salmonella* spp producing ESBL isolated from both humans and
678 avian isolates (Djeflal et al. 2017). In Egypt, Hamza et al showed an abundance of
679 carbapenemase genes namely blaOXA-48, blaKPC and blaNDM in chicken, drinking water
680 and farm workers suggesting a possible transmission of carbapenemase encoding genes from
681 broilers to farmers and the surrounding environment (Hamza, Dorgham, Hamza 2016).
682 Another study conducted in Italy reported the spread of a multi-drug resistant clone of
683 “*Salmonella enterica* subsp. *enterica* serovar *Infantis*” that was first detected in 2011 in
684 broiler farms and few years later led to human infections most likely via transmission from
685 the broiler industry (Franco et al. 2015). In Spain, common blaCTX-M-grp1 and blaCTX-M-
686 grp9 ESBL genes were detected in retail meat as well as in *E. coli* strains isolated from
687 infected and colonized patients in the same region (Doi et al. 2010). In France, Hartmann et
688 al showed a clonal relationship among CTX-M carrying *E. coli* strains in cattle and farm
689 cultivated soils (Hartmann et al. 2012). Another study in cattle, demonstrated that CTX-M-15
690 harboring plasmids in non-ST131 *E. coli* strains are highly similar to those detected in
691 humans suggesting thus a multi-clonal plasmidic transmission of multi-drug resistant
692 organisms from livestock to the humans (Madec et al. 2012). The detection of common genes
693 and sequence types among animals and humans and the surrounding environment emphasizes
694 the need to have a global intervention measures to avoid the dissemination of multi-drug
695 resistance in the one health concept.

696

697 **Conclusion**

698 Antimicrobials have been used in veterinary medicine for more than 50 years. The use of
699 antibiotics proved to be crucial for animal health by lowering mortality and incidence of
700 diseases, in addition to controlling the transmission of infectious agents to the human
701 population. Recently, the dissemination of ESBL, carbapenemase and colistin resistant Gram
702 negative bacteria in food producing animals brought into question the real efficacy of
703 antibiotic administration in animals in terms of treatment, prophylaxis and growth promotion.
704 Indeed, the emergence of MDR in food producing animals has been suggested to be largely
705 linked to the over and misuse of antibiotics in veterinary medicine. The level of antibiotic
706 consumption in animals varies between countries. Although, cephalosporins are not often

707 prescribed in veterinary medicine, the use of other non-beta-lactams could account for the co-
708 selection of multi-drug resistant bacteria. As shown in Table 1, ESBL and carbapenemase
709 producers were frequently co-resistant to aminoglycosides, tetracyclines and
710 fluoroquinolones, with these latter being mostly used in the veterinary field. Furthermore, the
711 aforementioned antibiotics are classified by the World Health Organization as critically
712 important antibiotics for human medicine that should be restricted in the animal field
713 (Collignon et al. 2016). That said, the direct public health effect of the transmission of MDR
714 bacteria from animals to humans is still controversial. Several studies have demonstrated a
715 direct link of transmission between these two ecosystems. Resistant bacteria once transmitted
716 to humans can be further selected by the over-use of antimicrobial agents in the clinical and
717 community settings. This spread will promote the global dissemination of bacterial resistance
718 across all ecosystems. The level of antibiotic consumption in animals in the European
719 countries lining the Mediterranean is available in the European Surveillance of Veterinary
720 Antimicrobial Consumption report (EMA/ESVAC, 2014), however this is not the case for
721 the countries in North Africa and western Asia, where no accurate data are available.
722 Therefore, surveillance studies investigating the levels of antibiotic prescription should be
723 conducted in these areas. Antimicrobial prescriptions in animals should be re-considered and
724 controlled to limit the spread of bacteria which are cross resistant to the antibiotics used in
725 human medicine. In addition, a risk assessment of other factors contributing to the emergence
726 of antimicrobial resistance in animals should be conducted in future studies. Poor sanitary
727 conditions, overcrowding and poor infection control practices in animals are all possible
728 contributors to the robust emergence of MDR in food-producing animals.

729

730 **Conflict of Interest Statement**

731 No conflicts of interest or financial disclosure for all authors.

732

733 **Acknowledgements**

734 We thank TradOnline for English corrections.

735

736 **Authors' contributions**

737 ID and SC wrote the review paper. ZD and JMR corrected the manuscript. All authors
738 approved and revised the final version of the manuscript.

739

740 **Funding**

741 This work was funded by the Lebanese Council for Research and the French Government
742 under the « Investissements d’avenir » (Investments for the Future) program managed by the
743 Agence Nationale de la Recherche (ANR, fr: National Agency for Research), (reference:
744 Méditerranée Infection 10-IAHU-03).

745

746

747

748

749

750

751

752

753

754

755

756

757

758

759

760

761

762

763

764

765

766

767

768

769

770

771

772

773

774

775 **References**

- 776 European medicines agency, European surveillance of veterinary antimicrobial consumption
777 (EMA/ESVAC). sales of veterinary antimicrobial agents in 29 EU/EEA countries in
778 2014. sixth ESVAC report. european Medicines Agency. p. 1–174.
- 779 Abdallah, HM., Reuland, EA., Wintermans, BB., Al Naiemi, N., Koek, A., Abdelwahab,
780 AM., et al. (2015). Extended-spectrum beta-lactamases and/or carbapenemases-
781 producing enterobacteriaceae isolated from retail chicken meat in zagazig, egypt. PLoS
782 One 10(8):e0136052.
- 783 Abdel-Moein, KA., and Samir, A. (2014). Occurrence of extended spectrum beta-lactamase-
784 producing enterobacteriaceae among pet dogs and cats: An emerging public health threat
785 outside health care facilities. Am J Infect Control 42(7):796-8.
- 786 Abreu, R., Castro, B., Espigares, E., Rodriguez-Alvarez, C., Lecuona, M., Moreno, E., et al.
787 (2014). Prevalence of CTX-M-type extended-spectrum beta-lactamases in escherichia
788 coli strains isolated in poultry farms. Foodborne Pathog Dis 11(11):868-73.
- 789 Accogli, M., Fortini, D., Giufre, M., Graziani, C., Dolejska, M., Carattoli, A., et al. (2013).
790 IncII plasmids associated with the spread of CMY-2, CTX-M-1 and SHV-12 in
791 escherichia coli of animal and human origin. Clin Microbiol Infect 19(5):E238-40.
- 792 Adler, A., Sturlesi, N., Fallach, N., Zilberman-Barzilai, D., Hussein, O., Blum, SE., et al.
793 (2015). Prevalence, risk factors, and transmission dynamics of extended-spectrum-beta-
794 lactamase-producing enterobacteriaceae: A national survey of cattle farms in israel in
795 2013. J Clin Microbiol 53(11):3515-21.
- 796 Ahmed, AM., and Shimamoto, T. (2015). Molecular analysis of multidrug resistance in shiga
797 toxin-producing escherichia coli O157:H7 isolated from meat and dairy products. Int J
798 Food Microbiol 193:68-73.
- 799 Ahmed, AM., and Shimamoto T. (2013). Molecular characterization of multidrug-resistant
800 avian pathogenic escherichia coli isolated from septicemic broilers. Int J Med Microbiol
801 303(8):475-83.
- 802 Ahmed, AM., Younis, EE., Osman, SA., Ishida, Y., El-Khodery, SA., Shimamoto, T. (2009).
803 Genetic analysis of antimicrobial resistance in escherichia coli isolated from diarrheic
804 neonatal calves. Vet Microbiol 136(3-4):397-402.
- 805 Al Bayssari, C., Dabboussi, F., Hamze, M., Rolain, JM. (2015a). Emergence of
806 carbapenemase-producing pseudomonas aeruginosa and acinetobacter baumannii in
807 livestock animals in lebanon. J Antimicrob Chemother 70(3):950-1.
- 808 Al Bayssari, C., Olaitan, AO., Dabboussi, F., Hamze, M., Rolain, JM. (2015b). Emergence of
809 OXA-48-producing escherichia coli clone ST38 in fowl. Antimicrob Agents Chemother
810 59(1):745-6.
- 811 Alonso, CA., Gonzalez-Barrio, D., Tenorio, C., Ruiz-Fons, F., Torres, C. (2016).
812 Antimicrobial resistance in faecal escherichia coli isolates from farmed red deer and wild
813 small mammals. detection of a multiresistant E. coli producing extended-spectrum beta-
814 lactamase. Comp Immunol Microbiol Infect Dis 45:34-9.
- 815 Al-Tawfiq, JA., Laxminarayan, R., Mendelson, M. (2017). How should we respond to the
816 emergence of plasmid-mediated colistin resistance in humans and animals? Int J Infect
817 Dis 54:77-84.
- 818 Antunes, P., Mourao, J., Campos, J., Peixe, L. (2016). Salmonellosis: The role of poultry
819 meat. Clin Microbiol Infect 22(2):110-21.
- 820 Bachiri, T., Bakour, S., Ladjouzi, R., Thongpan, L., Rolain, JM., Touati, A. (2017). High
821 rates of CTX-M-15-producing escherichia coli and klebsiella pneumoniae in wild boars
822 and barbary macaques in algeria. J Glob Antimicrob Resist 8:35-40.

823 Bagge, E., Lewerin, SS., Johansson, KE. (2009). Detection and identification by PCR of
824 clostridium chauvoei in clinical isolates, bovine faeces and substrates from biogas plant.
825 Acta Vet Scand 51:8,0147-51-8.

826 Baron, S., Hadjadj, L., Rolain, JM., Olaitan, AO. (2016). Molecular mechanisms of
827 polymyxin resistance: Knowns and unknowns. Int J Antimicrob Agents 48(6):583-91.

828 Barton, MD. (2014). Impact of antibiotic use in the swine industry. Curr Opin Microbiol
829 19:9-15.

830 Belmahdi, M., Bakour, S., Al Bayssari, C., Touati, A., Rolain, JM. (2016). Molecular
831 characterisation of extended-spectrum beta-lactamase- and plasmid AmpC-producing
832 escherichia coli strains isolated from broilers in bejaia, algeria. J Glob Antimicrob Resist
833 6:108-12.

834 Belmar, Campos C., Fenner, I., Wiese, N., Lensing, C., Christner, M., Rohde, H.,
835 Aepfelbacher, M., Fenner, T., Hentschke, M. (2014). Prevalence and genotypes of
836 extended spectrum beta-lactamases in enterobacteriaceae isolated from human stool and
837 chicken meat in hamburg, germany. Int J Med Microbiol 304(5-6):678-84.

838 Ben Sallem, R., Ben Slama, K., Saenz, Y., Rojo-Bezares, B., Estepa, V., Jouini, A., et al.
839 (2012). Prevalence and characterization of extended-spectrum beta-lactamase (ESBL)-
840 and CMY-2-producing escherichia coli isolates from healthy food-producing animals in
841 tunisia. Foodborne Pathog Dis 9(12):1137-42.

842 Ben Slama, K., Jouini, A., Ben Sallem, R., Somalo, S., Saenz, Y., Estepa, V., et al. (2010).
843 Prevalence of broad-spectrum cephalosporin-resistant escherichia coli isolates in food
844 samples in tunisia, and characterization of integrons and antimicrobial resistance
845 mechanisms implicated. Int J Food Microbiol 137(2-3):281-6.

846 Bettiol, E., and Harbarth, S. (2015). Development of new antibiotics: Taking off finally?
847 Swiss Med Wkly 145:w14167.

848 Blaak, H., van Hoek, AH., Hamidjaja, RA., van der Plaats, RQ., Kerkhof-de Heer, L., de
849 Roda Husman, AM., et al. (2015). Distribution, numbers, and diversity of ESBL-
850 producing E. coli in the poultry farm environment. PLoS One 10(8):e0135402.

851 Blanc, V., Mesa, R., Saco, M., Lavilla, S., Prats, G., Miro, E., et al. (2006). ESBL- and
852 plasmidic class C beta-lactamase-producing E. coli strains isolated from poultry, pig and
853 rabbit farms. Vet Microbiol 118(3-4):299-304.

854 Bogaerts, P., Huang, TD., Bouchahrouf, W., Bauraing, C., Berhin, C., El Garch, F., et al.
855 ComPath Study Group. (2015). Characterization of ESBL- and AmpC-producing
856 enterobacteriaceae from diseased companion animals in europe. Microb Drug Resist
857 21(6):643-50.

858 Bonnedahl, J., Drobni, M., Gauthier-Clerc, M., Hernandez, J., Granholm, S., Kayser, Y., et
859 al. (2009). Dissemination of escherichia coli with CTX-M type ESBL between humans
860 and yellow-legged gulls in the south of france. PLoS One 4(6):e5958.

861 Bortolaia, V., Guardabassi, L., Trevisani, M., Bisgaard, M., Venturi, L., Bojesen, AM.
862 (2010). High diversity of extended-spectrum beta-lactamases in escherichia coli isolates
863 from italian broiler flocks. Antimicrob Agents Chemother 54(4):1623-6.

864 Brahmi, S., Dunyach-Remy, C., Touati, A., Lavigne, JP. (2015). CTX-M-15-producing
865 escherichia coli and the pandemic clone O25b-ST131 isolated from wild fish in
866 mediterranean sea. Clin Microbiol Infect 21(3):e18-20.

867 Brahmi, S., Touati, A., Cadiere, A., Djahmi, N., Pantel, A., Sotto, A., et al. (2016). First
868 description of two sequence type 2 acinetobacter baumannii isolates carrying OXA-23
869 carbapenemase in pagellus acarne fished from the mediterranean sea near bejaia, algeria.
870 Antimicrob Agents Chemother 60(4):2513-5.

871 Braun, SD., Ahmed, MF., El-Adawy, H., Hotzel, H., Engelmann, I., Weiss, D., et al. (2016).
872 Surveillance of extended-spectrum beta-lactamase-producing escherichia coli in dairy
873 cattle farms in the Nile delta, Egypt. *Front Microbiol* 7:1020.

874 Carnevali, C., Morganti, M., Scaltriti, E., Bolzoni, L., Pongolini, S., Casadei, G. (2016).
875 Occurrence of mcr-1 in colistin-resistant salmonella enterica isolates recovered from
876 humans and animals in Italy, 2012 to 2015. *Antimicrob Agents Chemother* 60(12):7532-
877 4.

878 Casal, J., Mateu, E., Mejia, W., Martin, M. (2007). Factors associated with routine mass
879 antimicrobial usage in fattening pig units in a high pig-density area. *Vet Res* 38(3):481-
880 92.

881 Catry, B., Cavaleri, M., Baptiste, K., Grave, K., Grein, K., Holm, A., et al. (2015). Use of
882 colistin-containing products within the European Union and European Economic Area
883 (EU/EEA): Development of resistance in animals and possible impact on human and
884 animal health. *Int J Antimicrob Agents* 46(3):297-306.

885 Chaalal, W., Chaalal, N., Bakour, S., Kihal, M., Rolain, JM. (2016). First occurrence of
886 NDM-1 in acinetobacter baumannii ST85 isolated from Algerian dairy farms. *J Glob*
887 *Antimicrob Resist* 7:150-1.

888 Chabou, S., Leulmi, H., Davoust, B., Aouadi, A., Rolain, JM. (2017). Prevalence of
889 extended-spectrum beta-lactamase and carbapenemase-encoding genes in poultry feces
890 from Algeria and Marseille, France. *J Glob Antimicrob Resist*.

891 Chiaretto, G., Zavagnin, P., Bettini, F., Mancin, M., Minorello, C., Saccardin, C., et al.
892 (2008). Extended spectrum beta-lactamase SHV-12-producing salmonella from poultry.
893 *Vet Microbiol* 128(3-4):406-13.

894 Choi, D., Chon, JW., Kim, HS., Kim, DH., Lim, JS., Yim, JH., et al. (2015). Incidence,
895 antimicrobial resistance, and molecular characteristics of nontyphoidal salmonella
896 including extended-spectrum beta-lactamase producers in retail chicken meat. *J Food*
897 *Prot* 78(11):1932-7.

898 Collignon, PC., Conly, JM., Andremont, A., McEwen, SA., Aidara-Kane, A., et al. World
899 Health Organization Advisory Group, Bogota Meeting on Integrated Surveillance of
900 Antimicrobial Resistance (WHO-AGISAR). (2016). World Health Organization ranking
901 of antimicrobials according to their importance in human medicine: A critical step for
902 developing risk management strategies to control antimicrobial resistance from food
903 animal production. *Clin Infect Dis* 63(8):1087-93.

904 Conen, A., Frei, R., Adler, H., Dangel, M., Fux, CA., Widmer, AF. (2015). Microbiological
905 screening is necessary to distinguish carriers of plasmid-mediated AmpC beta-lactamase-
906 producing enterobacteriaceae and extended-spectrum beta-lactamase (ESBL)-producing
907 enterobacteriaceae because of clinical similarity. *PLoS One* 10(3):e0120688.

908 Cortes, P., Blanc, V., Mora, A., Dahbi, G., Blanco, JE., Blanco, M., et al. (2010). Isolation
909 and characterization of potentially pathogenic antimicrobial-resistant escherichia coli
910 strains from chicken and pig farms in Spain. *Appl Environ Microbiol* 76(9):2799-805.

911 Dahmen, S., Haenni, M., Chatre, P., Madec, JY. (2013a). Characterization of blaCTX-M
912 IncFII plasmids and clones of escherichia coli from pets in France. *J Antimicrob*
913 *Chemother* 68(12):2797-801.

914 Dahmen, S., Metayer, V., Gay, E., Madec, JY., Haenni, M. (2013b). Characterization of
915 extended-spectrum beta-lactamase (ESBL)-carrying plasmids and clones of
916 enterobacteriaceae causing cattle mastitis in France. *Vet Microbiol* 162(2-4):793-9.

917 Dahms, C., Hubner, NO., Wilke, F., Kramer, A. (2014). Mini-review: Epidemiology and
918 zoonotic potential of multiresistant bacteria and clostridium difficile in livestock and
919 food. *GMS Hyg Infect Control* 9(3):Doc21.

920 Dahshan, H., Abd-Elall, AM., Megahed, AM., Abd-El-Kader, MA., Nabawy, EE. (2015).
921 Veterinary antibiotic resistance, residues, and ecological risks in environmental samples
922 obtained from poultry farms, egypt. *Environ Monit Assess* 187(2):2,014-4218-3. Epub
923 2015 Jan 20.

924 Dandachi, I., Thongpan,L., Daoud, Z., Rolain, JM. (2018). First Detection of mcr-1 plasmid
925 mediated colistin resistant E. coli in Lebanese poultry. *J Glob Antimicrob Resist*.
926 Dandachi, I., Salem, ES., Dahdouh, E., Azar, E., El-Bazzal, B., Rolain, JM., Daoud, Z.
927 (2018). Prevalence and Characterization of Multi-drug-resistant Gram-negative Bacilli
928 Isolated from Lebanese Poultry: A Nationwide Study.*Frontiers in Microbiology*.
929 De Jong, A., Thomas, V., Klein, U., Marion, H., Moyaert, H., Simjee, S., et al. (2013). Pan-
930 european resistance monitoring programmes encompassing food-borne bacteria and
931 target pathogens of food-producing and companion animals. *Int J Antimicrob Agents*
932 41(5):403-9.

933 Delcour, AH. (2009). Outer membrane permeability and antibiotic resistance. *Biochim*
934 *Biophys Acta* 1794(5):808-16.

935 Diab, M., Hamze, M., Madec, JY., Haenni, M. (2016). High prevalence of non-ST131 CTX-
936 M-15-producing escherichia coli in healthy cattle in lebanon. *Microb Drug Resist* .

937 Dierikx, CM., van der Goot, JA., Smith, HE., Kant, A., Mevius, DJ. (2013). Presence of
938 ESBL/AmpC-producing escherichia coli in the broiler production pyramid: A descriptive
939 study. *PLoS One* 8(11):e79005.

940 Dierikx, CM., van Duijkeren, E., Schoormans, AH., van Essen-Zandbergen, A., Veldman, K.,
941 Kant, A., et al. (2012). Occurrence and characteristics of extended-spectrum-beta-
942 lactamase- and AmpC-producing clinical isolates derived from companion animals and
943 horses. *J Antimicrob Chemother* 67(6):1368-74.

944 Djeflal, S., Bakour, S., Mamache, B., Elgroud, R., Agabou, A., Chabou, S., et al. (2017).
945 Prevalence and clonal relationship of ESBL-producing salmonella strains from humans
946 and poultry in northeastern algeria. *BMC Vet Res* 13(1):132,017-1050-3.

947 Doi, Y., Paterson, DL., Egea, P., Pascual, A., Lopez-Cerero, L., Navarro, MD., et al. (2010).
948 Extended-spectrum and CMY-type beta-lactamase-producing escherichia coli in clinical
949 samples and retail meat from pittsburgh, USA and seville, spain. *Clin Microbiol Infect*
950 16(1):33-8.

951 Donati, V., Feltrin, F., Hendriksen, RS., Svendsen, CA., Cordaro, G., Garcia-Fernandez, A.,
952 et al. (2014). Extended-spectrum-beta-lactamases, AmpC beta-lactamases and plasmid
953 mediated quinolone resistance in klebsiella spp. from companion animals in italy. *PLoS*
954 *One* 9(3):e90564.

955 Economou, V., and Gousia, P. (2015). Agriculture and food animals as a source of
956 antimicrobial-resistant bacteria. *Infect Drug Resist* 8:49-61.

957 EFSA. 2017. The european union summary report on trends and sources of zoonoses,
958 zoonotic agents and food-borne outbreaks in 2016.

959 Egea, P., Lopez-Cerero, L., Torres, E., Gomez-Sanchez Mdel, C., Serrano, L., Navarro
960 Sanchez-Ortiz, MD., et al. (2012). Increased raw poultry meat colonization by extended
961 spectrum beta-lactamase-producing escherichia coli in the south of spain. *Int J Food*
962 *Microbiol* 159(2):69-73.

963 El Salabi, A., Walsh, TR., Chouchani, C. (2013). Extended spectrum beta-lactamases,
964 carbapenemases and mobile genetic elements responsible for antibiotics resistance in
965 gram-negative bacteria. *Crit Rev Microbiol* 39(2):113-22.

966 El Garch, F., Sauget, M., Hocquet, D., LeChaudee, D., Woehrlé, F., Bertrand, X. (2017).
967 Mcr-1 is borne by highly diverse escherichia coli isolates since 2004 in food-producing
968 animals in europe. *Clin Microbiol Infect* 23(1):51.e1,51.e4.

969 Elhariri, M., Hamza, D., Elhelw, R., Dorgham, SM. (2017). Extended-spectrum beta-
970 lactamase-producing pseudomonas aeruginosa in camel in egypt: Potential human
971 hazard. *Ann Clin Microbiol Antimicrob* 16(1):21.

972 El-Shazly, DA., Nasef, SA., Mahmoud, FF., Jonas, D. (2017). Expanded spectrum beta-
973 lactamase producing escherichia coli isolated from chickens with colibacillosis in egypt.
974 *Poult Sci* .

975 Escudero, E., Vinue, L., Teshager, T., Torres, C., Moreno, MA. (2010). Resistance
976 mechanisms and farm-level distribution of fecal escherichia coli isolates resistant to
977 extended-spectrum cephalosporins in pigs in spain. *Res Vet Sci* 88(1):83-7.

978 Ewers, C., Bethe, A., Semmler, T., Guenther, S., Wieler, LH. (2012). Extended-spectrum
979 beta-lactamase-producing and AmpC-producing escherichia coli from livestock and
980 companion animals, and their putative impact on public health: A global perspective.
981 *Clin Microbiol Infect* 18(7):646-55.

982 Ewers, C., Klotz, P., Scheufen, S., Leidner, U., Gottig, S., Semmler, T. (2016). Genome
983 sequence of OXA-23 producing acinetobacter baumannii IHIT7853, a carbapenem-
984 resistant strain from a cat belonging to international clone IC1. *Gut Pathog* 8:37,016-
985 0119-z. eCollection 2016.

986 Franco, A., Leekitcharoenphon, P., Feltrin, F., Alba, P., Cordaro, G., Iurescia, M., et al.
987 (2015). Emergence of a clonal lineage of multidrug-resistant ESBL-producing
988 salmonella infantis transmitted from broilers and broiler meat to humans in italy between
989 2011 and 2014. *PLoS One* 10(12):e0144802.

990 Ghodousi, A., Bonura, C., Di Noto, AM., Mammina, C. (2015). Extended-spectrum ss-
991 lactamase, AmpC-producing, and fluoroquinolone-resistant escherichia coli in retail
992 broiler chicken meat, italy. *Foodborne Pathog Dis* 12(7):619-25.

993 Ghodousi, A., Bonura, C., Di Carlo, P., van Leeuwen, WB., Mammina, C. (2016).
994 Extraintestinal pathogenic escherichia coli sequence type 131 H30-R and H30-rx
995 subclones in retail chicken meat, italy. *Int J Food Microbiol* 228:10-3.

996 Giedraitiene, A., Vitkauskiene, A., Naginiene, R., Pavilonis, A. (2011). Antibiotic resistance
997 mechanisms of clinically important bacteria. *Medicina (Kaunas)* 47(3):137-46.

998 Giufre, M., Graziani, C., Accogli, M., Luzzi, I., Busani, L., Cerquetti, M. Study Group.
999 (2012). Escherichia coli of human and avian origin: Detection of clonal groups
1000 associated with fluoroquinolone and multidrug resistance in italy. *J Antimicrob*
1001 *Chemother* 67(4):860-7.

1002 Gonzalez-Torralba, A., Oteo, J., Asenjo, A., Bautista, V., Fuentes, E., et al. (2016). Survey of
1003 carbapenemase-producing enterobacteriaceae in companion dogs in madrid, spain.
1004 *Antimicrob Agents Chemother* 60(4):2499-501.

1005 Grami, R., Mansour, W., Mehri, W., Bouallegue, O., Boujaafar, N., Madec, JY., et al. (2016).
1006 Impact of food animal trade on the spread of mcr-1-mediated colistin resistance, tunisia,
1007 july 2015. *Euro Surveill* 21(8):30144,7917.ES.2016.21.8.30144.

1008 Grami, R., Mansour, W., Dahmen, S., Mehri, W., Haenni, M., Aouni, M., Madec, JY. (2013).
1009 The blaCTX-M-1 Inc11/ST3 plasmid is dominant in chickens and pets in tunisia. *J*
1010 *Antimicrob Chemother* 68(12):2950-2.

1011 Grami, R., Dahmen, S., Mansour, W., Mehri, W., Haenni, M., Aouni, M., et al. (2014).
1012 blaCTX-M-15-carrying F2:A-B- plasmid in escherichia coli from cattle milk in tunisia.
1013 *Microb Drug Resist* 20(4):344-9.

1014 Guenther, S., Ewers, C., Wieler, LH. (2011). Extended-spectrum beta-lactamases producing
1015 E. coli in wildlife, yet another form of environmental pollution? *Front Microbiol* 2:246.

1016 Guerra, B., Fischer, J., Helmuth, R. (2014). An emerging public health problem: Acquired
1017 carbapenemase-producing microorganisms are present in food-producing animals, their
1018 environment, companion animals and wild birds. *Vet Microbiol* 171(3-4):290-7.

- 1019 Gundogan, N., Citak, S., Yalcin, E. (2011). Virulence properties of extended spectrum beta-
1020 lactamase-producing klebsiella species in meat samples. *J Food Prot* 74(4):559-64.
- 1021 Haenni, M., Chatre, P., Madec, JY. (2014). Emergence of escherichia coli producing
1022 extended-spectrum AmpC beta-lactamases (ESAC) in animals. *Front Microbiol* 5:53.
- 1023 Haenni, M., Metayer, V., Gay, E., Madec, JY. (2016). Increasing trends in mcr-1 prevalence
1024 among extended-spectrum-beta-lactamase-producing escherichia coli isolates from
1025 french calves despite decreasing exposure to colistin. *Antimicrob Agents Chemother*
1026 60(10):6433-4.
- 1027 Haenni, M., Saras, E., Metayer, V., Medaille, C., Madec, JY. (2014). High prevalence of
1028 blaCTX-M-1/IncI1/ST3 and blaCMY-2/IncI1/ST2 plasmids in healthy urban dogs in
1029 france. *Antimicrob Agents Chemother* 58(9):5358-62.
- 1030 Haenni, M., Saras, E., Ponsin, C., Dahmen, S., Petitjean, M., Hocquet, D., et al. (2016). High
1031 prevalence of international ESBL CTX-M-15-producing enterobacter cloacae ST114
1032 clone in animals. *J Antimicrob Chemother* 71(6):1497-500.
- 1033 Haenni, M., Chatre, P., Metayer, V., Bour, M., Signol, E., Madec, JY., et al. (2014).
1034 Comparative prevalence and characterization of ESBL-producing enterobacteriaceae in
1035 dominant versus subdominant enteric flora in veal calves at slaughterhouse, france. *Vet*
1036 *Microbiol* 171(3-4):321-7.
- 1037 Haenni, M., Poirel, L., Kieffer, N., Chatre, P., Saras, E., Metayer, V., et al. (2016). Co-
1038 occurrence of extended spectrum beta-lactamase and MCR-1 encoding genes on
1039 plasmids. *Lancet Infect Dis* 16(3):281-2.
- 1040 Hamza, E., Dorgham, SM., Hamza, DA. (2016). Carbapenemase-producing klebsiella
1041 pneumoniae in broiler poultry farming in egypt. *J Glob Antimicrob Resist* 7:8-10.
- 1042 Hao, H., Cheng, G., Iqbal, Z., Ai, X., Hussain, HI., Huang, L., Dai, M., Wang, Y., Liu, Z.,
1043 Yuan, Z. (2014). Benefits and risks of antimicrobial use in food-producing animals. *Front*
1044 *Microbiol* 5:288.
- 1045 Hartmann, A., Locatelli, A., Amoureux, L., Depret, G., Jolivet, C., Gueneau, E., et al. (2012).
1046 Occurrence of CTX-M producing escherichia coli in soils, cattle, and farm environment
1047 in france (burgundy region). *Front Microbiol* 3:83.
- 1048 Herivaux, A., Pailhories, H., Quinqueneau, C., Lemarie, C., Joly-Guillou, ML., Ruvoen, N.,
1049 et al. (2016). First report of carbapenemase-producing acinetobacter baumannii carriage
1050 in pets from the community in france. *Int J Antimicrob Agents* 48(2):220-1.
- 1051 Hernandez, M., Iglesias, MR., Rodriguez-Lazaro, D., Gallardo, A., Quijada, N., Miguela-
1052 Villoldo, P., et al. (2017). Co-occurrence of colistin-resistance genes mcr-1 and mcr-3
1053 among multidrug-resistant escherichia coli isolated from cattle, spain, september 2015.
1054 *Euro Surveill* 22(31):10.2807/1560,7917.ES.2017.22.31.30586.
- 1055 Hernandez, J., Johansson, A., Stedt, J., Bengtsson, S., Porczak, A., Granholm, S., Gonzalez-
1056 Acuna, D., Olsen, B., Bonnedahl, J., Drobni, M. (2013). Characterization and comparison
1057 of extended-spectrum beta-lactamase (ESBL) resistance genotypes and population
1058 structure of escherichia coli isolated from franklin's gulls (leucophaeus pipixcan) and
1059 humans in chile. *PLoS One* 8(9):e76150.
- 1060 Hou, J., Wan, W., Mao, D., Wang, C., Mu, Q., Qin, S., et al. (2015). Occurrence and
1061 distribution of sulfonamides, tetracyclines, quinolones, macrolides, and nitrofurans in
1062 livestock manure and amended soils of northern china. *Environ Sci Pollut Res Int*
1063 22(6):4545-54.
- 1064 Hruby, CE., Soupir, ML., Moorman, TB., Shelley, M., Kanwar, RS. (2016). Effects of tillage
1065 and poultry manure application rates on salmonella and fecal indicator bacteria
1066 concentrations in tiles draining des moines loess soils. *J Environ Manage* 171:60-9.
- 1067 Huijbers, PM., Graat, EA., van Hoek, AH., Veenman, C., de Jong, MC., van Duijkeren, E.
1068 (2016). Transmission dynamics of extended-spectrum beta-lactamase and AmpC beta-

1069 lactamase-producing *escherichia coli* in a broiler flock without antibiotic use. *Prev Vet*
1070 *Med* 131:12-9.

1071 Huijbers, PM., Graat, EA., Haenen, AP., van Santen, MG., van Essen-Zandbergen, A.,
1072 Mevius, DJ., van Duijkeren, E., van Hoek, AH. (2014). Extended-spectrum and AmpC
1073 beta-lactamase-producing *escherichia coli* in broilers and people living and/or working
1074 on broiler farms: Prevalence, risk factors and molecular characteristics. *J Antimicrob*
1075 *Chemother* 69(10):2669-75.

1076 Jamborova, I., Dolejska, M., Vojtech, J., Guenther, S., Uricariu, R., Drozdowska, J., et al.
1077 (2015). Plasmid-mediated resistance to cephalosporins and fluoroquinolones in various
1078 *escherichia coli* sequence types isolated from rooks wintering in europe. *Appl Environ*
1079 *Microbiol* 81(2):648-57.

1080 Jouini, A., Slama, KB., Klibi, N., Sallem, RB., Estepa, V., Vinue, L., Saenz, Y., Ruiz-Larrea,
1081 F., Boudabous, A., Torres, C. (2013). Lineages and virulence gene content among
1082 extended-spectrum beta-lactamase-producing *escherichia coli* strains of food origin in
1083 tunisia. *J Food Prot* 76(2):323-7.

1084 Jouini, A., Vinue, L., Slama, KB., Saenz, Y., Klibi, N., Hammami, S., et al. (2007).
1085 Characterization of CTX-M and SHV extended-spectrum beta-lactamases and associated
1086 resistance genes in *escherichia coli* strains of food samples in tunisia. *J Antimicrob*
1087 *Chemother* 60(5):1137-41.

1088 Kempf, I., Fleury, MA., Drider, D., Bruneau, M., Sanders, P., Chauvin, C., et al. (2013).
1089 What do we know about resistance to colistin in enterobacteriaceae in avian and pig
1090 production in europe? *Int J Antimicrob Agents* 42(5):379-83.

1091 Khalifa, HO., Ahmed, AM., Oreiby, AF., Eid, AM., Shimamoto, T. (2016). Characterisation
1092 of the plasmid-mediated colistin resistance gene *mcr-1* in *escherichia coli* isolated from
1093 animals in egypt. *Int J Antimicrob Agents* 47(5):413-4.

1094 Kilani, H., Abbassi, MS., Ferjani, S., Mansouri, R., Sghaier, S., Ben Salem, R., Jaouani, I.,
1095 Douja, G., Brahim, S., Hammami, S., et al. (2015). Occurrence of *bla* CTX-M-1, *qnrB1*
1096 and virulence genes in avian ESBL-producing *escherichia coli* isolates from tunisia.
1097 *Front Cell Infect Microbiol* 5:38.

1098 Lambert, PA. (2005). Bacterial resistance to antibiotics: Modified target sites. *Adv Drug*
1099 *Deliv Rev* 57(10):1471-85.

1100 Laube, H., Friese, A., von Salviati, C., Guerra, B., Rosler, U. (2014). Transmission of
1101 ESBL/AmpC-producing *escherichia coli* from broiler chicken farms to surrounding areas.
1102 *Vet Microbiol* 172(3-4):519-27.

1103 Leverstein-van Hall, MA., Dierikx, CM., Cohen Stuart, J., Voets, GM., van den Munckhof,
1104 MP., van Essen-Zandbergen, A., Platteel, T., Fluit, AC., van de Sande-Bruinsma, N.,
1105 Scharinga, J., et al. (2011). Dutch patients, retail chicken meat and poultry share the same
1106 ESBL genes, plasmids and strains. *Clin Microbiol Infect* 17(6):873-80.

1107 Liakopoulos A, Betts J, La Ragione R, van Essen-Zandbergen A, Ceccarelli D, Petinaki E,
1108 Koutinas CK, Mevius DJ. (2018). Occurrence and characterization of extended-spectrum
1109 cephalosporin-resistant enterobacteriaceae in healthy household dogs in Greece. *J Med*
1110 *Microbiol* .

1111 Lima Barbieri, N., Nielsen, DW., Wannemuehler, Y., Cavender, T., Hussein, A., Yan, SG., et
1112 al. (2017). *Mcr-1* identified in avian pathogenic *escherichia coli* (APEC). *PLoS One*
1113 12(3):e0172997.

1114 Liu, YY., Wang, Y., Walsh, TR., Yi, LX., Zhang, R., Spencer, J., et al. (2016). Emergence of
1115 plasmid-mediated colistin resistance mechanism MCR-1 in animals and human beings in
1116 china: A microbiological and molecular biological study. *Lancet Infect Dis* 16(2):161-8.

1117 Mamar, E., Alonso, CA., Hamzaoui, Z., Dakhli, N., Abbassi, MS., Ferjani, S., Saidani, M.,
1118 Boutiba-Ben, Boubaker., I, Torres C. (2018). Emergence of plasmid-mediated colistin-

1119 resistance in CMY-2-producing *Escherichia coli* of lineage ST2197 in a Tunisian poultry
1120 farm. *Int J Food Microbiol* 269:60-3.

1121 Mamar, E., Hammami, S., Alonso, CA., Dakhli, N., Abbassi, MS., Ferjani, S., et al. (2016).
1122 High prevalence of extended-spectrum and plasmidic AmpC beta-lactamase-producing
1123 *Escherichia coli* from poultry in Tunisia. *Int J Food Microbiol* 231:69-75.

1124 Maciucă, IE., Williams, NJ., Tuchilus, C., Dorneanu, O., Guguianu, E., Carp-Carare, C., et al.
1125 (2015). High prevalence of *Escherichia coli*-producing CTX-M-15 extended-spectrum
1126 beta-lactamases in poultry and human clinical isolates in Romania. *Microb Drug Resist*
1127 21(6):651-62.

1128 Madec, JY., Poirel, L., Saras, E., Gourguechon, A., Girlich, D., Nordmann, P., et al. (2012).
1129 Non-ST131 *Escherichia coli* from cattle harbouring human-like bla(CTX-M-15)-carrying
1130 plasmids. *J Antimicrob Chemother* 67(3):578-81.

1131 Maravic, A., Skocibusic, M., Samanic, I., Fredotovic, Z., Cvjetan, S., Jutronic, M., et al.
1132 (2013). *Aeromonas* spp. simultaneously harbouring bla(CTX-M-15), bla(SHV-12),
1133 bla(PER-1) and bla(FOX-2), in wild-growing Mediterranean mussel (*Mytilus*
1134 *galloprovincialis*) from Adriatic Sea, Croatia. *Int J Food Microbiol* 166(2):301-8.

1135 Martinez-Martinez, L., and Gonzalez-Lopez, JJ. (2014). Carbapenemases in
1136 enterobacteriaceae: Types and molecular epidemiology. *Enferm Infecc Microbiol Clin* 32
1137 Suppl 4:4-9.

1138 Meguenni, N., Le Devendec, L., Jouy, E., Le Corvec, M., Bounar-Kechih, S., Rabah Bakour,
1139 D., et al. (2015). First description of an extended-spectrum cephalosporin- and
1140 fluoroquinolone-resistant avian pathogenic *Escherichia coli* clone in Algeria. *Avian Dis*
1141 59(1):20-3.

1142 Melo, LC., Boisson, MN., Saras, E., Medaille, C., Boulouis, HJ., Madec, JY., Haenni, M.
1143 (2017). OXA-48-producing ST372 *Escherichia coli* in a French dog. *J Antimicrob*
1144 *Chemother* 72(4):1256-8.

1145 Mesa, RJ., Blanc, V., Blanch, AR., Cortes, P., Gonzalez, JJ., Lavilla, S., et al. (2006).
1146 Extended-spectrum beta-lactamase-producing enterobacteriaceae in different
1147 environments (humans, food, animal farms and sewage). *J Antimicrob Chemother*
1148 58(1):211-5.

1149 Meunier, D., Jouy, E., Lazizzera, C., Kobisch, M., Madec, JY. (2006). CTX-M-1- and CTX-
1150 M-15-type beta-lactamases in clinical *Escherichia coli* isolates recovered from food-
1151 producing animals in France. *Int J Antimicrob Agents* 28(5):402-7.

1152 Mezhoud, H., Boyen, F., Touazi, LH., Garmyn, A., Moula, N., Smet, A., et al. (2015).
1153 Extended spectrum beta-lactamase producing *Escherichia coli* in broiler breeding
1154 roosters: Presence in the reproductive tract and effect on sperm motility. *Anim Reprod*
1155 *Sci* 159:205-11.

1156 Mnif, B., Ktari, S., Rhimi, FM., Hammami, A. (2012). Extensive dissemination of CTX-M-1-
1157 and CMY-2-producing *Escherichia coli* in poultry farms in Tunisia. *Lett Appl Microbiol*
1158 55(6):407-13.

1159 Monte, DF., Mem, A., Fernandes, MR., Cerdeira, L., Esposito, F., Galvao, JA., Franco,
1160 BDGM., Lincopan, N., Landgraf, M. (2017). Chicken meat as a reservoir of colistin-
1161 resistant *Escherichia coli* strains carrying mcr-1 genes in South America. *Antimicrob*
1162 *Agents Chemother* 61(5):10.1128/AAC.02718.16. Print 2017 May.

1163 Mora, A., Herrera, A., Mamani, R., Lopez, C., Alonso, MP., Blanco, JE., et al. (2010).
1164 Recent emergence of clonal group O25b:H4-B2-ST131 *ibeA* strains among
1165 *Escherichia coli* poultry isolates, including CTX-M-9-producing strains, and comparison
1166 with clinical human isolates. *Appl Environ Microbiol* 76(21):6991-7.

1167 Morakchi, H., Loucif, L., Gacemi-Kirane, D., Rolain, JM. (2017). Molecular characterisation
1168 of carbapenemases in urban pigeon droppings in france and algeria. *J Glob Antimicrob*
1169 *Resist* 9:103-10.

1170 Moreno, MA., Teshager, T., Porrero, MA., Garcia, M., Escudero, E., Torres, C., et al. (2007).
1171 Abundance and phenotypic diversity of escherichia coli isolates with diminished
1172 susceptibility to expanded-spectrum cephalosporins in faeces from healthy food animals
1173 after slaughter. *Vet Microbiol* 120(3-4):363-9.

1174 Nebbia, P., Tramuta, C., Odore, R., Nucera, D., Zanatta, R., Robino, P. (2014). Genetic and
1175 phenotypic characterisation of escherichia coli producing cefotaximase-type extended-
1176 spectrum beta-lactamases: First evidence of the ST131 clone in cats with urinary
1177 infections in italy. *J Feline Med Surg* 16(12):966-71.

1178 Nelson, TM., Rogers, TL., Brown, MV. (2013). The gut bacterial community of mammals
1179 from marine and terrestrial habitats. *PLoS One* 8(12):e83655.

1180 Nguyen, VT., Carrique-Mas, JJ., Ngo, TH., Ho, HM., Ha, TT., Campbell, JI., Nguyen, TN.,
1181 Hoang, NN., Pham, VM., Wagenaar, JA., et al. (2015). Prevalence and risk factors for
1182 carriage of antimicrobial-resistant escherichia coli on household and small-scale chicken
1183 farms in the mekong delta of vietnam. *J Antimicrob Chemother* 70(7):2144-52.

1184 Nilsson, O., Borjesson, S., Landen, A., Bengtsson, B. (2014). Vertical transmission of
1185 escherichia coli carrying plasmid-mediated AmpC (pAmpC) through the broiler
1186 production pyramid. *J Antimicrob Chemother* 69(6):1497-500.

1187 Nyberg, KA., Ottoson, JR., Vinneras, B., Albihn, A. (2014). Fate and survival of salmonella
1188 typhimurium and escherichia coli O157:H7 in repacked soil lysimeters after application
1189 of cattle slurry and human urine. *J Sci Food Agric* 94(12):2541-6.

1190 Ojer-Usoz, E., Gonzalez, D., Vitas, AI., Leiva, J., Garcia-Jalon, I., Febles-Casquero, A., et al.
1191 (2013). Prevalence of extended-spectrum beta-lactamase-producing enterobacteriaceae in
1192 meat products sold in navarra, spain. *Meat Sci* 93(2):316-21.

1193 Olaitan, AO., and Li, J. (2016). Emergence of polymyxin resistance in gram-negative
1194 bacteria. *Int J Antimicrob Agents* 48(6):581-2.

1195 Olaitan, AO., Morand, S., Rolain, JM. (2014). Mechanisms of polymyxin resistance:
1196 Acquired and intrinsic resistance in bacteria. *Front Microbiol* 5:643.

1197 Olaitan, AO., Chabou, S., Okdah, L., Morand, S., Rolain, JM. (2016). Dissemination of the
1198 mcr-1 colistin resistance gene. *Lancet Infect Dis* 16(2):147,3099(15)00540-X. Epub 2015
1199 Dec 18.

1200 Olaitan, AO., Diene, SM., Kempf, M., Berrazeg, M., Bakour, S., Gupta, SK., et al. (2014).
1201 Worldwide emergence of colistin resistance in klebsiella pneumoniae from healthy
1202 humans and patients in lao PDR, thailand, israel, nigeria and france owing to inactivation
1203 of the PhoP/PhoQ regulator mgrB: An epidemiological and molecular study. *Int J*
1204 *Antimicrob Agents* 44(6):500-7.

1205 Olaitan, AO., Thongmalayvong, B., Akkhavong, K., Somphavong, S., Paboriboune, P.,
1206 Khounsy, S., Morand, S., Rolain JM. (2015). Clonal transmission of a colistin-resistant
1207 escherichia coli from a domesticated pig to a human in laos. *J Antimicrob Chemother*
1208 70(12):3402-4.

1209 Olsen, RH., Bisgaard, M., Lohren, U., Robineau, B., Christensen, H. (2014). Extended-
1210 spectrum beta-lactamase-producing escherichia coli isolated from poultry: A review of
1211 current problems, illustrated with some laboratory findings. *Avian Pathol* 43(3):199-208.

1212 Pehlivanlar, Onen S., Aslantas, O., Sebnem Yilmaz, E., Kurekci, C. (2015). Prevalence of
1213 beta-lactamase producing escherichia coli from retail meat in turkey. *J Food Sci*
1214 80(9):M2023-9.

- 1215 Perrin-Guyomard, A., Bruneau, M., Houee, P., Deleurme, K., Legrandois, P., Poirier, C., et
1216 al. (2016). Prevalence of *mcr-1* in commensal *Escherichia coli* from french livestock,
1217 2007 to 2014. *Euro Surveill* 21(6):10.2807/1560,7917.ES.2016.21.6.30135.
- 1218 Poirel, L., Jayol, A., Nordmann, P. (2017). Polymyxins: Antibacterial activity, susceptibility
1219 testing, and resistance mechanisms encoded by plasmids or chromosomes. *Clin*
1220 *Microbiol Rev* 30(2):557-96.
- 1221 Poirel, L., Nordmann, P., Ducroz, S., Boulouis, HJ., Arne, P., Millemann, Y. (2013).
1222 Extended-spectrum beta-lactamase CTX-M-15-producing *Klebsiella pneumoniae* of
1223 sequence type ST274 in companion animals. *Antimicrob Agents Chemother* 57(5):2372-
1224 5.
- 1225 Poirel, L., Bercot, B., Millemann, Y., Bonnin, RA., Pannaux, G., Nordmann, P. (2012).
1226 Carbapenemase-producing *Acinetobacter* spp. in cattle, France. *Emerg Infect Dis*
1227 18(3):523-5.
- 1228 Poirel, L., Bernabeu, S., Fortineau, N., Podglajen, I., Lawrence, C., Nordmann, P. (2011).
1229 Emergence of OXA-48-producing *Escherichia coli* clone ST38 in France. *Antimicrob*
1230 *Agents Chemother* 55(10):4937-8.
- 1231 Politi, L., Tassios, PT., Lambiri, M., Kansouzidou, A., Pasiotou, M., Vatopoulos, AC., et al.
1232 (2005). Repeated occurrence of diverse extended-spectrum beta-lactamases in minor
1233 serotypes of food-borne *Salmonella enterica* subsp. *enterica*. *J Clin Microbiol*
1234 43(7):3453-6.
- 1235 Pomba, C., Rantala, M., Greko, C., Baptiste, KE., Catry, B., van Duijkeren, E., et al. (2017).
1236 Public health risk of antimicrobial resistance transfer from companion animals. *J*
1237 *Antimicrob Chemother* 72(4):957-68.
- 1238 Pulss, S., Semmler, T., Prenger-Berninghoff, E., Bauerfeind, R., Ewers, C. (2017). First
1239 report of an *Escherichia coli* strain from swine carrying an OXA-181 carbapenemase and
1240 the colistin resistance determinant MCR-1. *Int J Antimicrob Agents* 50(2):232-6.
- 1241 Qabajah, M., Awwad, E., Ashhab, Y. (2014). Molecular characterisation of *Escherichia coli*
1242 from dead broiler chickens with signs of colibacillosis and ready-to-market chicken meat
1243 in the West Bank. *Br Poult Sci* 55(4):442-51.
- 1244 Quesada, A., Ugarte-Ruiz, M., Iglesias, MR., Porrero, MC., Martinez, R., Florez-Cuadrado,
1245 D., et al. (2016). Detection of plasmid mediated colistin resistance (MCR-1) in
1246 *Escherichia coli* and *Salmonella enterica* isolated from poultry and swine in Spain. *Res*
1247 *Vet Sci* 105:134-5.
- 1248 Rafei, R., Hamze, M., Pailhories, H., Eveillard, M., Marsollier, L., Joly-Guillou, ML., et al.
1249 (2015). Extrahuman epidemiology of *Acinetobacter baumannii* in Lebanon. *Appl Environ*
1250 *Microbiol* 81(7):2359-67.
- 1251 Reich, F., Atanassova, V., Klein, G. (2013). Extended-spectrum beta-lactamase- and AmpC-
1252 producing enterobacteria in healthy broiler chickens, Germany. *Emerg Infect Dis*
1253 19(8):1253-9.
- 1254 Rhouma, M., Bessalah, S., Salhi, I., Theriault, W., Fairbrother, JM., Fravallo, P. (2018).
1255 Screening for fecal presence of colistin-resistant *Escherichia coli* and *mcr-1* and *mcr-2*
1256 genes in camel-calves in southern Tunisia. *Acta Vet Scand* 60(1):35,018-0389-1.
- 1257 Riano, I., Moreno, MA., Teshager, T., Saenz, Y., Dominguez, L., Torres, C. (2006).
1258 Detection and characterization of extended-spectrum beta-lactamases in *Salmonella*
1259 *enterica* strains of healthy food animals in Spain. *J Antimicrob Chemother* 58(4):844-7.
- 1260 Rolain, JM. (2013). Food and human gut as reservoirs of transferable antibiotic resistance
1261 encoding genes. *Front Microbiol* 4:173.
- 1262 Rubin, JE., and Pitout, JD. (2014). Extended-spectrum beta-lactamase, carbapenemase and
1263 AmpC-producing enterobacteriaceae in companion animals. *Vet Microbiol* 170(1-2):10-
1264 8.

- 1265 Sallem, RB., Gharsa, H., Slama, KB., Rojo-Bezares, B., Estepa, V., Porres-Osante, N., et al.
1266 (2013). First detection of CTX-M-1, CMY-2, and QnrB19 resistance mechanisms in
1267 fecal escherichia coli isolates from healthy pets in tunisia. *Vector Borne Zoonotic Dis*
1268 13(2):98-102.
- 1269 Schultz, E., Cloeckaert, A., Doublet, B., Madec, JY., Haenni, M. (2017). Detection of
1270 SGI1/PGI1 elements and resistance to extended-spectrum cephalosporins in proteae of
1271 animal origin in france. *Front Microbiol* 8:32.
- 1272 Scott Weese, J. (2008). Antimicrobial resistance in companion animals. *Anim Health Res Rev*
1273 9(2):169-76.
- 1274 Sola-Gines, M., Gonzalez-Lopez, JJ., Cameron-Veas, K., Piedra-Carrasco, N., Cerda-Cuellar,
1275 M., Migura-Garcia, L. (2015a). Houseflies (*Musca domestica*) as vectors for extended-
1276 spectrum beta-lactamase-producing escherichia coli on spanish broiler farms. *Appl*
1277 *Environ Microbiol* 81(11):3604-11.
- 1278 Sola-Gines, M., Cameron-Veas, K., Badiola, I., Dolz, R., Majo, N., Dahbi, G., et al. (2015b).
1279 Diversity of multi-drug resistant avian pathogenic escherichia coli (APEC) causing
1280 outbreaks of colibacillosis in broilers during 2012 in spain. *PLoS One* 10(11):e0143191.
- 1281 Stedt, J., Bonnedahl, J., Hernandez, J., Waldenstrom, J, McMahan, BJ., Tolf, C., et al.
1282 (2015). Carriage of CTX-M type extended spectrum beta-lactamases (ESBLs) in gulls
1283 across europe. *Acta Vet Scand* 57:74,015-0166-3.
- 1284 Stefani, S., Giovanelli, I., Anacarso, I., Condo, C., Messi, P., de Niederhausern, S., et al.
1285 (2014). Prevalence and characterization of extended-spectrum beta-lactamase-producing
1286 enterobacteriaceae in food-producing animals in northern italy. *New Microbiol*
1287 37(4):551-5.
- 1288 Stoll, C., Sidhu, JP., Tiehm, A., Toze, S. (2012). Prevalence of clinically relevant antibiotic
1289 resistance genes in surface water samples collected from germany and australia. *Environ*
1290 *Sci Technol* 46(17):9716-26.
- 1291 Tekiner, IH., and Ozpinar, H. (2016). Occurrence and characteristics of extended spectrum
1292 beta-lactamases-producing enterobacteriaceae from foods of animal origin. *Braz J*
1293 *Microbiol* 47(2):444-51.
- 1294 Temkin, E., Adler, A., Lerner, A., Carmeli, Y. (2014). Carbapenem-resistant
1295 enterobacteriaceae: Biology, epidemiology, and management. *Ann N Y Acad Sci*
1296 1323:22-42.
- 1297 Teshager, T., Dominguez, L., Moreno, MA., Saenz, Y., Torres, C., Cardenosa, S. (2000).
1298 Isolation of an SHV-12 beta-lactamase-producing escherichia coli strain from a dog with
1299 recurrent urinary tract infections. *Antimicrob Agents Chemother* 44(12):3483-4.
- 1300 Vaishnavi, C. (2013). Translocation of gut flora and its role in sepsis. *Indian J Med Microbiol*
1301 31(4):334-42.
- 1302 Valat, C., Haenni, M., Saras, E., Auvray, F., Forest, K., Oswald, E., et al. (2012). CTX-M-15
1303 extended-spectrum beta-lactamase in a shiga toxin-producing escherichia coli isolate of
1304 serotype O111:H8. *Appl Environ Microbiol* 78(4):1308-9.
- 1305 Verraes, C., Van Boxstael, S., Van Meervenue, E., Van Coillie, E., Butaye, P., Catry, B., et
1306 al. (2013). Antimicrobial resistance in the food chain: A review. *Int J Environ Res Public*
1307 *Health* 10(7):2643-69.
- 1308 Vingopoulou EI, Siarkou VI, Batzias G, Kaltsogianni F, Sianou E, Tzavaras I, Koutinas A,
1309 Saridomichelakis MN, Sofianou D, Tzelepi E, et al. 2014. Emergence and maintenance of
1310 multidrug-resistant escherichia coli of canine origin harbouring a blaCMY-2-IncII/ST65
1311 plasmid and topoisomerase mutations. *J Antimicrob Chemother* 69(8):2076-80.
- 1312 von Salviati, C., Laube, H., Guerra, B., Roesler, U., Friese, A. (2015). Emission of
1313 ESBL/AmpC-producing escherichia coli from pig fattening farms to surrounding areas.
1314 *Vet Microbiol* 175(1):77-84.

1315 WHO 2017. (2017). WHO GUIDELINES ON USE OF MEDICALLY IMPORTANT
1316 ANTIMICROBIALS IN FOOD-PRODUCING ANIMALS. Geneva: World Health
1317 Organization.

1318 WHO CIA 2017. (2017). WHO list of critically important antimicrobials for human medicine
1319 (WHO CIA list).

1320 Webb, HE., Granier, SA., Marault, M., Millemann, Y., den Bakker, HC., Nightingale, KK., et
1321 al. (2016). Dissemination of the mcr-1 colistin resistance gene. *Lancet Infect Dis*
1322 16(2):144-5.

1323 Woolhouse, M., Ward, M., van Bunnik, B., Farrar, J. (2015). Antimicrobial resistance in
1324 humans, livestock and the wider environment. *Philos Trans R Soc Lond B Biol Sci*
1325 370(1670):20140083.

1326 Yaici, L., Haenni, M., Saras, E., Boudehouche, W., Touati, A., Madec, JY. (2016). blaNDM-
1327 5-carrying IncX3 plasmid in escherichia coli ST1284 isolated from raw milk collected in
1328 a dairy farm in algeria. *J Antimicrob Chemother* 71(9):2671-2.

1329 Yilmaz, ES., and Guvensen, NC. (2016). In vitro biofilm formation in ESBL-producing
1330 escherichia coli isolates from cage birds. *Asian Pac J Trop Med* 9(11):1069-74.

1331 Yoo, JS., Kim, HM., Koo, HS., Yang, JW., Yoo, JI., Kim, HS., Park, HK., Lee, YS. (2013).
1332 Nosocomial transmission of NDM-1-producing escherichia coli ST101 in a korean
1333 hospital. *J Antimicrob Chemother* 68(9):2170-2.

1334 Yousfi, M., Touati, A., Mairi, A., Brasme, L., Gharout-Sait, A., Guillard, T., et al. (2016a).
1335 Emergence of carbapenemase-producing escherichia coli isolated from companion
1336 animals in algeria. *Microb Drug Resist* 22(4):342-6.

1337 Yousfi, M., Mairi, A., Touati, A., Hassissene, L., Brasme, L., Guillard, T., et al. (2016b).
1338 Extended spectrum beta-lactamase and plasmid mediated quinolone resistance in
1339 escherichia coli fecal isolates from healthy companion animals in algeria. *J Infect*
1340 *Chemother* 22(7):431-5.

1341 Yousfi, M., Mairi, A., Bakour, S., Touati, A., Hassissen, L., Hadjadj, L., et al. (2015). First
1342 report of NDM-5-producing escherichia coli ST1284 isolated from dog in bejaia, algeria.
1343 *New Microbes New Infect* 8:17-8.

1344 Zogg, AL., Zurfluh, K., Nuesch-Inderbinen, M., Stephan, R. (2016). Characteristics of
1345 ESBL-producing enterobacteriaceae and methicillin resistant staphylococcus aureus
1346 (MRSA) isolated from swiss and imported raw poultry meat collected at retail level.
1347 *Schweiz Arch Tierheilkd* 158(6):451-6.

1348

1349 **Table 1.** Non Beta-lactam resistance in MDR of animal origin versus antibiotic consumption in the Mediterranean Basin
1350

Country	Animal host	Species (number)	blagene Type (number)	Non beta-lactam Resistance	Antibiotic usage	Reference
Algeria	Poultry	E. coli (17)	CTX-M (17)	CMX,NAL,SXT	Unknown	(Mezhoud et al. 2015)
	Poultry	E. coli (16)	CTX-M (2), SHV (14), CMY (4)	AMK, CIP, KAN, NAL, STR, TOB		(Belmahdi et al. 2016)
	Poultry	Salmonella spp (11)	CTX-M (11)	CIP		(Djeffal et al. 2017)
	Cattle	A. baumannii (1)	NDM (1)	CIP		(Chaalal et al. 2016; Yaici et al. 2016)
	Cattle	E. coli (4)	NDM (4), CTX-M (4), CMY (4),			(Yaici et al. 2016)
	Birds	E. coli (11)	CTX-M (11)	CIP, NAL, NEO SXT, TET,		(Meguenni et al. 2015)
	Birds	A. baumannii (4)	OXA (4)			(Morakchi et al. 2017)
	Dogs	E. coli (1)	NDM (1)	FLU, TET		(Yousfi et al. 2015)
	Dogs	E. coli (15)	CTX-M (13), SHV (3)	CIP, GEN, NAL, SUL, SXT, TET, TMP, TOB		(Yousfi et al. 2016b)
	Dogs	E. coli (3)	CTX-M (1), CMY (1), NDM (1), OXA-48 (2)	GEN, CIP, NAL, SXT, TEM, TOB,		(Yousfi et al. 2016a)
	Cats	E. coli (2)	CMY (1), OXA-48 (2)	CIP, GEN, NAL, SXT, TEM, TOB		(Yousfi et al. 2016a)
	Cats	E. coli (5)	CTX-M (5)	CIP, NAL, SUL, SXT, TET, TMP, TOB		(Yousfi et al. 2016b)
	Fish	E. coli (22)	CTX-M (16), TEM (6)	AMK, CIP, CMX, GEN, KAN, NAL, NET, OFX		(Brahmi et al. 2016)
	Fish	A. baumannii (2)	OXA-23 (2)	CIP, GEN, KAN, SXT		(Brahmi et al. 2016)
Macaques	K .pneumoniae (7)	CTX-M (7)	CIP, FOS, GEN, SXT		(Bachiri et al. 2017)	
Wild Boars	E. coli (30)	CTX-M (30)	AMK, CIP, FOS, GEN, SXT, TET		(Bachiri et al. 2017)	
	K .pneumoniae (10)	CTX-M (10)				
Tunisia	Poultry	E. coli (13)	CTX-M (12), CMY (1)	CIP, CHL, GEN, NAL, SXT, SUL, STR, TET	Streptomycin, Tetracycline, Sulphonamides, Trimethoprim	(Ben Slama et al. 2010) (Ben Sallem et al. 2012)
	Poultry	E. coli (67)	CTX-M (42), CMY (24)	AMK, GEN, NAL, NOR, SXT, TET		(Mnif et al. 2012)
	Poultry	E. coli (16)	CTX-M (16)	NAL, SXT, STR, SUL, TET		(Kilani et al. 2015)
	Poultry	E. coli (7)	CTX-M (7)	NAL, STR, TET, SUL, TMP		(Grami et al. 2013)
	Poultry	E. coli (10)	CTX-M (8), TEM (1), CMY (2)	NAL, SXT, SUL, TET, STR		(Ben Sallem et al. 2012)
	Poultry	E. coli (48)	CTX-M (35), CMY (13)	AMK, CIP, GEN, MIN, NAL, SXT, TET		(Maamar et al. 2016)
	Poultry	E .coli (5)	CTX-M (4), SHV (1)			(Jouini et al. 2013)

Table 1. Continued

Country	Animal host	Species (number)	blagene Type (number)	Non beta-lactam Resistance	Antibiotic usage	Reference
	Cattle	E. coli (1)	CTX-M (1)	GEN, TOB, TET		(Grami et al. 2014)
	Beef	E. coli (1)	CTX-M (1)	CIP, NAL, SXT, SUL, TET		(Ben Slama et al. 2010)
	Beef	E. coli (5)	CTX-M (5)	CHL, GEN, STR, SUL, SXT, TET, TOB		(Jouini et al. 2013)
	Sheep	E. coli (3)	CTX-M (5), TEM (1)	CIP, GEN, NAL, SXT, SUL, STR, TET		(Ben Slama et al. 2010)
	Dogs	E. coli (6)	CTX-M (6)	CHL, ENR, GEN, KAN, NAL, NET, SUL, STR, TET, TMP, TOB		(Grami et al. 2013)
	Dogs	E. coli (6)	CTX-M (5), CMY (1)	CIP, NAL, SXT, STR, SUL, TET		(Sallem et al. 2013)
	Cats	E. coli (1)	CTX-M (1)	NAL, STR, SUL, TET, TMP,		(Grami et al. 2013)
	Cats	E. coli (8)	CTX-M (8)	CIP, KAN, NAL, STR, SXT, SUL, TET		(Sallem et al. 2013)
	Dromedaries	E. coli (1)	CTX-M (1)	SUL, TET		(Ben Sallem et al. 2012)
Egypt	Poultry	E. coli (18)	CTX-M (7), CMY (11)	CHL, CIP, KAN, NAL, SPX, STR, SXT, TET	Fluoroquinolones, Tetracyclines, Aminoglycosides, Cefotaxime	(Ahmed, Shimamoto, Shimamoto 2013)(Ahmed, Shimamoto, Shimamoto 2013) (Dahshan et al. 2015)
	Poultry	E. coli (9)	CTX-M (2), SHV (1), TEM (1), CMY (1)	CIP, CMX, DOX, GEN, STR		(El-Shazly et al. 2017)
	Poultry	K. pneumoniae (15)	NDM (15), KPC (14), OXA (12)	-		(Hamza, Dorgham, Hamza 2016)
	Poultry	K. pneumoniae (11), K. oxytoca (1)	NDM (12)			(Abdallah et al. 2015)
		E. coli (8)	CTX-M (8)			
		K. pneumoniae (40)	CTX-M (40)			
		K. oxytoca (2)	CTX-M (2)			
		Enterobacter spp (9)	CTX-M (9)			
	Cattle	E. coli (112)	CTX-M (106), OXA (6)	FOS, FLU, CMX, CHL, MLS, TET	Tetracycline, quinolones	(Braun et al. 2016)
	Cattle	E. coli (8)	CTX-M (2), SHV (5), CMY (1)	,NAL, SXT, STR, TET		(Ahmed et al. 2009)
	Beef	E. coli (4)	CTX-M (1), SHV (1), CMY (2)	CHL, CIP, GEN, KAN, NAL, SPX, STR, SXT, TET	Fluoroquinolones	(Ahmed and Shimamoto 2015)
	Cats	E. coli (5)	CTX-M (5)			(Abdel-Moein and Samir 2014)

Table 1. Continued

Country	Animal host	Species (number)	blagene Type (number)	Non beta-lactam Resistance	Antibiotic usage	Reference
	Dogs	E. coli (11) K. pneumoniae (3) P. mirabilis (1)	CTX-M (11) CTX-M (3) CTX-M (1)			(Abdel-Moein and Samir 2014)
Palestine	Cattle	E. coli (287)	CTX-M (287)	SXT, STR, TET	Chlortetracycline, doxycycline, Norfloxacin, Cephalexin, Ceftiofur, Sulfa agents, Gentamicin, Monensin	(Adler et al. 2015)
		K. pneumoniae (4)	SHV (4)	CHL, CIP, GEN		
	Poultry	E. coli (9)	CTX-M (9)			(Qabajah, Awwad, Ashhab 2014)
Lebanon	Poultry	E. coli (217), K. pneumoniae (8), P. mirabilis (3), E. albertii (2), E. fergusonii (1), E. cloacae (3),	CTX-M, CMY	CIP, GEN, SXT	Gentamicin, Tetracyclines	(Dandachi et al. 2018a)
	Cattle	E. coli (27)	CTX-M (27)	CHL, ENR, GEN, KAN, NAL, STR, SUL, TET, TMP	Penicillin G - Streptomycin, Ampicillin, Amoxicillin Oxytetracycline, Gentamicin,	(Diab et al. 2016) (Gundogan, Citak, Yalcin 2011)
	Fowl	A.baumannii (1)	OXA-48 (1)	AMK, GEN, TOB	Unknown	(Al Bayssari et al. 2015b)
	Horse	A.baumannii (1)	OXA-143 (1)			(Rafei et al. 2015)
	Rabbit	A. pitii (1)	OXA-24 (1)			
Turkey	Poultry		CTX-M (60), SHV (4), CMY (18)	CHL, KAN, NAL, STR, SUL, TET, TMP	Tetracycline, Quinolones	(Politi et al. 2005) (Pehlivanlar Onen et al. 2015)
	Cattle	E. coli (3)	CTX-M (2), CMY (1)	NAL, SXT, STR, TET		
	Poultry	E. coli (15)	CTX-M (15)			(Tekiner and Ozpinar 2016)
	Cattle	E. coli (19)	CTX-M (19)			
Croatia	Mussel	Aeromonas. Caviae (25)	CTX-M (11), SHV (11), FOX (3)		Tetracycline, Amphenicol, Penicillins, Sulfonamides, Trimethoprim, Fluoroquinolones, Aminoglycosides, Polymixins	(Maravic et al. 2013) (EMA/ESVAC, 2014)
		A. Hydrophila (8)	CTX-M (8), SHV (2)			
Greece	Poultry	Salmonella enteric (2)	CTX-M (2)	CHL, KAN, STR, SUL, TMP, TET	Unknown	(Politi et al. 2005)
	Dogs	E. coli (8)	CMY (8)	FLU		(Vingopoulou et al. 2014)

Table 1. Continued

Country	Animal host	Species (number)	blagene Type (number)	Non beta-lactam Resistance	Antibiotic usage	Reference
Slovenia	Poultry	E. coli (6)	CTX-M (2), SHV (4)	GEN, NAL, STR, SUL	Ceftiofur	(Chiaretto et al. 2008)
Italy	Poultry, Cattle, Swine				Tetracyclines, Amphenicol, Penicillins, 3 rd /4 th Cephalosporins, Sulfonamides, Trimethoprim, Macrolides, Lincosamides, Fluoroquinolones, Aminoglycosides, Polymixins, Pleuromutilins, Tylosin, Flumequine,	
	Poultry	E. coli (8)	CTX-M (7), SHV (1),	CIP		(Giufre et al. 2012)
	Poultry	E. coli (60)	CTX-M (45), CIT-like (15)	CIP, GEN, SXT, TET		(Ghodousi et al. 2015)
	Poultry	E. coli (67)	CTX-M (24), SHV (43)	CIP, NAL, SUL, TMP, TET		(Bortolaia et al. 2010)
	Poultry	Salmonella spp (12)	SHV (12)	GENT, NAL, SUL, STR, TET		(Chiaretto et al. 2008)
	Poultry	Salmonella infantis (30)	CTX-M (30)	CIP, NAL, SUL, TMP, TET		(Franco et al. 2015)
	Swine	Salmonella infantis (2)	CTX-M (2)			
	Cattle	K. ozaenae (5)	CTX-M (5), TEM (1)			(Stefani et al. 2014)
	Swine	E. coli (15)	CTX-M (10), TEM (7)			
	Dogs	K. oxytoca (2)	SHV (2), DHA (2)	CIP, GEN, KAN, STR, SUL, TET, TMP		(Donati et al. 2014)
		K. pneumoniae (11)	CTX-M (11), SHV (5), DHA (1)	CIP, GEN, KAN, NAL, TET, TMP		
	Dogs	K. pneumoniae (1)	CTX-M (1), SHV (1)	CIP, LEV		(Bogaerts et al. 2015)
		E. coli (1)	CMY (1)	CIP, LEV		
	Cats	K. oxytoca (2)	CTX-M (2)	CIP, SUL, TMP, TET		(Donati et al. 2014)
	K. pneumoniae (4)	CTX-M (2), SHV (2), DHA (1), CMY (1)	CIP, KAN, NAL, SUL, TET, TMP			
Cats	E. coli (7)	CTX-M (7), CMY (2)	CHL, ENR, GEN, NAL, NIT, SPX, STR, SUL, TET, TMP.		(Nebbia et al. 2014)	
France	Poultry, Cattle, Swine				Tetracycline, Amphenicol, Penicillins, 1 st /2 nd /3 rd /4 th Cephalosporins, Sulfonamides, Trimethoprim, Macrolides, Lincosamides, Fluoroquinolones, Aminoglycosides, Polymixins, Pleuromutilins	(EMA/ESVAC, 2014)

Table 1. Continued

Country	Animal host	Species (number)	blagene Type (number)	Non beta-lactam Resistance	Antibiotic usage	Reference
	Cattle	<i>E. coli</i> (26)	CTX-M (21), TEM (5)	CHL, GENT, SXT		(Hartmann et al. 2012)
	Cattle	<i>E. coli</i> (3)	CTX-M (3)	CHL, ENR, FFC, GEN, KAN, NAL, STR, SUL, TET, TMP		(Meunier et al. 2006)
	Cattle	<i>A. baumannii</i> (9)	OXA-23 (9)	FOS, KAN, TET		(Poirel et al. 2012)
	Cattle	<i>E. coli</i> (9)	CTX-M (9)	CHL, ENR, GEN, KAN, NAL, NET, OFX, STR, SUL, TET, TOB, TMP		(Madec et al. 2012)
	Cattle	<i>E. coli</i> (5)	CTX-M (5)	APR, CHL, ENR, GEN, KAN, NAL, NET, OFX, STR, SUL, TET, TOB, TMP		(Dahmen et al. 2013b)
	Sheep	<i>K. pneumoniae</i> (1)	CTX-M (1)			
<i>K. pneumoniae</i> (3)		CTX-M (3), DHA (3)	NAL, SUL, SXT, TET		(Poirel et al. 2013)	
<i>E. fergusonii</i>		CTX-M (1)				
	Veal calves	<i>E. coli</i> (147)	CTX-M (147)	APR, CHL, ENR, FFC, GEN, KAN, NAL, NET, SUL, STR, TET, TOB, TMP		(Haenni et al. 2014)
	Swine	<i>K. pneumoniae</i> (3)	CTX-M (2), SHV (1)	FLU, SUL, STR, TET, TMP		
<i>E. coli</i> (3)		CTX-M (3)	CHL, NAL, STR, SUL, TET, TMP		(Meunier et al. 2006)	
	Dog	<i>E. cloacae</i> (11)	CTX-M (10), SHV (1)	FLU, GEN, KAN, QUI, TET, SUL, STR, TMP		(Haenni et al. 2016)
	Dog	<i>E. coli</i> (47)	CTX-M (47), CMY (24)	CHL, GEN, KAN, STR, TOB, ENR, FFC, NAL, NET, OFX, SUL, TET, TMP		(Haenni et al. 2014)
	Dog	<i>E. coli</i> (9)	CTX-M (8), TEM (1)	GEN, SUL, TET		(Poirel et al. 2013)
<i>K. pneumoniae</i> (8)		CTX-M (8), DHA (1)	GEN, NAL, SUL, SXT, TET			
<i>K. oxytoca</i> (2)		CTX-M (2)				
	Dog	<i>P. mirabilis</i> (14)	CTX-M (1), CMY (7), DHA (2), VEB (6)	APR, CHL, ENR, GEN, KAN, NAL, NET, STR, SUL, TOB, TMP		(Schultz et al. 2017)
	Dog	<i>A. baumannii</i> (2)	OXA-23 (2)	CIP, SXT		(Herivaux et al. 2016)
	Dog	<i>E. coli</i> (3)	CMY (2), OXA-48 (1)	GEN, NAL		(Melo et al. 2017)
	Cat	<i>A. baumannii</i> (1)	OXA-23 (1)	GEN, NAL, SUL, STR, TET		(Ewers et al. 2016)
	Cat	<i>K. pneumoniae</i> (3)	CTX-M (3), DHA (3)	NAL, SUL, SXT, TET	Unknown	(Poirel et al. 2013)
<i>E. coli</i> (3)		CTX-M (3)	GEN, SUL, TET	Unknown		

Table 1. Continued

Country	Animal host	Species (number)	blagene Type (number)	Non beta-lactam Resistance	Antibiotic usage	Reference
Spain	Cat	<i>P. mirabilis</i> (1)	CMY (1)	ENR, NAL, SUL, TMP		(Schultz et al. 2017)
		<i>P. rettgeri</i> (1)	CTX-M (1)	ENR, NAL, SUL, TMP		
	Cat	<i>E. coli</i> (2)	CTX-M (2)	STR, TMP		(Melo et al. 2017)
	Cat	<i>E. cloacae</i> (11)	CTX-M (10), SHV (1)	FLU, GEN, KAN, QUI, SUL, STR, TET, TMP		(Haenni et al. 2016)
	Companions	<i>E. coli</i> (19)	CTX-M (19)	CIP, NAL, SUL, STR, TET		(Dahmen et al. 2013a)
	Hedgehog	<i>E. coli</i> (1)	CTX-M (1), DHA (1)	NAL, SUL, SXT, TET	Unknown	(Poirel et al. 2013)
	Tawny Owl	<i>E. coli</i> (1)	CTX-M (1)			
	Domestic goose	<i>E. coli</i> (1)	CTX-M (1)			
	Rock Pigeon	<i>E. coli</i> (1)	CTX-M (1)			
	Horse	<i>E. cloacae</i> (14)	CTX-M (8) , SHV (6)	FLU, GEN, KAN, QUI, SUL, STR, TET, TMP		(Haenni et al. 2016)
	Horse	<i>P. mirabilis</i> (14)	VEB (2)	ENR, CHL, KAN, NAL, NET, SUL, STR, TOB, TMP	Unknown	(Schultz et al. 2017)
	Poultry, Cattle, Swine				Tetracycline, Amphenicol, Penicillins, 3 rd /4 th Cephalosporins, Sulfonamides, Trimethoprim, Macrolides, Lincosamides, Fluoroquinolones, Quinolones, Aminoglycosides, Polymixins, Pleuromutilins	(Abreu et al. 2014) (EMA/ESVAC, 2014)
	Poultry	<i>E. coli</i> (64)	CTX-M (44), SHV (6), TEM (2), CMY (13)	CHL, CIP, FUR, GEN, KAN, NAL, SUL, SXT, TET, TOB, TMP		(Blanc et al. 2006)
	Poultry	<i>S. enterica</i> (2)	CTX-M (1), SHV (1)	NAL, SXT, STR, SUL, TET,		(Riano et al. 2006)
	Poultry	<i>E. coli</i> (116)	CTX-M (116)	CIP, NAL, SXT		(Abreu et al. 2014)
	Poultry	<i>E. coli</i> (11)	CTX-M (6), SHV (2), CMY (2)	CHL, CIP, FFC, GEN, KAN, NAL, STR, SUL, TET, TMP		(Sola-Gines et al. 2015)
	Poultry	<i>E. coli</i> (50)	CTX-M (40), CMY (10)	NAL		(Cortes et al. 2010)
	Poultry	<i>E. coli</i> (62)	CTX-M (20), SHV (42)	CIP, NAL		(Egea et al. 2012)
	Swine	<i>E. coli</i> (20)	CTX-M (20)			(Sola-Gines et al. 2015)
	Swine	<i>S. enteric</i> (1)	SHV (1)	SUL, STR, TET		(Riano et al. 2006)
Swine	<i>E. coli</i> (39)	CTX-M (27), SHV(12)	CIP, CHL, FUR, GEN, KAN, NAL, SUL, SXT, TET, TMP, TOB		(Blanc et al. 2006)	

Table 1. Continued

Country	Animal host	Species (number)	blagene Type (number)	Non beta-lactam Resistance	Antibiotic usage	Reference
	Swine	E. coli (20)	CTX-M (8), SHV (12)	APR, CIP, GEN, NAL, STR, SUL, TET, TMP		(Escudero et al. 2010)
	Dog	E. coli (1)	SHV (1)	CHL, CIP, NAL, SUL, TET, TMP		(Teshager et al. 2000)
	Dog	E. coli (1)	CMY (1)			(Bogaerts et al. 2015)
		P. mirabilis (2)	CMY (2)	DOX, MIN		
	Dog	K. pneumoniae (2)	CTX-M (1), VIM (1), DHA (1)			(Gonzalez-Torralba et al. 2016)
		E. cloacae (1)	SHV (1)			
	Deer	E. coli (1)	CTX-M (1)	CIP, CHL, NAL, SXT, TET	Unknown	(Alonso et al. 2016)
	Rabbit	E. coli (1)	CMY (1)		Unknown	(Blanc et al. 2006)
		E. cloacae (3)	CTX-M (3)			

1351 *[APR] refers to apramycin, [AMK] amikacin, [CIP] ciprofloxacin, [CHL] chloramphenicol, [CMX] co-trimoxazole, [DOX] doxycycline, [ENR] enrofloxacin, [FFC] florfenicol, [FLU] fluoroquinolones, [FOS] fosfomicin, [FUR] furazolidone, [GEN] gentamicin, [KAN] kanamycin, [LEV] levofloxacin, [MIN] minocycline, [MLS] Macrolides, [NAL] nalidixic acid, [NET] netilmicin, [NIT] nitrofurantoin, [NOR] norfloxacin, [OFX] oxofloxacin, [QUI] quinolones, [SPX] spectinomycin, [SXT] trimethoprim-sulfamethoxazole, [TEM] temocillin, [TET] tetracycline, [TMP] trimethoprim, [TOB] tobramycin.

1356
1357
1358
1359
1360
1361
1362
1363
1364
1365
1366
1367
1368
1369

1370 **Table 2.** ST/phylogroups, IS and plasmid types associated with beta-lactamase and mcr genes in the Mediterranean.
1371

Country	Animal Host	Species	Bla and/or mcr genes	ST and/or phylogroup	Plasmid type	Associated IS	Reference
Algeria	Poultry	E. coli	CTX-M 1	ST38, ST2179	IncX3 (NDM-5)		(Belmahdi et al. 2016)
			SHV-12	ST1011, ST5086			
			CMY-2	ST744			
	Poultry	S. Heidelberg	CTX-M-1	ST15			(Djeffal et al. 2017)
			Cattle	A. baumannii			NDM-1
	Cattle	E. coli	NDM-5/ CMY-42/ CTX-M-15	ST1284			(Yaici et al. 2016)
	Swine	K. pneumoniae	CTX-M-15	ST584			(Bachiri et al. 2017)
			E. coli	CTX-M 15			ST617, ST131, ST648, ST405, ST1431, ST1421, ST69, ST226
	Dog	E. coli	CTX-M-15	A, B1, E			(Yousfi et al. 2016b)
			CTX-M-1/SHV-12	E			
			SHV-12	A, B1			
	Dog	E. coli	NDM-5	ST1284			(Yousfi et al. 2015)
	Dog	E. coli	OXA-48	A, D			(Yousfi et al. 2016a)
			NDM-5/ CTX-M-15/ CMY-42	A			
	Cat	E. coli	CTX-M-1	B1			(Yousfi et al. 2016b)
			CTX-M-15	A, U, E			
	Cat		OXA-48 / CMY-1	U			(Yousfi et al. 2016a)
			OXA-48	D			
	Barbary Macaques	K. pneumoniae	CTX-M-15	ST584			(Bachiri et al. 2017)
	Fish	A. baumannii	OXA-23	ST2			(Brahmi et al. 2016)
Fish	E. coli	CTX-M-15	ST471, ST132, ST398, ST37,ST477, ST131, ST31	(Brahmi et al. 2015)			
		CTX-M-9	ST8				
		TEM-24	ST31, ST471, ST66, ST21, ST74				

Table 2. Continued

Country	Animal Host	Species	blaand/or mcr genes	ST and/or phylogroup	Plasmid type	Associated IS	Reference
Tunisia	Poultry	E. coli	CTX-M-1	A, B1, D		ISEcp1	(Ben Sallem et al. 2012)
			CMY-2	B2		ISEcp1	
				D		ISEcp1D-IS10	
	Poultry		CTX-M-1			ISEcp1/IS26	(Jouini et al. 2007)
	Poultry	E. coli	CTX-M-1	B1, A			(Ben Slama et al. 2010)
			CMY-2	B1			
	Poultry	E. coli	CTX-M-1	A, B1, D, B2	IncI1		(Mnif et al. 2012)
			CTX-M-15	A, B1			
			CTX-M-1/CMY-2	B2	IncI1		
			CMY-2	A, D, B1	IncI1		
	Poultry	E. coli	CTX-M-1		IncI1		(Grami et al. 2013)
			CTX-M-9		IncI1		
	Poultry	E. coli	CTX-M-1	A0, A1, D2, B2			(Kilani et al. 2015)
	Poultry	E. coli	CMY-2	A, B1, D	IncI1, IncF, IncFIB, IncFIA		(Maamar et al. 2016)
			CTX-M-14	B1	IncF	ISEcp1-IS903	
			CTX-M-1	B1, D, A	IncI1, IncF, IncFIB, IncK, IncY, IncP, IncN		
			CTX-M-15	D		ISEcp1 and ISEcp1-IS5	
	Poultry	E. coli	CTX-M-1/mcr-1	D, H, K	IncHI2/ST4		(Grami et al. 2016)
	Poultry	E. coli	CMY-2/mcr-1	A (ST2197)	IncP (mcr-1) IncI1 (CMY-2)	ISAp11	(Maamar et al. 2018)
	Cattle	E. coli	CTX-M-1	A, B1			(Ben Slama et al. 2010)
		CTX-M-1/TEM-20	B1				
Cattle	E. coli	CTX-M-1			ISEcp1/IS26	(Jouini et al. 2007)	
		CTX-M-14			ISEcp1 and IS903		
Cattle	E. coli	CTX-M-15	ST10		ISEcp1	(Grami et al. 2014)	
Dog	E. coli	CTX-M-1			IncI1	(Grami et al. 2013)	
		CTX-M-15			IncFII		

Table 2. Continued

Country	Animal Host	Species	blaand/or mcr genes	ST and/or phylogroup	Plasmid type	Associated IS	Reference
Egypt	Dog	E. coli	CMY-2	B1		ISEcp1	(Sallem et al. 2013)
			CTX-M-1	D, B1, A		ISEcp1	
	Cat	E. coli	CTX-M-1	B1, A, D		ISEcp1	(Sallem et al. 2013)
			CTX-M-1/ TEM-135	A		ISEcp1 (CTX-M-1)	
	Cat	E. coli	CTX-M-1		Incl1		(Grami et al. 2013)
	Dromedaries	E. coli	CTX-M-1	B1		ISEcp1	(Ben Sallem et al. 2012)
	Poultry	E. coli	CTX-M-15	clonal group O25b-ST131		ISEcp1	(Ahmed, et al. 2013)
	Poultry	E. coli	CTX-M	A, B1, B2, D			(Abdallah et al. 2015)
	Poultry	E. coli	CTX-M-14	D			(El-Shazly et al. 2017)
			SHV-12	D			
Lebanon	Poultry	E. coli	CMY-2	A, B1, D			
	Poultry	E. coli	mcr-1	phylotype A, F, B1	IncFIB; IncI1; IncI2		(Lima Barbieri et al. 2017)
	Cattle	E. coli	mcr-1	ST10			(Khalifa et al. 2016)
	Poultry	E. coli	CTX-M	ST156, ST5470, ST354, ST155, ST3224			(Dandachi et al. 2018a)
	Poultry	E. coli	mcr-1	ST515			(Dandachi et al. 2018b)
	Cattle	E. coli	CTX-M-15	A (ST1294, ST2325, ST1303, ST4623, ST5204)			(Diab et al. 2016)
				B1 (ST58, ST162, ST4252, ST155, ST196, ST540)			
				D (ST69)			
			CTX-M-14	D (ST457)			
			CTX-M-15/SHV-12	A (ST10, ST2450, ST5442)			
		CTX-M-14/SHV-12	D (ST457)				
		SHV-12	A (ST218, ST617, ST5204, ST1303, ST5728, ST1140, ST746)				
Cattle	A. baumannii	OXA-23	ST2			(Al Bayssari et al. 2015a)	
	P. aeruginosa	VIM-2	ST1762, ST1759				
Swine	A. baumannii	OXA-23	ST491			(Al Bayssari et al. 2015a)	

Table 2. Continued

Country	Animal Host	Species	Bla and/or mcr genes	ST and/or phylogroup	Plasmid type	Associated IS	Reference	
Palestine	Fowl	A. baumannii	OXA-23	ST492, ST493			(Al Bayssari et al. 2015b)	
			OXA-58/OXA-23	ST20				
	Fowl	P. aeruginosa	VIM-2	ST1760, ST1761				(Al Bayssari et al. 2015b)
			E. coli	OXA-48	ST38			
	Horse	A. baumannii	OXA-143	ST294			(Rafei et al. 2015)	
	Rabbit	A. pitii	OXA-24	ST221			(Rafei et al. 2015)	
	Poultry	E. coli	CTX-M	A, B, D			(Qabajah et al. 2014)	
	Turkey	Poultry	E. coli	CMY-2	A0, B2 D1, D2			(Pehlivanlar Onen et al. 2015)
				CTX-M-1/CMY-2	A0			
				CTX-M-1	A1, A0, D1, D2			
CTX-M-1/SHV-5				D1				
CTX-M-3				A0, D1				
CTX-M-15				B1, D1, D2				
SHV-12				D1				
CTX-M-15/SHV-12				D2				
Italy	Poultry	E. coli	SHV-12		IncI1, IncFIB		(Bortolaia et al. 2010)	
			CTX-M-1		IncI1, IncFIB, IncN			
			CTX-M-32		IncN			
	Poultry	E. coli	CTX-M-1		IncI1		(Accogli et al. 2013)	
			CMY-2		IncI1			
	Poultry	E. coli	CTX-M	A, B1, B2, D			(Ghodousi et al. 2015)	
			CIT like	B1, B2, D				
	Poultry	E. coli	CTX-M	B2, ST131			(Ghodousi et al. 2016)	
	Swine	E. coli	OXA-181	B1 (ST359), A (ST641)	IncX3		(Pulss et al. 2017)	
			mcr-1	A (ST641)	IncX4			
CMY-2			A (ST641)	IncI1				
Cat	E. coli	CMY	A		ISEcp1/IS26	(Bogaerts et al. 2015)		

Table 2. Continued

Country	Animal Host	Species	Bla and/or mcr genes	ST and/or phylogroup	Plasmid type	Associated IS	Reference
	Dog	K. oxytoca	SHV-12, DHA-1	N.I	IncL/M		(Donati et al. 2014)
		K. pneumoniae	CTX-M-15,DHA-1	ST340	IncR (CTX-M-15)		
			CTX-M-15	ST101			
			SHV-28,	ST15			
			CTX-M-15,SHV-28,	ST15			
			CTX-M-1,SHV-28	ST15	CTX-M-1 in IncN and IncR		
			CTX-M-1	ST11			
	Cat	K. oxytoca	CTX-M-9	N.I	IncHI2		(Donati et al. 2014)
		K. pneumoniae	CTX-M-15, DHA-1	ST340	CTX-M-15/DHA-1 on IncR		
			SHV-28, CMY-2	ST15	CMY-2 on IncII		
			CTX-M-15	ST101			
	Cat	E. coli	CTX-M-14/CMY-2	A (ST3848, ST3847)			(Nebbia et al. 2014)
			CTX-M-14	B2 (ST555, ST4181), B1 (ST602)			
			CTX-M-1	B2 (ST155)			
			CTX-M-15	B2 (ST131)			
Slovenia	Poultry	E. coli	CTX-M-1	D			(Zogg et al. 2016)
			SHV-12	B1 and D			
Spain	Poultry	E. coli	CTX-M-14	ST101, ST156,ST165,ST350, ST889, ST1137	IncK		(Sola-Gines et al. 2015)
			SHV-12	ST350, ST533	IncI1		
			CMY-2	ST429, ST131	IncK		
	Poultry	E. coli	CMY-2	A, D			(Cortes et al. 2010)
			CTX-M-14	A, B1, B2			
			CTX-M-32	A			
			CTX-M-9	B1			
			SHV-12				
			TEM-52	B1			

Table 2. Continued

Country	Animal Host	Species	Bla and/or mcr genes	ST and/or phylogroup	Plasmid type	Associated IS	Reference
	Poultry	<i>E. coli</i>	CTX-M-9	O25b:H4-B2-ST131.			(Mora et al. 2010)
	Poultry	<i>E. coli</i>	CTX-M, SHV	A, B1, D1			(Egea et al. 2012)
	Poultry, Swine, Cattle	<i>E. coli</i>	CTX-M, SHV	B2, D			(Doi et al. 2010)
	cattle	<i>E. coli</i>	mcr-1 /mcr-3/ CTX-M-55	ST533	non mobilizable IncHI2		(Hernandez et al. 2017)
	Swine	<i>E. coli</i>	CTX-M-1	A			(Cortes et al. 2010)
			SHV-5	A			
			SHV-12	B1			
	Dog	<i>E. coli</i> (1)	CMY (1)	ST2171	IncK	ISEcp1	(Bogaerts et al. 2015)
		<i>P. mirabilis</i> (2)	CMY (2)				
	Dog	<i>K. pneumoniae</i>	VIM-1	ST2090			(Gonzalez-Torralba et al. 2016)
	Deer	<i>E. coli</i>	CTX-M-1	ST224	IncN	IS26	(Alonso et al. 2016)
Croatia	Mussel	<i>Aeromonas</i> spp	CTX-M-15		IncFIB		(Maravic et al. 2013)
France	Poultry	<i>E. coli</i>	CTX-M-1			ISEcp1	(Meunier et al. 2006)
	Cattle	<i>E. coli</i>	CTX-M-1			ISEcp1	(Meunier et al. 2006)
			CTX-M-15			ISEcp1	
	Cattle	<i>E. coli</i>	CTX-M-15	B1		ISEcp1	(Valat et al. 2012)
	Cattle	<i>E. coli</i>	CTX-M-1	ST2497, ST2498			(Hartmann et al. 2012)
			TEM-71	ST178			
	Cattle	<i>E. coli</i>	CTX-M-15,	ST2212, ST2213, ST2210, ST2214,ST2215, ST88	F31:A4:B1/IncFII F2:A-:B-/IncFII and IncI1		(Madec et al. 2012)
	Cattle	<i>K. pneumoniae</i>	CTX-M-14	ST45	F2:A-:B-/IncFII		(Dahmen et al. 2013b)
		<i>E. coli</i>	CTX-M-14	ST23, ST58, ST10, ST45	F2:A-:B-/IncFII		
			CTX-M-1	ST23, ST58	IncI1/ST3		
	Sheep	<i>K. pneumoniae</i>	CTX-M-15, DHA	all ST274			(Poirel et al. 2013)
	Swine	<i>E. coli</i>	CTX-M-1			ISEcp1	(Meunier et al. 2006)

Table 2. Continued

Country	Animal Host	Species	Bla and/or mcr genes	ST and/or phylogroup	Plasmid type	Associated IS	Reference
	Dogs	E. coli	CTX-M-15	A (ST410, ST617)	IncFII		(Dahmen et al. 2013a)
			CTX-M-1	A (ST10), B1 (ST1303, ST1249)	IncFII		
					IncFII		
	Dog	A. baumannii	OXA-23	ST25			(Herivaux et al. 2016)
	Dogs	E. coli	CTX-M-1	ST345, ST1001, ST124	IncI1		(Poirel et al. 2013)
			CTX-M-15	NEW ST	N.T		
			TEM-52	ST359			
		K. pneumoniae	CTX-M-15, DHA-1	ST274			
			CTX-M-15,	ST15			
	Dogs	E. coli	CTX-M-1	A, B1,D	blaCTX-M-1/IncI1/ST3		(Haenni et al. 2014)
			CTX-M-grp9	B2			
			CMY-2	A, B1, B2, D	CMY-2/IncI1/ST2		
	Dog	E. cloacae	CTX-M-15	ST114,ST136,ST270,ST100	IncHI2		(Haenni et al. 2016)
			CTX-M-14	ST102	N.T		
			CTX-M-3	ST408	N.T		
			SHV-12	ST268	IncHI2		
	Dog	E. coli	CMY	ST55	N.T		(Melo et al. 2017)
			CMY	ST963	N.T		
			OXA-48	ST372	IncL		
	Cat	K. pneumoniae	CTX-M-15, DHA	ST274			(Poirel et al. 2013)
		E. coli	CTX-M-1	ST124, ST641			
			CTX-M-14	ST141			
	Cats	E. coli	CTX-M-15	A (ST617, ST410)			(Dahmen et al. 2013a)
			CTX-M-32	B1 (ST224)			
			CTX-M-3	B2 (ST493)			
			CTX-M-14	B1, (ST359), B2 (ST131)			
	Cat	E. cloacae	CTX-M-15	1 ST136, others ST114	IncHI2		(Haenni et al. 2016)
			SHV-12	N.T	IncA/C		

Table 2. Continued

Country	Animal Host	Species	Bla and/or mcr genes	ST and/or phylogroup	Plasmid type	Associated IS	Reference
	Cat	E. coli	CTX-M-14	ST68	IncF		(Melo et al. 2017)
			CTX-M-1	ST673	IncFIB		
	Cat	A. baumannii	OXA-23	ST1/ST231			(Ewers et al. 2016)
	Hedgehog	K. pneumoniae	CTX-M-15, DHA	ST274			(Poirel et al. 2013)
	Tawny Owl	E. coli	CTX-M-1	ST93			(Poirel et al. 2013)
	Domestic goose	E. coli	CTX-M-15	ST10			(Poirel et al. 2013)
	Rock pigeon	E. coli	CTX-M-1	ST124			(Poirel et al. 2013)
	Horse	E. cloacae	CTX-M-15	ST127, ST372, ST145, ST114	IncHI2		(Haenni et al. 2016)
			SHV-12	ST135,ST145,ST118	IncHI2		
			CTX-M-1	ST268	N.T		
				ST107	IncP		
Greece	Dog	E. coli	CMY-2	ST212	IncI1/ST65		(Vingopoulou et al. 2014)

1372 Bla = beta-lactamase, ST = sequence type, IS = insertion sequence, N.T = non typeable.

Figure Legends:

Figure 1. Geographical distribution of ESBLs and their correspondent animal hosts in the Mediterranean Basin. N.B: only SHV and TEM genes confirmed by sequencing as ESBL were included.

Figure 2. Geographical distribution of carbapenemases and mcr colistin resistance gene with their hosts in the Mediterranean. N.B: only OXA genes confirmed by sequencing as carbapenemases were included.

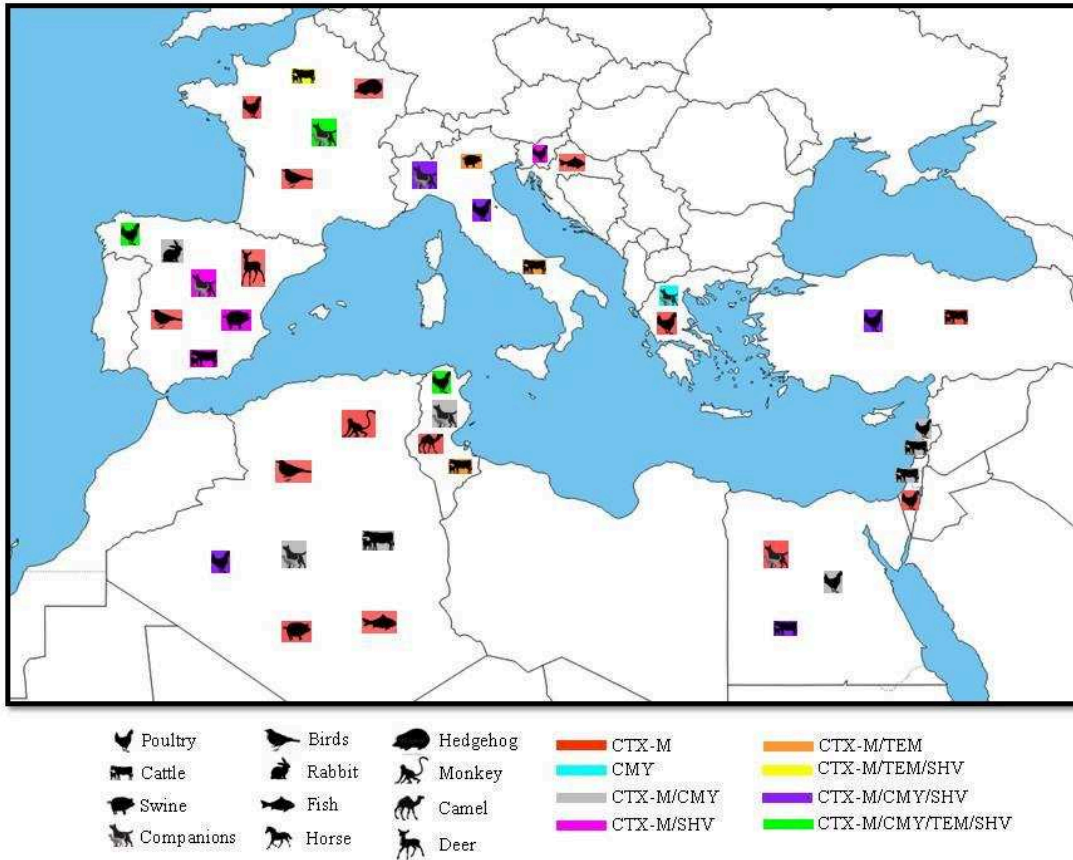


Figure 1. Geographical distribution of ESBLs and their correspondent animal hosts in the Mediterranean Basin. N.B: only SHV and TEM genes confirmed by sequencing as ESBL were included.

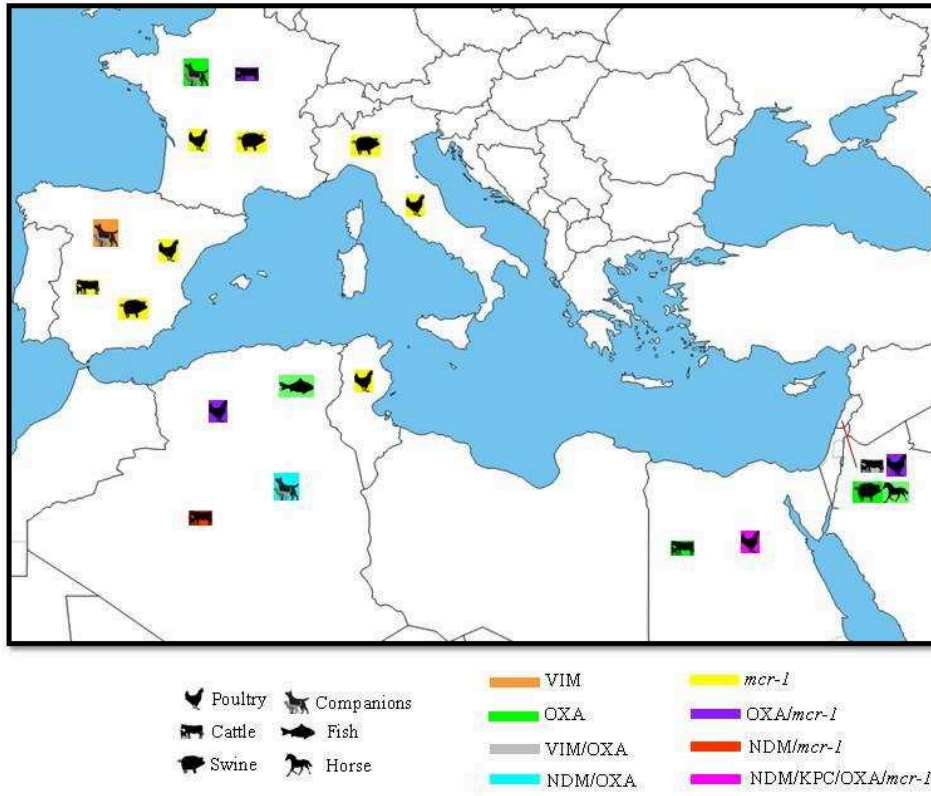


Figure 2. Geographical distribution of carbapenemases and *mcr* colistin resistance gene with their hosts in the Mediterranean. N.B: only OXA genes confirmed by sequencing as carbapenemases were included.

Article 2

Colistin use in animals: a two side weapon against multi drug resistant organisms.

Selma Chabou, Iman Dandachi*, Ziad Daoud and Jean-Marc Rolain

To be submitted to **Frontiers in Microbiology**

Impact Factor: 4.019

34 **Abstract**

35 Colistin is widely used in animals for the treatment of infectious diseases but also for
36 prophylaxis and as growth promoter. Colistin is over administered especially in poultry and
37 pig production, to prevent *E. coli* and *Salmonella* infections, known to cause serious effects
38 such as diarrhea and sepsis that cause huge economic losses. The excessive use of colistin,
39 mainly in veterinary medicine, has led to the emergence of bacteria resistant to colistin that
40 play an important role in the global emergence of resistance to this antibiotic. Colistin
41 consumption should be monitored around the world, particularly in Africa and Asia, where
42 there is no control over the level of consumption of colistin in animals added to the huge
43 number of poultry and pigs breeding.

44 In November 2015, Liu et al have reported, for the first time in China, a new plasmid-
45 mediated colistin resistance gene, namely *mcr-1* gene that encodes a phospho-ethanolamine
46 transferase. This resistance gene has been reported firstly in animals, then in human isolates
47 and food from Enterobacteriaceae bacteria all over the world. The prevalence of *mcr-1* gene
48 in Gram-negative bacteria in food-producing animals, raises the question of the actual
49 effectiveness of colistin administration in animals and their role in the transfer of colistin
50 resistance in the public health. This review summarizes the potential impact of the use of
51 colistin in veterinary medicine all over the world to eventually link this consumption to the
52 prevalence of resistance to colistin, both in animals and humans. In addition, we discuss in
53 this review the risk of the spread of bacteria resistant to colistin from farm animals and thus
54 human food.

55
56
57
58
59
60
61
62
63
64
65
66
67

68 **Introduction**

69 The carbapenems and beta lactams have been widely used in the last decades to treat a variety
70 of infectious disease (Olaitan et al., 2014a). Predominantly, to treat infections caused by
71 Gram negative bacterial pathogens, such as *P. aeruginosa*, *A. baumannii*, *K.pneumoniae*,
72 and *E. coli* (Olaitan et al., 2014a). However, simultaneously with this large use, resistance to
73 a different class of antibiotics emerged among pathogens. The situation is further complicated
74 by the reduced development of new antibiotics (Olaitan et al., 2014a). Unfortunately, only
75 one new antibiotic (teixobactin) has been discovered in the last 30 years, compared to many
76 antibiotics discovered in the 1940s to 1960s (Ling et al., 2015). Currently, the polymyxins are
77 back in clinical practice, not because of an improved safety profile, but as antibiotics of last-
78 line for the treatment of Gram-negative multidrug-resistant (MDR) causing bacterial
79 infections (Biswas et al., 2012). Polymyxins including colistin and polymyxin B are
80 polycationic antimicrobial peptides that are actually the last-resort antibiotic for the treatment
81 of MDR, Gram-negative bacterial infections (Falagas et al., 2005). Colistin is a bactericidal
82 which has an excellent activity against pathogens, such as *A. baumannii*, *P. aeruginosa*, *K.*
83 *pneumoniae*, *E. coli* and *Salmonella*, including those currently resistant to antibiotics such as
84 carbapenems (Falagas et al., 2005). However, colistin-resistant bacteria, which were initially
85 sensitive to this drug, have emerged. Basically, Colistin has been used for the first time in the
86 1950s for the treatment of infections caused by Gram-negative bacteria (Justo and Bosso,
87 2015). In the 1970s, clinical use of polymyxin was significantly reduced due to
88 nephrotoxicity concerns (Justo and Bosso, 2015). The return of polymyxin for antimicrobial
89 therapy has been followed by the deficiency of new classes of antibiotics and the emergence
90 of carbapenems resistance in Gram-negative bacteria (Olaitan et al., 2014a). Nowadays,
91 increasing polymyxin resistance in clinical isolates is considered a serious problem due to the
92 low number of currently effective antibiotics and the high consumption of colistin for the
93 treatment of multidrug-resistant Gram negative bacteria not only in clinical treatment but also
94 in animals (Al-Tawfiq et al., 2010; Kempf et al., 2016). The uncontrolled use of colistin in
95 veterinary medicine has led to the worldwide emergence of colistin-resistant bacteria.
96 Therefore, the World Health Organization (WHO) has recently included polymyxin as a
97 critical antibiotic (Collignon et al., 2016). In a university hospital in Greece (Crete), authors
98 have reported an increasing rate of infections caused by bacteria naturally resistant to
99 polymyxin, namely *Proteus*, *Providencia*, *Morganella* and *Serratia*. Also, there have been
100 reported resistance to polymyxin B bacteria which are normally susceptible to these drugs
101 (Samonis et al., 2014).

102 Gram-negative bacteria harness various mechanisms to protect themselves from colistin in
103 antibiotics, including a diversity of lipopolysaccharide (LPS) alterations, such as
104 modifications of lipid A with phospho- ethanolamine and 4-amino-4-deoxy-L-arabinose.
105 Many publications have summarized the mechanisms of resistance to polymyxin. These
106 mechanisms underlying the polymyxin resistance have been well documented by Olaitan et al
107 and Osei Sekyere et al (Olaitan et al., 2014b; Osei Sekyere et al., 2016). Colistin resistance is
108 thought to be linked to lipopolysaccharide modification through changes in the mgrB gene
109 and increased PhoP/PhoQ regulation (Baron et al., 2016). The worldwide prevalence of
110 resistance to polymyxins is about 10% among Gram-negative bacteria and is highest in the
111 Mediterranean countries and South east Asia (Al-Tawfiq et al., 2017). Colistin resistance has
112 always been related to a chromosomal mechanism (Baron et al., 2016). The latest mechanism
113 of polymyxin resistance has absolutely amended our view of colistin resistance as a
114 worldwide problem. Recently, a Chinese team has demonstrated for the first time a novel new
115 plasmid-mediated colistin resistance thought mcr-1 gene (Liu et al., 2016a), which was
116 identified in Escherichia coli and Klebsiella pneumonia strains isolates from animals and
117 humans. mcr-1 has an important implication because it can be acquired by pathogenic
118 bacteria by horizontal transfer (Baron et al., 2016). To date, there are over 300 studies on
119 mcr-1 mediated plasmid mediated colistin resistance worldwide.
120 In this review, we have focused on: (i) The worldwide spread of plasmid mediated colistin
121 resistance in animals, (ii) MCR- variant (iii) Use of colistin in Veterinary Medicine and (iv)
122 the risk of colistin resistance transmission from animals to humans.

123

124 **The worldwide spread of plasmid mediated colistin resistance in animals**

125 Prior to November 2015, extensive veterinary research demonstrated that different
126 chromosomal mutations were often responsible for the development of colistin resistance.
127 The first discovery in early November 2015 in China of a plasmid-mediated plasmid of
128 colistin resistance encoding the mcr-1 gene of the enzyme phospho-ethanolamine transferase,
129 was made mainly from E. coli strains of meat and pigs, during routine surveillance of food
130 animals (Liu et al., 2016b).

131 Since the first detection of mcr-1 gene, there has been a great emergence of the presence of
132 mcr-1 throughout the world. The mcr-1 gene has been found in human, animals and
133 environmental isolates, in a number of countries (Schwarz and Johnson, 2016). Remarkably,
134 the emergence of this plasmid in the animal world is more important.

135

136 **Emergence of plasmid mediated colistin resistance in Asian countries**

137 The plasmid mediated colistin resistance *mcr-1* gene was first detected in China. Shortly after
138 their discovery, an avalanche of epidemiological studies on *mcr-1* in Chinese animals were
139 conducted, focusing on the prevalence of the *mcr-1* gene in different strain isolates, including
140 *E. coli*, *K. pneumoniae*, *Enterobacter cloacae* and *Salmonella* strains (Bi et al., 2017; Cui et
141 al., 2017; Kong et al., 2017; Lei et al., 2017; Lima Barbieri et al., 2017; Liu et al., 2017;
142 Wang et al., 2017; Yang et al., 2017; Yi et al., 2017). This plasmid has been traced back to
143 chicken isolates from the 1980s (Shen et al., 2016). In Malaysia, *mcr-1* gene was first
144 detected in *E. coli* from animals (chickens and pig) (Hu et al., 2016). In Vietnam, the *mcr-1*
145 gene was also detected in chicken and pig feces (Malhotra-Kumar et al., 2016; Nguyen et al.,
146 2016). Recently, a study showed the zoonotic transmission of *mcr-1* colistin resistance gene
147 from small-scale poultry farms of Vietnam (Trung et al., 2017). Furthermore, the presence of
148 *mcr-1* genes in Laos was detected in *E. coli* isolates from humans and pig samples (Olaitan
149 et al., 2016). In Lebanon, the first detection of *mcr-1* colistin resistance gene occurred in
150 2015, where a *mcr-1* *E. coli* strain harboring the TEM-135 like gene was isolated from
151 chicken in southern Lebanon (Dandachi et al., 2018).

152 Likewise, *mcr-1* from animals was detected in Cambodia (Stoesser et al., 2016), Japan
153 (Kawanishi et al., 2017; Kusumoto et al., 2016) and Taiwan (Kuo et al., 2016; Lai et al.,
154 2017) from *E. coli* and *K. pneumoniae* isolated from animals and human samples. Recently,
155 Takahashi et al, found high prevalence of *mcr-1*, *mcr-3* and *mcr-5* in *E. coli* in diseased pigs
156 in Japan (Fukuda et al., 2017). It has been observed that the highest percentage of the
157 presence of *mcr-1* in Asia is due to the uncontrollable use of colistin, especially in veterinary
158 medicine.

159

160 **Emergence of plasmid mediated colistin resistance in European countries**

161 In Europe, the *mcr-1* gene was detected in *E. coli* isolates from pigs, broilers, turkeys samples
162 (Perrin-Guyomard et al., 2016) and veal calves (Haenni et al., 2016a, 2016b). *Mcr-1* gene
163 was identified in four *Salmonella* isolated from a sausage, a poultry feed and a boot swab
164 from a broiler farm during a routine surveillance of the French agri- food sector (Webb et al.,
165 2016).

166 The first appearance in Great Britain of *E. coli* carrying *mcr-1* isolated from pigs dates from
167 2013 to 2015 (Duggett et al., 2016). Similarly, it was detected in two *E. coli* and one variant
168 of *Salmonella* Typhimurium Copenhagen which were found to be MDR, including colistin,

169 with *E. coli* and *Salmonella* carrying the *mcr-1* gene isolate from a pig (Anjum et al., 2016),
170 as well as human excrement isolates and poultry meat samples (Doumith et al., 2016).
171 To date, plasmid has been detected in one *E. coli* isolate from a Danish patient with a
172 bloodstream infection and in five *E. coli* isolates from imported chicken meat (Hasman et al.,
173 2015a). As well, Spain is one of the European countries with the larger use of colistin in
174 veterinary medicine (de Jong et al., 2013). This fact may correlate with the fact that Spain is
175 the first country, in Southern Europe, that detected *mcr-1* gene in nine strains from farm
176 animals (poultry and swine) corresponding to five *E. coli* and four *S. enterica* (Quesada et
177 al., 2016). In addition, Hernández et al. detected *mcr-3* and *mcr-1* colistin resistance genes in
178 an *E. coli* isolate from cattle excrement in a Spanish slaughterhouse (Hernández et al., 2017).
179 *Mcr-2*, another gene for colistin resistance mediated by a phospho-ethanolamine transferase
180 plasmid was isolated from porcine and bovine *E. coli* in Belgium, with 76.7% nucleotide
181 sequence homology to *mcr-1* (Xavier et al., 2016a, 2016b). In Germany, *E. coli* plasmid-
182 mediated colistin resistance occurs mainly in poultry production lines, while detection rates
183 in cattle and pig isolates are considerably lower (Irrgang et al., 2016). In addition, it was
184 detected in *E. coli* isolates from surrounding agricultural areas of three previously *mcr-1*-
185 positive pig farms (Guenther et al., 2017).
186 Likewise, *mcr-1* have also been reported in Italy (Cannatelli et al., 2016; Carnevali et al.,
187 2016; Giufrè et al., 2016), Portugal (Campos et al., 2016; Figueiredo et al., 2016) and in
188 Netherlands (Leverstein-van Hall et al., 2011; von Wintersdorff et al., 2016). Despite the
189 wide number of European countries detecting *mcr-1* in animal isolates, the spread of plasmid-
190 mediated resistance in the European countries is considerably lower than in the Asian
191 countries, especially in China.

192

193 **Emergence of plasmid mediated colistin resistance in African countries**

194 The first report of *mcr-1* gene in Africa was detected in *E. coli* isolated from the Algerian
195 chicken in 2015 (Olaitan et al., 2016) and in *E. coli* isolates from wild life in Bejaia (Bachiri
196 et al., 2017). In Tunisia, Grami et al have reported a high prevalence of *E. coli* carrying *mcr-1*
197 in three chicken farms (Grami et al., 2016). Chickens were imported from France or derived
198 from imported French chicks. The same IncHI2 plasmid has been reported to host these genes
199 in cattle in France and in a dietary sample in Portugal (Tse and Yuen, 2016). This suggests a
200 significant impact of food trade on the circulation of the *mcr-1* gene (Grami et al., 2016).
201 This plasmid has also been reported in *E. coli* isolated from an animal in Egypt (Khalifa et
202 al., 2016), a country with a high burden of infectious diseases and limited restrictions on

203 antimicrobial access. This plasmid has also been detected in *E. coli* isolates from human and
204 chicken samples in South Africa (Coetzee et al., 2016; Perreten et al., 2016). It is now crucial
205 to define the prevalence of the *mcr-1* gene in poultry and other livestock in African countries
206 in order to estimate the risk to human health.

207

208 **Emergence of plasmid mediated colistin resistance in American countries**

209 In Brazil, colistin-resistant *E. coli* isolates harboring *mcr-1*, and *bla*CTX-M or *bla*CMY-2
210 genes, were isolated from chicken meat. Moreover, it has also been demonstrated that most *E.*
211 *coli* carried IncX4 plasmids already detected in human and animal isolates (do Monte et al.,
212 2017). These results highlight a new reservoir of *mcr-1* gene in South America (do Monte et
213 al., 2017). In the United States, a colistin resistance gene carried by a transmissible plasmid
214 was detected in two fecal samples of pigs carrying the *mcr-1* gene (Meinersmann et al.,
215 2017).

216

217 **MCR- variants**

218 Recently, other *mcr* variants, including *mcr-2/3/3/4/5*, have been added to the list of phospho-
219 ethanolamine transferase genes causing colistin resistance in Enterobacteriaceae (Borowiak et
220 al., 2017; Carattoli et al., 2017; Yin et al.). Three further plasmids mediated colistin
221 resistance genes namely; *mcr-3*, *mcr-4* and *mcr-5*, have been identified in Enterobacteriaceae,
222 particularly from *E. coli* and *Salmonella* spp.

223 The *mcr-2* gene that has 76,7% nucleotide sequence identity with *mcr-1* gene was first
224 reported in pigs and bovines in Belgium (Xavier et al., 2016b). The third mobile colistin
225 resistance gene, *mcr-3* (45% nucleotide identity with *mcr-1*) was reported in *E. coli* isolate
226 from pigs in Malaysia (Yin et al.). Yin et al. also identified similar elements in a human *K.*
227 *pneumoniae* isolate of Thailand and a human *Salmonella enterica* serovarTyphimurium
228 isolate of the United States (Yin et al.). Subsequently, the coexistence of two plasmid-
229 mediated colistin resistance genes, *mcr-1* and *mcr-3.2*, was detected in the same strain
230 isolated from cattle samples in Spain(Hernández et al., 2017). However, *mcr-5* is different
231 from *mcr-1*, *mcr-2*, *mcr-3* and *mcr-4*, with only 34% to 36% amino acid sequence identity
232 with the other proteins.

233

234 **Use of colistin in veterinary medicine**

235 Colistin (polymyxin E) is a cationic, multi-component, lipopeptide produced by *Bacillus*
236 *colistinus*. It has been first isolated from the broth of *Paenibacillus* (*Bacillus*) *polymyxa*

237 (Falagas and Kasiakou, 2006). When first described in 1947, they were of great interest for
238 their activity against *Pseudomonas aeruginosa*. Colistin was introduced in the late 1950s
239 because the bactericide was rapid and highly active against most species of Gram-negative
240 bacteria, such as *E. coli*, *Salmonella* and *P. aeruginosa* (Falagas et al., 2005). In the 1970s,
241 colistin was replaced by new, more active and less toxic antimicrobial agents, such as
242 aminoglycosides, quinolones and β -lactams, because they reported a higher frequency of
243 neurotoxicity and nephrotoxicity (Poirel et al., 2017). In recent years, a recurrence of colistin
244 use has been observed due to the emergence of infectious diseases caused by multi-resistant
245 Gram-negative bacteria, particularly in human medicine. In veterinary medicine, colistin has
246 been used regularly for decades for both curative treatment and disease prevention.
247 Over the last decade, colistin has been used in Europe for the treatment of intestinal
248 infections caused by Enterobacteriaceae in pigs, poultry, cattle, sheep, goats and rabbits
249 (Kempf et al., 2016). It was also used in cattle, goats, sheep producing milk for human
250 consumption and in laying hens (Catry et al., 2015), although in the UK, it has been recently
251 used to treat infections in animals (Medicines Agency, 2016). In Brazilian livestock, colistin
252 has been widely used in pigs, poultry and in animal feed as a growth promoter (Fernandes et
253 al., 2016). The use of colistin potentially increases the selection pressure on bacteria to
254 become resistant. Despite the significant potential consequences of colistin resistance, there
255 has been no monitoring of global consumption of colistin in farm animals. In China, it has
256 been used at over 8000 tonnes as a feed additive in animals (Walsh and Wu, 2016) and the
257 annual use of colistin, ranging from 2470 to 2875 metric tons in food-producing animals in
258 the past 5 years, might contribute to the rapid spread of *mcr-1* (Shen et al., 2016). However,
259 monitoring of data on the use of colistin in veterinary medicine in Africa remains limited.
260 The wide distribution of the *mcr-1* gene of plasmid colistin in animal isolates compared to
261 human isolates, as well as the much greater use of colistin in animal compared to human, has
262 been considered to suggest a flow from animals to humans.

263 The European Medicines Agency, which has reviewed the use of colistin in veterinary
264 medicine in the EU and updated the use of colistin in animals, has recommended that these
265 medicines should only be used as second-line treatment in animals and that their sales should
266 be reduced and they should need drastic reductions in the use of colistin to meet their new
267 recommendations (5 mg per population correction unit) (Medicines Agency, 2016). In the
268 United Kingdom, the Veterinary Medicine Drug (VMD), in collaboration with other
269 agencies, including Public Health England and the Food Standards Agency, assessed the
270 relationship between the use of colistin in veterinary medicine and its implications for public

271 health. Following the detection of the *mcr-1* gene, the Pig Veterinary Society re-categorized
272 colistin in its prescribing principles for antimicrobials as an antibiotic of last resort, for which
273 the use must be supported by laboratory sensitivity tests (VMD assesses the implications of
274 colistin resistance in UK pigs., 2016). Similarly, China's official Ministry of Agriculture has
275 decided to ban the use of colistin as an additive in feed for animals (Walsh and Wu, 2016).
276 They suggested that the use of colistin in medicine has probably accelerated the
277 dissemination of *mcr-1* in animals and, subsequently, in human beings.

278

279 **Risk of transmission of colistin resistance from animals to humans**

280 As mentioned earlier in this review, *mcr* colistin resistance gene is becoming prevalent in
281 food producing animals worldwide. The spread of colistin resistance in animals is triggered
282 by the concern to be transmitted to humans, where they can be causative agents of infections
283 with limited therapeutic options when resistance to multiple drugs is encountered (Bettioli and
284 Harbarth, 2015). The zoonotic transmission of bacteria can occur via direct/indirect contact or
285 via consumption of under/uncooked animal products (Djeffal et al., 2017). Several studies
286 have also highlighted the importance of the environmental routes in this transmission chain
287 (Huijbers et al., 2014).

288 Unlike ESBL producers, the transfer of *mcr-1* *E. coli* strains from animals to humans is not
289 yet well established in the literature. The detection of *mcr-1* in animals (Dandachi et al.,
290 2018), environment (Yang et al., 2017; Zheng et al., 2016) but also in humans (Tada et al.,
291 2017) is still new in several countries. However, studies on the possible transmission of
292 positive strains of the *mcr* gene from animals to the general population are still rare. In their
293 study, Olaitan et al revealed the transmission of a colistin resistant *E. coli* strain from a pig to
294 its owner in Laos. This was demonstrated by both strains having the same sequence types and
295 sharing the same virulence as well as same PFGE patterns (Olaitan et al., 2015). The
296 transmission of *mcr-1* was also suggested by Zhang et al when a *mcr-1* *E. coli* strain was
297 isolated from a patient with glomerulonephritis. The strain had ST354 and was clonally
298 related four *mcr-1* *E. coli* strains isolated from dogs in the pet shop where this patient was
299 working (Zhang et al., 2016). More recently, *mcr-1*, *mcr-2*, *mcr-3*, *mcr-4* and *mcr-5* *E. coli*
300 strains were isolated from vaginal swabs of women undertaking an infertility evaluation in
301 China. Phylogenetic analysis of the isolated strains showed that the latter were identical or
302 similar nucleotide sequences to those of animal origin in the same city, suggesting a possible
303 transfer of *mcr* genes from animals to humans (Zhang et al., 2018). In Vietnam, *mcr-1* fecal
304 carriage in humans was significantly associated with exposure to *mcr-1* positive chicken

305 (Trung et al., 2017). Other studies in the literature revealed no clonal relationship between
306 mcr-1 in humans and those isolated from animals (Hasman et al., 2015b).

307

308 **Conclusion**

309 In summary, this study showed a spread of mcr colistin resistance genes in farmed and
310 domestic animals worldwide. The spread of colistin resistance appears to be related to its
311 overuse as therapeutic, prophylaxis and growth promoters. Although the zoonotic
312 transmission of mcr positive strains is still not well documented and that the prevalence of
313 these organisms in the clinical settings is still low compared to the one in animals, it is
314 nevertheless possible that mcr isolates silently diffuse into the hospital settings without any
315 notice. Indeed, in many countries, colistin resistance is monitored only when multi-drug
316 resistant organisms are encountered. Therefore, the use of colistin in animals should be
317 banned even in low prevalence countries, in order to preserve this antibiotic as a last resort
318 therapeutic agent for infectious diseases caused by carbapenemase producing Gram-negative
319 bacilli in the clinical settings.

320

321

322

323

324 **Conflict of Interest Statement**

325 No conflict of interest or financial disclosure for all authors.

326

327 **Acknowledgements**

328 We thank CookieTrad for English corrections.

329 **Authors' contributions**

330 SC and ID wrote the review paper. JMR corrected the manuscript. All authors approved and
331 revised the final version of the manuscript.

332

333 **Funding**

334 This work was funded by the Lebanese Council for Research and the French Government
335 under the « Investissementsd'avenir » (Investments for the Future) program managed by the
336 Agence Nationale de la Recherche (ANR, fr: National Agency for Research), (reference:
337 Méditerranée Infection 10-IAHU-03).

338

339 **References:**

- 340 Al-Tawfiq, J. A., Laxminarayan, R., and Mendelson, M. (2017). How should we respond to
341 the emergence of plasmid-mediated colistin resistance in humans and animals? *Int. J.*
342 *Infect. Dis.* 54, 77–84. doi:10.1016/j.ijid.2016.11.415.
- 343 Al-Tawfiq, J. A., Stephens, G., and Memish, Z. A. (2010). Inappropriate antimicrobial use
344 and potential solutions: a Middle Eastern perspective. *Expert Rev. Anti. Infect. Ther.* 8,
345 765–74. doi:10.1586/eri.10.56.
- 346 Anjum, M. F., Duggett, N. A., AbuOun, M., Randall, L., Nunez-Garcia, J., Ellis, R. J., et al.
347 (2016). Colistin resistance in *Salmonella* and *Escherichia coli* isolates from a pig farm in
348 Great Britain. *J. Antimicrob. Chemother.* 71, 2306–2313. doi:10.1093/jac/dkw149.
- 349 Bachiri, T., Lalaoui, R., Bakour, S., Allouache, M., Belkebla, N., Rolain, J. M., et al. (2017).
350 First Report of the Plasmid-Mediated Colistin Resistance Gene *mcr-1* in *Escherichia*
351 *coli* ST405 Isolated from Wildlife in Bejaia, Algeria. *Microb. Drug Resist.*,
352 *mdr.2017.0026*. doi:10.1089/mdr.2017.0026.
- 353 Baron, S., Hadjadj, L., Rolain, J.-M., and Olaitan, A. O. (2016). Molecular mechanisms of
354 polymyxin resistance: knowns and unknowns. *Int. J. Antimicrob. Agents* 48, 583–591.
355 doi:10.1016/j.ijantimicag.2016.06.023.
- 356 Bettiol, E., and Harbarth, S. (2015). Development of new antibiotics: taking off finally? *Swiss*
357 *Med. Wkly.* 145, w14167. doi:10.4414/smw.2015.14167.
- 358 Bi, Z., Berglund, B., Sun, Q., Nilsson, M., Chen, B., Tärnberg, M., et al. (2017). Prevalence
359 of the *mcr-1* colistin resistance gene in extended-spectrum β -lactamase-producing
360 *Escherichia coli* from human faecal samples collected in 2012 in rural villages in
361 Shandong Province, China. *Int. J. Antimicrob. Agents* 49, 493–497.
362 doi:10.1016/j.ijantimicag.2016.12.018.
- 363 Biswas, S., Brunel, J.-M., Dubus, J.-C., Reynaud-Gaubert, M., and Rolain, J.-M. (2012).
364 Colistin: an update on the antibiotic of the 21st century. *Expert Rev. Anti. Infect. Ther.*
365 10, 917–934. doi:10.1586/eri.12.78.
- 366 Borowiak, M., Fischer, J., Hammerl, J. A., Hendriksen, R. S., Szabo, I., and Malorny, B.
367 (2017). Identification of a novel transposon-associated phosphoethanolamine transferase
368 gene, *mcr-5*, conferring colistin resistance in d-tartrate fermenting *Salmonella enterica*
369 subsp. *enterica* serovar Paratyphi B. *J. Antimicrob. Chemother.* 72, 3317–3324.
370 doi:10.1093/jac/dkx327.
- 371 Campos, J., Cristino, L., Peixe, L., and Antunes, P. (2016). MCR-1 in multidrug-resistant and
372 copper-tolerant clinically relevant *Salmonella* 1,4,[5],12:i:- and S. Rissen clones in

373 Portugal, 2011 to 2015. *Eurosurveillance* 21, 30270. doi:10.2807/1560-
374 7917.ES.2016.21.26.30270.

375 Cannatelli, A., Giani, T., Antonelli, A., Principe, L., Luzzaro, F., and Rossolini, G. M.
376 (2016). First Detection of the *mcr-1* Colistin Resistance Gene in *Escherichia coli* in
377 Italy. *Antimicrob. Agents Chemother.* 60, 3257–8. doi:10.1128/AAC.00246-16.

378 Carattoli, A., Villa, L., Feudi, C., Curcio, L., Orsini, S., Luppi, A., et al. (2017). Novel
379 plasmid-mediated colistin resistance *mcr-4* gene in *Salmonella* and *Escherichia coli* ,
380 Italy 2013, Spain and Belgium, 2015 to 2016. *Eurosurveillance* 22, 30589.
381 doi:10.2807/1560-7917.ES.2017.22.31.30589.

382 Carnevali, C., Morganti, M., Scaltriti, E., Bolzoni, L., Pongolini, S., and Casadei, G. (2016).
383 Occurrence of *mcr-1* in Colistin-Resistant *Salmonella enterica* Isolates Recovered from
384 Humans and Animals in Italy, 2012 to 2015. *Antimicrob. Agents Chemother.* 60, 7532–
385 7534. doi:10.1128/AAC.01803-16.

386 Catry, B., Cavaleri, M., Baptiste, K., Grave, K., Grein, K., Holm, A., et al. (2015). Use of
387 colistin-containing products within the European Union and European Economic Area
388 (EU/EEA): development of resistance in animals and possible impact on human and
389 animal health. *Int. J. Antimicrob. Agents* 46, 297–306.
390 doi:10.1016/j.ijantimicag.2015.06.005.

391 Coetzee, J., Corcoran, C., Prentice, E., Moodley, M., Mendelson, M., Poirer, L., et al. (2016).
392 Emergence of plasmid-mediated colistin resistance (MCR-1) among *Escherichia coli*
393 isolated from South African patients. *South African Med. J.* 106, 449.
394 doi:10.7196/SAMJ.2016.v106i5.10710.

395 Collignon, P. C., Conly, J. M., Andremont, A., McEwen, S. A., Aidara-Kane, A., and World
396 Health Organization Advisory Group, Bogotá Meeting on Integrated Surveillance of
397 Antimicrobial Resistance (WHO-AGISAR) (2016). World Health Organization Ranking
398 of Antimicrobials According to Their Importance in Human Medicine: A Critical Step
399 for Developing Risk Management Strategies to Control Antimicrobial Resistance From
400 Food Animal Production. *Clin. Infect. Dis.* 63, 1087–1093. doi:10.1093/cid/ciw475.

401 Cui, M., Zhang, J., Gu, Z., Li, R., Chan, E. W., Yan, M., et al. (2017). Prevalence and
402 molecular characterization of *mcr-1* -positive *Salmonella* strains recovered from clinical
403 specimens in China. *Antimicrob. Agents Chemother.*, AAC.02471-16.
404 doi:10.1128/AAC.02471-16.

405 Dandachi, I., Leangapichart, T., Daoud, Z., and Rolain, J.-M. (2018). First detection of *mcr-1*
406 plasmid-mediated colistin-resistant *Escherichia coli* in Lebanese poultry. *J. Glob.*

407 Antimicrob. Resist. 12, 137–138. doi:10.1016/j.jgar.2018.01.004.

408 de Jong, A., Thomas, V., Klein, U., Marion, H., Moyaert, H., Simjee, S., et al. (2013). Pan-
409 European resistance monitoring programmes encompassing food-borne bacteria and
410 target pathogens of food-producing and companion animals. *Int. J. Antimicrob. Agents*
411 41, 403–9. doi:10.1016/j.ijantimicag.2012.11.004.

412 Djeffal, S., Bakour, S., Mamache, B., Elgroud, R., Agabou, A., Chabou, S., et al. (2017).
413 Prevalence and clonal relationship of ESBL-producing *Salmonella* strains from humans
414 and poultry in northeastern Algeria. *BMC Vet. Res.* 13, 132. doi:10.1186/s12917-017-
415 1050-3.

416 do Monte, D. F. M., Mem, A., Fernandes, M. R., Cerdeira, L., Esposito, F., Galvão, J. A., et
417 al. (2017). Chicken Meat as Reservoir of Colistin-Resistant *Escherichia coli* Carrying
418 *mcr-1* Genes in South America. *Antimicrob. Agents Chemother.*, AAC.02718-16.
419 doi:10.1128/AAC.02718-16.

420 Doumith, M., Godbole, G., Ashton, P., Larkin, L., Dallman, T., Day, M., et al. (2016).
421 Detection of the plasmid-mediated *mcr-1* gene conferring colistin resistance in human
422 and food isolates of *Salmonella enterica* and *Escherichia coli* in England and Wales. *J.*
423 *Antimicrob. Chemother.* 71, 2300–2305.

424 Duggett, N. A., Sayers, E., AbuOun, M., Ellis, R. J., Nunez-Garcia, J., Randall, L., et al.
425 (2016). Occurrence and characterization of *mcr-1*- harbouring *Escherichia coli* isolated
426 from pigs in Great Britain from 2013 to 2015. *J. Antimicrob. Chemother.* 72, dkw477.
427 doi:10.1093/jac/dkw477.

428 Falagas, M. E., and Kasiakou, S. K. (2006). Toxicity of polymyxins: a systematic review of
429 the evidence from old and recent studies. *Crit. Care* 10, R27. doi:10.1186/cc3995.

430 Falagas, M. E., Kasiakou, S. K., and Saravolatz, L. D. (2005). Colistin: The Revival of
431 Polymyxins for the Management of Multidrug-Resistant Gram-Negative Bacterial
432 Infections. *Clin. Infect. Dis.* 40, 1333–1341. doi:10.1086/429323.

433 Fernandes, M. R., Moura, Q., Sartori, L., Silva, K. C., Cunha, M. P., Esposito, F., et al.
434 (2016). Silent dissemination of colistin-resistant *Escherichia coli* in South America
435 could contribute to the global spread of the *mcr-1* gene. *Euro Surveill.* 21, 30214.
436 doi:10.2807/1560-7917.ES.2016.21.17.30214.

437 Figueiredo, R., Card, R. M., Nunez, J., Pomba, C., Mendonça, N., Anjum, M. F., et al.
438 (2016). Detection of an *mcr-1* -encoding plasmid mediating colistin resistance in
439 *Salmonella enterica* from retail meat in Portugal: Table 1. *J. Antimicrob. Chemother.* 71,
440 2338–2340. doi:10.1093/jac/dkw240.

441 Fukuda, A., Sato, T., Shinagawa, M., Takahashi, S., Asai, T., Yokota, S., et al. (2017). High
442 prevalence of *mcr-1*, *mcr-3* and *mcr-5* in *Escherichia coli* derived from diseased pigs in
443 Japan. doi:10.1016/j.ijantimicag.2017.11.010.

444 Giufrè, M., Monaco, M., Accogli, M., Pantosti, A., Cerquetti, M., and PAMURSA Study
445 Group (2016). Emergence of the colistin resistance *mcr-1* determinant in commensal
446 *Escherichia coli* from residents of long-term-care facilities in Italy. *J. Antimicrob.*
447 *Chemother.* 71, 2329–31. doi:10.1093/jac/dkw195.

448 Grami, R., Mansour, W., Mehri, W., Bouallègue, O., Boujaâfar, N., Madec, J.-Y., et al.
449 (2016). Impact of food animal trade on the spread of *mcr-1* -mediated colistin resistance,
450 Tunisia, July 2015. *Eurosurveillance* 21, 30144. doi:10.2807/1560-
451 7917.ES.2016.21.8.30144.

452 Guenther, S., Falgenhauer, L., Semmler, T., Imirzalioglu, C., Chakraborty, T., Roesler, U., et
453 al. (2017). Environmental emission of multiresistant *Escherichia coli* carrying the
454 colistin resistance gene *mcr-1* from German swine farms. *J. Antimicrob. Chemother.*,
455 *dkw585*. doi:10.1093/jac/dkw585.

456 Haenni, M., Métayer, V., Gay, E., and Madec, J.-Y. (2016a). Increasing Trends in *mcr-1*
457 Prevalence among Extended-Spectrum- β -Lactamase-Producing *Escherichia coli* Isolates
458 from French Calves despite Decreasing Exposure to Colistin. *Antimicrob. Agents*
459 *Chemother.* 60, 6433–6434. doi:10.1128/AAC.01147-16.

460 Haenni, M., Poirel, L., Kieffer, N., Châtre, P., Saras, E., Métayer, V., et al. (2016b). Co-
461 occurrence of extended spectrum β lactamase and MCR-1 encoding genes on plasmids.
462 *Lancet Infect. Dis.* 16, 281–282. doi:10.1016/S1473-3099(16)00007-4.

463 Hasman, H., Hammerum, A. M., Hansen, F., Hendriksen, R. S., Olesen, B., Agersø, Y., et al.
464 (2015a). Detection of *mcr-1* encoding plasmid-mediated colistin-resistant *Escherichia*
465 *coli* isolates from human bloodstream infection and imported chicken meat, Denmark
466 2015. *Eurosurveillance* 20, 1–5.

467 Hasman, H., Hammerum, A. M., Hansen, F., Hendriksen, R. S., Olesen, B., Agersø, Y., et al.
468 (2015b). Detection of *mcr-1* encoding plasmid-mediated colistin-resistant *Escherichia*
469 *coli* isolates from human bloodstream infection and imported chicken meat, Denmark
470 2015. *Eurosurveillance* 20, 30085. doi:10.2807/1560-7917.ES.2015.20.49.30085.

471 Hernández, M., Iglesias, M. R., Rodríguez-Lázaro, D., Gallardo, A., Quijada, N., Miguela-
472 Villoldo, P., et al. (2017). Co-occurrence of colistin-resistance genes *mcr-1* and *mcr-3*
473 among multidrug-resistant *Escherichia coli* isolated from cattle, Spain, September 2015.
474 *Eurosurveillance* 22, 30586. doi:10.2807/1560-7917.ES.2017.22.31.30586.

475 Hu, Y., Liu, F., Lin, I. Y. C., Gao, G. F., and Zhu, B. (2016). Dissemination of the *mcr-1*
476 colistin resistance gene. *Lancet. Infect. Dis.* 16, 146–7. doi:10.1016/S1473-
477 3099(15)00533-2.

478 Huijbers, P. M. C., Graat, E. A. M., Haenen, A. P. J., van Santen, M. G., van Essen-
479 Zandbergen, A., Mevius, D. J., et al. (2014). Extended-spectrum and AmpC β -
480 lactamase-producing *Escherichia coli* in broilers and people living and/or working on
481 broiler farms: prevalence, risk factors and molecular characteristics. *J. Antimicrob.*
482 *Chemother.* 69, 2669–2675. doi:10.1093/jac/dku178.

483 Irrgang, A., Roschanski, N., Tenhagen, B.-A., Grobbel, M., Skladnikiewicz-Ziemer, T.,
484 Thomas, K., et al. (2016). Prevalence of *mcr-1* in *E. coli* from Livestock and Food in
485 Germany, 2010–2015. *PLoS One* 11, e0159863. doi:10.1371/journal.pone.0159863.

486 Justo, J. A., and Bosso, J. A. (2015). Adverse Reactions Associated with Systemic Polymyxin
487 Therapy. *Pharmacother. J. Hum. Pharmacol. Drug Ther.* 35, 28–33.
488 doi:10.1002/phar.1493.

489 Kawanishi, M., Abo, H., Ozawa, M., Uchiyama, M., Shirakawa, T., Suzuki, S., et al. (2017).
490 Prevalence of Colistin Resistance Gene *mcr-1* and Absence of *mcr-2* in *Escherichia coli*
491 Isolated from Healthy Food-Producing Animals in Japan. *Antimicrob. Agents*
492 *Chemother.* 61, e02057-16. doi:10.1128/AAC.02057-16.

493 Kempf, I., Jouy, E., and Chauvin, C. (2016). Colistin use and colistin resistance in bacteria
494 from animals. *Int. J. Antimicrob. Agents* 48, 598–606.
495 doi:10.1016/j.ijantimicag.2016.09.016.

496 Khalifa, H. O., Ahmed, A. M., Oreiby, A. F., Eid, A. M., Shimamoto, T., and Shimamoto, T.
497 (2016). Characterisation of the plasmid-mediated colistin resistance gene *mcr-1* in
498 *Escherichia coli* isolated from animals in Egypt. *Int. J. Antimicrob. Agents* 47, 413–414.
499 doi:10.1016/j.ijantimicag.2016.02.011.

500 Kong, L.-H., Lei, C.-W., Ma, S.-Z., Jiang, W., Liu, B.-H., Wang, Y.-X., et al. (2017). Various
501 Sequence Types of *Escherichia coli* Isolates Coharboring *bla_{NDM-5}* and *mcr-1* Genes
502 from a Commercial Swine Farm in China. *Antimicrob. Agents Chemother.* 61, e02167-
503 16. doi:10.1128/AAC.02167-16.

504 Kuo, S.-C., Huang, W.-C., Wang, H.-Y., Shiau, Y.-R., Cheng, M.-F., and Lauderdale, T.-L.
505 (2016). Colistin resistance gene *mcr-1* in *Escherichia coli* isolates from humans and
506 retail meats, Taiwan. *J. Antimicrob. Chemother.* 71, 2327–2329.
507 doi:10.1093/jac/dkw122.

508 Kusumoto, M., Ogura, Y., Gotoh, Y., Iwata, T., Hayashi, T., and Akiba, M. (2016). Colistin-

509 Resistant *mcr-1* –Positive Pathogenic *Escherichia coli* in Swine, Japan, 2007–2014.
510 *Emerg. Infect. Dis.* 22, 1315–1317. doi:10.3201/eid2207.160234.

511 Lai, C.-C., Chuang, Y.-C., Chen, C.-C., and Tang, H.-J. (2017). Coexistence of MCR-1 and
512 NDM-9 in a clinical carbapenem-resistant *Escherichia coli* isolate. *Int. J. Antimicrob.*
513 *Agents* 49, 517–518. doi:10.1016/j.ijantimicag.2017.02.001.

514 Lei, L., Wang, Y., Schwarz, S., Walsh, T. R., Ou, Y., Wu, Y., et al. (2017). *mcr-1* in
515 *Enterobacteriaceae* from Companion Animals, Beijing, China, 2012–2016. *Emerg.*
516 *Infect. Dis.* 23, 710–711. doi:10.3201/eid2304.161732.

517 Leverstein-van Hall, M. A., Dierikx, C. M., Stuart, J. C., Voets, G. M., van den Munckhof,
518 M. P., van Essen-Zandbergen, A., et al. (2011). Dutch patients, retail chicken meat and
519 poultry share the same ESBL genes, plasmids and strains. *Clin. Microbiol. Infect.* 17,
520 873–880. doi:10.1111/j.1469-0691.2011.03497.x.

521 Lima Barbieri, N., Nielsen, D. W., Wannemuehler, Y., Cavender, T., Hussein, A., Yan, S., et
522 al. (2017). *mcr-1* identified in Avian Pathogenic *Escherichia coli* (APEC). *PLoS One* 12,
523 e0172997. doi:10.1371/journal.pone.0172997.

524 Ling, L. L., Schneider, T., Peoples, A. J., Spoering, A. L., Engels, I., Conlon, B. P., et al.
525 (2015). A new antibiotic kills pathogens without detectable resistance. *Nature* 517, 455–
526 459. doi:10.1038/nature14098.

527 Liu, X., Li, R., Zheng, Z., Chen, K., Xie, M., Chan, E. W.-C., et al. (2017). Molecular
528 Characterization of *Escherichia coli* Isolates Carrying *mcr-1*, *fosA3* and ESBL genes
529 from Food Samples in China. *Antimicrob. Agents Chemother.*, AAC.00064-17.
530 doi:10.1128/AAC.00064-17.

531 Liu, Y.-Y., Wang, Y., Walsh, T. R., Yi, L.-X., Zhang, R., Spencer, J., et al. (2016a).
532 Emergence of plasmid-mediated colistin resistance mechanism MCR-1 in animals and
533 human beings in China: a microbiological and molecular biological study. *Lancet Infect.*
534 *Dis.* 16, 161–168. doi:10.1016/S1473-3099(15)00424-7.

535 Liu, Y.-Y., Wang, Y., Walsh, T. R., Yi, L.-X., Zhang, R., Spencer, J., et al. (2016b).
536 Emergence of plasmid-mediated colistin resistance mechanism MCR-1 in animals and
537 human beings in China: a microbiological and molecular biological study. *Lancet.*
538 *Infect. Dis.* 16, 161–8. doi:10.1016/S1473-3099(15)00424-7.

539 Malhotra-Kumar, S., Xavier, B. B., Das, A. J., Lammens, C., Hoang, H. T. T., Pham, N. T., et
540 al. (2016). Colistin-resistant *Escherichia coli* harbouring *mcr-1* isolated from food
541 animals in Hanoi, Vietnam. *Lancet Infect. Dis.* 16, 286–287. doi:10.1016/S1473-
542 3099(16)00014-1.

543 Medicines Agency, E. (2016). Updated advice on the use of colistin products in animals
544 within the European Union: development of resistance and possible impact on human
545 and animal health. Available at:
546 [http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2016/07/](http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2016/07/WC500211080.pdf)
547 [WC500211080.pdf](http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2016/07/WC500211080.pdf) [Accessed March 30, 2018].

548 Meinersmann, R. J., Ladely, S. R., Plumblee, J. R., Cook, K. L., and Thacker, E. (2017).
549 Prevalence of *mcr-1* in the Cecal Contents of Food Animals in the United States.
550 *Antimicrob. Agents Chemother.* 61, AAC.02244-16. doi:10.1128/AAC.02244-16.

551 Nguyen, N. T., Nguyen, H. M., Nguyen, C. V., Nguyen, T. V., Nguyen, M. T., Thai, H. Q., et
552 al. (2016). Use of Colistin and Other Critical Antimicrobials on Pig and Chicken Farms
553 in Southern Vietnam and Its Association with Resistance in Commensal *Escherichia coli*
554 Bacteria. *Appl. Environ. Microbiol.* 82, 3727–3735. doi:10.1128/AEM.00337-16.

555 Olaitan, A. O., Diene, S. M., Kempf, M., Berrazeg, M., Bakour, S., Gupta, S. K., et al.
556 (2014a). Worldwide emergence of colistin resistance in *Klebsiella pneumoniae* from
557 healthy humans and patients in Lao PDR, Thailand, Israel, Nigeria and France owing to
558 inactivation of the PhoP/PhoQ regulator *mgrB*: an epidemiological and molecular study.
559 *Int. J. Antimicrob. Agents* 44, 500–7. doi:10.1016/j.ijantimicag.2014.07.020.

560 Olaitan, A. O., Morand, S., and Rolain, J.-M. (2014b). Mechanisms of polymyxin resistance:
561 acquired and intrinsic resistance in bacteria. *Front. Microbiol.* 5, 643.
562 doi:10.3389/fmicb.2014.00643.

563 Olaitan, A. O., Thongmalayvong, B., Akkhavong, K., Somphavong, S., Paboriboune, P.,
564 Khounsy, S., et al. (2015). Clonal transmission of a colistin-resistant *Escherichia coli*
565 from a domesticated pig to a human in Laos. *J. Antimicrob. Chemother.* 70, 3402–4.
566 doi:10.1093/jac/dkv252.

567 Osei Sekyere, J., Govinden, U., Bester, L. A., and Essack, S. Y. (2016). Colistin and
568 tigecycline resistance in carbapenemase-producing Gram-negative bacteria: emerging
569 resistance mechanisms and detection methods. *J. Appl. Microbiol.* 121, 601–17.
570 doi:10.1111/jam.13169.

571 Perreten, V., Strauss, C., Collaud, A., and Gerber, D. (2016). Colistin Resistance Gene *mcr-1*
572 in Avian-Pathogenic *Escherichia coli* in South Africa. *Antimicrob. Agents Chemother.*
573 60, 4414–5. doi:10.1128/AAC.00548-16.

574 Perrin-Guyomard, A., Bruneau, M., Houée, P., Deleurme, K., Legrandois, P., Poirier, C., et
575 al. (2016). Prevalence of *mcr-1* in commensal *Escherichia coli* from French livestock,
576 2007 to 2014. *Euro Surveill.* 21, 30135. doi:10.2807/1560-7917.ES.2016.21.6.30135.

577 Poirel, L., Jayol, A., and Nordmann, P. (2017). Polymyxins: Antibacterial Activity,
578 Susceptibility Testing, and Resistance Mechanisms Encoded by Plasmids or
579 Chromosomes. *Clin. Microbiol. Rev.* 30, 557–596. doi:10.1128/CMR.00064-16.

580 Quesada, A., Ugarte-Ruiz, M., Iglesias, M. R., Porrero, M. C., Martínez, R., Florez-
581 Cuadrado, D., et al. (2016). Detection of plasmid mediated colistin resistance (MCR-1)
582 in *Escherichia coli* and *Salmonella enterica* isolated from poultry and swine in Spain.
583 *Res. Vet. Sci.* 105, 134–135. doi:10.1016/j.rvsc.2016.02.003.

584 Samonis, G., Korbila, I. P., Maraki, S., Michailidou, I., Vardakas, K. Z., Kofteridis, D., et al.
585 (2014). Trends of isolation of intrinsically resistant to colistin Enterobacteriaceae and
586 association with colistin use in a tertiary hospital. *Eur. J. Clin. Microbiol. Infect. Dis.* 33,
587 1505–10. doi:10.1007/s10096-014-2097-8.

588 Schwarz, S., and Johnson, A. P. (2016). Transferable resistance to colistin: a new but old
589 threat: Table 1. *J. Antimicrob. Chemother.* 71, 2066–2070. doi:10.1093/jac/dkw274.

590 Shen, Z., Wang, Y., Shen, Y., Shen, J., and Wu, C. (2016). Early emergence of *mcr-1* in
591 *Escherichia coli* from food-producing animals. *Lancet. Infect. Dis.* 16, 293.
592 doi:10.1016/S1473-3099(16)00061-X.

593 Stoesser, N., Mathers, A. J., Moore, C. E., Day, N. P. J., and Crook, D. W. (2016). Colistin
594 resistance gene *mcr-1* and pHNSHP45 plasmid in human isolates of *Escherichia coli* and
595 *Klebsiella pneumoniae*. *Lancet. Infect. Dis.* 16, 285–6. doi:10.1016/S1473-
596 3099(16)00010-4.

597 Tada, T., Uechi, K., Nakasone, I., Shimada, K., Nakamatsu, M., Kirikae, T., et al. (2017).
598 Emergence of a colistin-resistant *Escherichia coli* clinical isolate harboring *mcr-1* in
599 Japan. *Int. J. Infect. Dis.* 63, 21–22. doi:10.1016/j.ijid.2017.07.023.

600 Trung, N. V., Matamoros, S., Carrique-Mas, J. J., Nghia, N. H., Nhung, N. T., Chieu, T. T.
601 B., et al. (2017). Zoonotic Transmission of *mcr-1* Colistin Resistance Gene from Small-
602 Scale Poultry Farms, Vietnam. *Emerg. Infect. Dis.* 23, 529–532.
603 doi:10.3201/eid2303.161553.

604 Tse, H., and Yuen, K.-Y. (2016). Dissemination of the *mcr-1* colistin resistance gene. *Lancet.*
605 *Infect. Dis.* 16, 145–6. doi:10.1016/S1473-3099(15)00532-0.

606 VMD assesses the implications of colistin resistance in UK pigs. (2016). *Vet. Rec.* 178, 31.
607 doi:10.1136/vr.i53.

608 von Wintersdorff, C. J. H., Wolffs, P. F. G., van Niekerk, J. M., Beuken, E., van Alphen, L.
609 B., Stobberingh, E. E., et al. (2016). Detection of the plasmid-mediated colistin-
610 resistance gene *mcr-1* in faecal metagenomes of Dutch travellers. *J. Antimicrob.*

611 Chemother. 71, 3416–3419. doi:10.1093/jac/dkw328.

612 Walsh, T. R., and Wu, Y. (2016). China bans colistin as a feed additive for animals. *Lancet.*
613 *Infect. Dis.* 16, 1102–1103. doi:10.1016/S1473-3099(16)30329-2.

614 Wang, Y., Zhang, R., Li, J., Wu, Z., Yin, W., Schwarz, S., et al. (2017). Comprehensive
615 resistome analysis reveals the prevalence of NDM and MCR-1 in Chinese poultry
616 production. *Nat. Microbiol.* 2, 16260. doi:10.1038/nmicrobiol.2016.260.

617 Webb, H. E., Granier, S. A., Marault, M., Millemann, Y., den Bakker, H. C., Nightingale, K.
618 K., et al. (2016). Dissemination of the *mcr-1* colistin resistance gene. *Lancet. Infect. Dis.*
619 16, 144–5. doi:10.1016/S1473-3099(15)00538-1.

620 Xavier, B. B., Lammens, C., Butaye, P., Goossens, H., and Malhotra-Kumar, S. (2016a).
621 Complete sequence of an IncFII plasmid harbouring the colistin resistance gene *mcr-1*
622 isolated from Belgian pig farms. *J. Antimicrob. Chemother.* 71, 2342–2344.
623 doi:10.1093/jac/dkw191.

624 Xavier, B. B., Lammens, C., Ruhai, R., Kumar-Singh, S., Butaye, P., Goossens, H., et al.
625 (2016b). Identification of a novel plasmid-mediated colistin-resistance gene, *mcr-2*, in
626 *Escherichia coli*, Belgium, June 2016. *Eurosurveillance* 21, 30280. doi:10.2807/1560-
627 7917.ES.2016.21.27.30280.

628 Yang, Y.-Q., Li, Y.-X., Song, T., Yang, Y.-X., Jiang, W., Zhang, A.-Y., et al. (2017).
629 Colistin resistance gene *mcr-1* and its variant in *Escherichia coli* isolates from chickens
630 in China. *Antimicrob. Agents Chemother.*, AAC.01204-16. doi:10.1128/AAC.01204-16.

631 Yi, L., Wang, J., Gao, Y., Liu, Y., Doi, Y., Wu, R., et al. (2017). *mcr-1*–Harboring
632 *Salmonella enterica* Serovar Typhimurium Sequence Type 34 in Pigs, China. *Emerg.*
633 *Infect. Dis.* 23, 291–295. doi:10.3201/eid2302.161543.

634 Yin, W., Li, H., Shen, Y., Liu, Z., Wang, S., Shen, Z., et al. Novel Plasmid-Mediated Colistin
635 Resistance Gene *mcr-3* in *Escherichia coli*. doi:10.1128/mBio.

636 Zhang, J., Chen, L., Wang, J., Butaye, P., Huang, K., Qiu, H., et al. (2018). Molecular
637 detection of colistin resistance genes (*mcr-1* to *mcr-5*) in human vaginal swabs. *BMC*
638 *Res. Notes* 11, 143. doi:10.1186/s13104-018-3255-3.

639 Zhang, X.-F., Doi, Y., Huang, X., Li, H.-Y., Zhong, L.-L., Zeng, K.-J., et al. (2016). Possible
640 Transmission of *mcr-1*-Harboring *Escherichia coli* between Companion Animals and
641 Human. *Emerg. Infect. Dis.* 22, 1679–81. doi:10.3201/eid2209.160464.

642 Zheng, B., Dong, H., Xu, H., Lv, J., Zhang, J., Jiang, X., et al. (2016). Coexistence of MCR-1
643 and NDM-1 in Clinical *Escherichia coli* Isolates: Table 1. *Clin. Infect. Dis.* 63, 1393–
644 1395. doi:10.1093/cid/ciw553.

645 **Table 1.** Distribution of mcr allele in animals versus antibiotic usage worldwide

Country	mcr allele	Animal Host	Species	Antibiotic used	Reference
AFRICA					
Algeria	mcr-1	Poultry, Barbary macaques	E. coli	Colistin	(Olaitan et al. 2016) (Bachiri et al., 2017)
Egypt	mcr-1	Cow, poultry	E. coli	Colistin	(Khalifa et al. 2016) (Lima Barbieri et al. 2017)
Tunisia	mcr-1	Poultry	E. coli	-	(Grami et al. 2016)
South Africa	mcr-1	Poultry	E. coli	Colistin	(Perreten et al. 2016)
EUROPE					
Belgium	mcr-1	Calves, swine, poultry	E. coli	Colistin, Banned in 2016 by MAPA	(Malhotra-Kumar et al. 2016a) (El Garch et al. 2017) (Monte et al. 2017)
	mcr-2	Calves, Swine	E. coli	-	(Xavier et al. 2016)
Great Britain	mcr-1	Swine, Poultry meat	E. coli, Salmonella spp	Colistin	(Duggett et al., 2016), (Doumith et al., 2016)
Denmark	mcr-1	Poultry	E. coli	-	(Hasman et al., 2015)
Estonia	mcr-1	Swine	E. coli	-	(Brauer et al. 2016)
Italy	mcr-1	Swine, Reptiles Broilers	Salmonella spp, E. coli	Colistin with others.	(Carnevali et al. 2016) (El Garch et al. 2017) (Unger et al. 2017) (Nguyen et al. 2016)
Portugal	mcr-1	Swine	Salmonella spp	Colistin	(Campos et al. 2016)
Spain	mcr-1	Swine, turkey, cattle	E. coli, S. typhimurium, S. rissen	-	(de Jong et al., 2013) (Quesada et al., 2016) (Hernández et al., 2017).
Netherlands	mcr-1	Poultry	E.coli, K. pneumoniae	-	(Schrauwen et al. 2017)(Kluytmans-van den Bergh et al. 2016)
France	mcr-1	Swine, turkey, poultry cattle calves	E. coli, Salmonella spp	Colistin sulfonamides, tetracyclines	(Perrin-Guyomard et al. 2016) (Webb et al. 2016) (Brennan et al. 2016) (Haenni et al. 2016) (El Garch et al. 2017)

					(El Garch et al. 2017)
Germany	mcr-1	Swine, poultry, turkey, veal calves	Salmonella spp, E. coli	Colistin	(Falgenhauer et al. 2016) (Ewers et al. 2016a; Ewers et al. 2016b) (Pulss et al. 2017) (Roschanski et al. 2017) (Irrgang et al. 2016)
ASIA					
Taiwan	mcr-1	Poultry, Swine	Salmonella spp	-	(Chiou et al. 2017)
Laos	mcr-1	Swine	E. coli	-	(Olaitan et al. 2016)
Malaysia	mcr-1	Poultry, Swine	E. coli	-	(Yu et al. 2016)
Japan	mcr-1	Retail chicken meat	E. coli	-	(Ohsaki et al. 2017)
Vietnam	mcr-1	Swine	E. coli	-	(Malhotra-Kumar et al. 2016b)
					(Shen et al. 2016) (Yi et al. 2017) (Li et al. 2017) (Liu et al. 2017a) (Kong et al. 2017) (Liu et al. 2017b)
China	mcr-1	Swine, poultry, pets, Duck	E. coli, Cronobacter sakazakii, K. pneumoniae, Salmonella spp	Colistin florfenicol and olaquinox	(Lima Barbieri et al. 2017) (Lei et al. 2017) (Wang et al. 2017) (Zhang et al. 2017) (Bai et al. 2016) (Li et al. 2016b) (Zhang et al. 2016) (Huang et al. 2017) (Yang et al. 2016) (Li et al. 2016a) (Liu et al. 2016)
AMERICA					
Brazil	mcr-1	Poultry, swine	E. coli	Colistin Sulphate	(Fernandes et al. 2016)
Venezuela	mcr-1	Swine	E. coli	-	(Delgado-Blas et al. 2016)
United States	mcr-1	Swine	E. coli	-	(Meinersmann et al. 2017)

646 “-“ = unknown

647

648

649

650

651

652

653

654

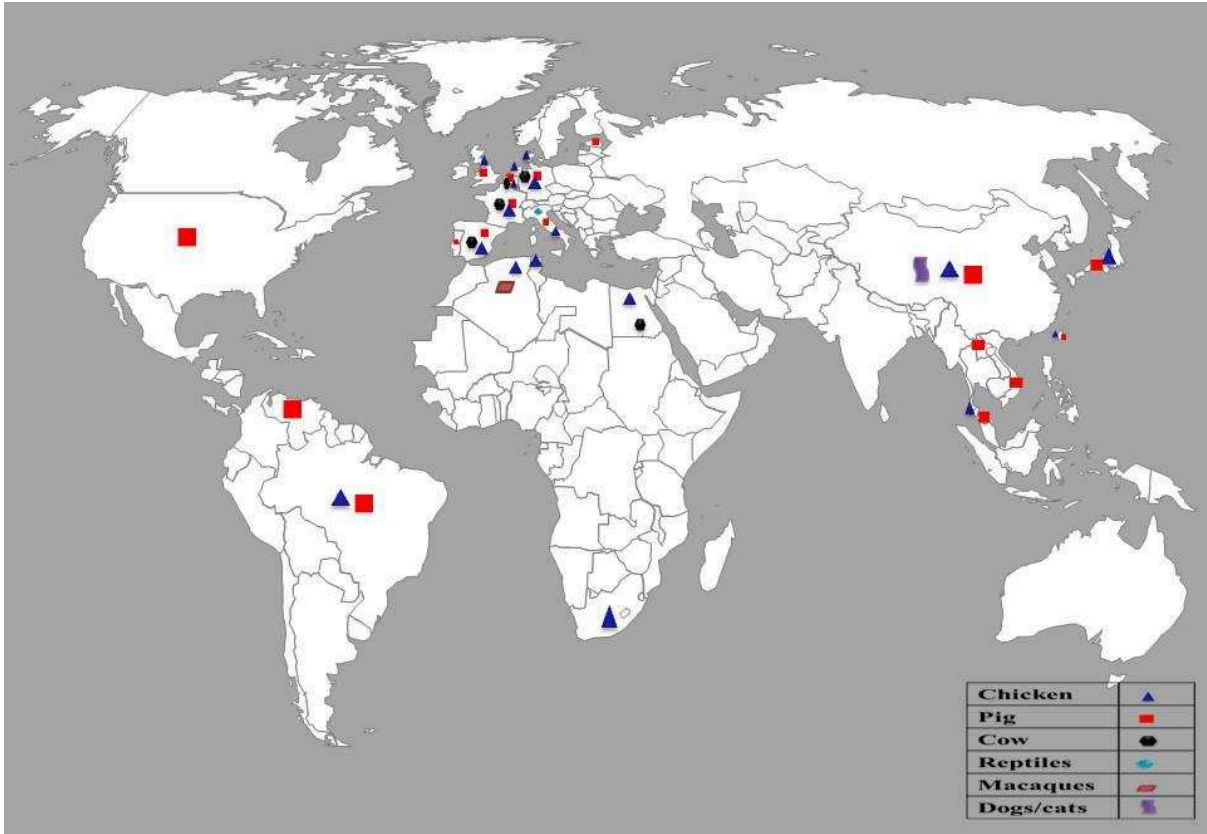
655

656

657 **Figure Legends:**

658 **Figure 1.** The Worldwide spread of plasmid mediated colistin resistance in animals.

659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690



691

692

Figure 1. The Worldwide spread of plasmid mediated colistin resistance in animals.

693

694

Conclusion of Chapter I

In the Mediterranean region, ESBL, Ampc producers and to a lesser extent carbapenemase and mcr colistin resistant Gram-negative bacilli are highly prevalent in animals especially in chicken. The poultry production system is of particular importance since it can mediate the national as well as the global dissemination of multi-drug resistant organisms due to the frequent export/import of chicken between countries (1). The selection of beta lactamase producers appears to be mediated by the frequent use of non beta lactam antibiotics in the veterinary medicine. The control of antibiotic consumption is warranted in the Mediterranean region especially in Western Asia and North Africa where no accurate data are available neither at the level of the spread of multi-drug resistant organisms in animals nor at the level of antibiotic consumption.

Worldwide speaking, the continuous use of colistin in veterinary medicine appears to have promoted the dissemination of colistin resistant Gram-negative bacilli, notably the mcr mediated ones. The risk of transmission of resistant organisms from animals to humans is well documented for beta lactamase producers and to a lesser extent for colistin resistant isolates (2, 3). In view of the rapid dissemination of mcr-1 in Livestock and the rapid emergence of other plasmid mediated colistin resistance genes i.e. mcr-2, mcr-3, mcr-4 and mcr-5(4), the real efficacy of colistin use in food producing animals becomes questionable. A re-evaluation of colistin as well as non beta lactam prescription in livestock is therefore warranted especially in the Mediterranean area. Furthermore, the risk factors associated with the acquisition of colistin resistance from animals, in addition to its persistence in the human gut without colistin selective pressure should be also explored.

During our reviews, we found that Lebanon is one of the countries where little is known about the level of antibiotic consumption in animals as well as the level of multi-drug resistant organisms dissemination in the animal sector; hence the aim of the second chapter of this manuscript.

References

1. **Dierikx CM, van der Goot JA, Smith HE, Kant A, Mevius DJ.** Presence of ESBL/AmpC-producing *Escherichia coli* in the broiler production pyramid: a descriptive study. *PLoS One*. 2013 Nov 7;8(11):e79005.
2. **Djeffal S, Bakour S, Mamache B, Elgroud R, Agabou A, Chabou S, et al.** Prevalence and clonal relationship of ESBL-producing *Salmonella* strains from humans and poultry in northeastern Algeria. *BMC Vet Res*. 2017 May 15;13(1):132,017-1050-3.
3. **Olaitan AO, Thongmalayvong B, Akkhavong K, Somphavong S, Paboriboune P, Khounsy S, et al.** Clonal transmission of a colistin-resistant *Escherichia coli* from a domesticated pig to a human in Laos. *J Antimicrob Chemother*. 2015 Dec;70(12):3402-4.
4. **Rebelo AR, Bortolaia V, Kjeldgaard JS, Pedersen SK, Leekitcharoenphon P, Hansen IM, et al.** Multiplex PCR for detection of plasmid-mediated colistin resistance determinants, *mcr-1*, *mcr-2*, *mcr-3*, *mcr-4* and *mcr-5* for surveillance purposes. *Euro Surveill*. 2018 Feb;23(6):10.2807/1560,7917.ES.2018.23.6.17-00672.

Chapter II

Epidemiology of Multi-Drug Resistant organisms in Chicken, pigs and environment in Lebanon.

Introduction

Nowadays, the epidemiology of multi-drug resistant organisms has changed and is no more confined to the hospital settings (1). Studies have shown that the food producing animals are potent contributors to the dissemination of bacterial resistance (2). In livestock, resistant organisms can be transferred to humans via direct contact or indirectly via the consumption of under/uncooked animals products (3). Environmental routes play also a key role in the dissemination of multi-drug resistant organisms (4). These latter include air dust, fertilized soil with animal manures and contaminated wastewaters (5). In Lebanon, little is known about the prevalence of ESBL/ampC producers as well as colistin resistant Gram-negative bacilli in food producing animals and the surrounding environment.

Article 3 entitled “**Prevalence and characterization of multi-drug-resistant gram-negative bacilli isolated from Lebanese poultry: A nationwide study**”, 981 fecal swab samples were collected from chicken farms distributed over the seven districts of Lebanon. The swabs were subcultured on a macconkey agar supplemented with cefotaxime for the screening of beta-lactamase producers. Double disk synergy test, ampC disk test were conducted for the phenotypic detection of ESBL and ampC producing Gram-negative bacilli. RT-PCR and standard PCR amplification were used for the molecular screening of ESBL and ampC beta lactamase genes, respectively. MLST typing of randomly chosen isolated multi-drug resistant *E. coli* strains, in addition to the MSP dendrogram for all isolated *E. coli* strains were performed in order to explore the relationship of isolated strains from all districts. The nationwide prevalence of ESBL/ampC producing Gram-negative bacilli in poultry was 20.7%. The main genes detected were CMY, TEM and CTX-M beta lactamases. ESBL/ampC producing Gram-negative bacilli cross resistant to antibiotics commonly prescribed in the human medicine are highly prevalent over the Lebanese territory; in that more than 72% of isolated strains were co-resistant to at least two non-beta lactam antibiotics with gentamicin and trimethoprim-sulfamethoxazole being the most common.

Article 4 entitled “**First detection of mcr-1 plasmid mediated colistin resistant *E. coli* in Lebanese poultry**” describes the first detection of an mcr-1 positive *E. coli* strain from chicken in the south of Lebanon in 2015. The strain was an ESBL producer harboring the TEM-135 like gene.

In **Article 5** entitled “**Prevalence of multi drug resistance and colistin resistant Gram negative bacilli In Lebanese swine farms**”, 114 fecal samples were collected from the main swine farms located in Lebanon. Three separate selective media supplemented with cefotaxime, ertapenem and colistin were used for the presumptive detection of ESBL/ampC,

carbapenemase producers as well as colistin resistant Gram-negative bacilli. RT-PCR was used for the screening of bla_{SHV}, bla_{TEM} and bla_{CTX-M} genes. Standard PCR amplification and sequencing was done for the molecular detection of mcr colistin resistance genes. Furthermore, simplex PCRs were conducted for the detection of FOX, MOX, ACC, EBC, DHA and CMY ampC beta lactamase genes. Sixty seven percent of collected fecal samples were positive for an ESBL/ampC isolate. CTX-M and TEM were the most abundant beta lactamase genes detected. Furthermore, we report in this study the emergence of mcr-1 E. coli strains in Lebanese swine farms.

Article 6 entitled “**Dissemination of multi-drug resistant and mcr-1 Gram-negative bacilli in Broilers, farm workers and the surrounding environment in Lebanon**”, in this study we returned back to the same chicken farm where the first mcr-1 E. coli strain was isolated in 2015 from the south of Lebanon. Chicken fecal swabs, feed, litter and soil samples as well as fecal samples from the farm’s workers were collected and screened for ESBL, ampC, carbapenemase producers and colistin resistant Gram-negative bacilli. Phenotypic tests including double disk synergy test, ampC disk test and carbe NP test were used for presumptive detection of ESBL, ampC and carbapenemase producers. RT-PCR was done for the screening of ESBL and mcr colistin resistance genes. The prevalence obtained in 2017 of ESBL/ampC producers as well as the one of resistance genes was compared to the prevalence of ESBL/ampC producing Gram-negative bacilli found in 2015. MSP dendrogram and MLST analysis of isolated strains in 2015 and 2017 were performed in order to explore the nature of multi-drug resistant organisms’ evolution over the two years in this same farm in the south of Lebanon. Furthermore, in this farm, the types antibiotics as well as the cause of their administration was recorded via personal communication with the veterinarian of the farm. Conjugation experiments assessing the validity of the selective and co-selective pressure hypothesis of colistin and non beta-lactams use mediating the dissemination of multi-drug resistance in the chicken farm were also performed. Compared to 2015, the prevalence of ESBL/ampC production has significantly increased from 27% in 2015 to 59% in 2017. The rise was also observed at the level of CTX-M and TEM genes. On the other hand, mcr-1 positive strains were isolated from chicken, feed, litter and all workers’ samples. MSP dendrogram and MLST analysis showed that the strains are multi-clonal.

References

1. **de Been M, Lanza VF, de Toro M, Scharringa J, Dohmen W, Du Y, et al.** Dissemination of cephalosporin resistance genes between *Escherichia coli* strains from farm animals and humans by specific plasmid lineages. *PLoS Genet* 2014 Dec 18;10(12):e1004776.
2. **Schill F, Abdulmawjood A, Klein G, Reich F.** Prevalence and characterization of extended-spectrum beta-lactamase (ESBL) and AmpC beta-lactamase producing Enterobacteriaceae in fresh pork meat at processing level in Germany. *Int J Food Microbiol* 2017 Sep 18;257:58-66.
3. **Dohmen W, Dorado-Garcia A, Bonten MJ, Wagenaar JA, Mevius D, Heederik DJ.** Risk factors for ESBL-producing *Escherichia coli* on pig farms: A longitudinal study in the context of reduced use of antimicrobials. *PLoS One* 2017 Mar 21;12(3):e0174094.
4. **Huijbers PM, Graat EA, Haenen AP, van Santen MG, van Essen-Zandbergen A, Mevius DJ, et al.** Extended-spectrum and AmpC beta-lactamase-producing *Escherichia coli* in broilers and people living and/or working on broiler farms: prevalence, risk factors and molecular characteristics. *J Antimicrob Chemother* 2014 Oct;69(10):2669-2675.
5. **Laube H, Friese A, von Salviati C, Guerra B, Kasbohrer A, Kreienbrock L, et al.** Longitudinal monitoring of extended-spectrum-beta-lactamase/AmpC-producing *Escherichia coli* at German broiler chicken fattening farms. *Appl Environ Microbiol* 2013 Aug;79(16):4815-4820.

Article 3

**Prevalence and characterization of multi-drug-resistant Gram-negative bacilli isolated
from Lebanese poultry: A nationwide study.**

Iman Dandachi, Elie S.Sokhn, Elias A.Dahdouh, Eid Azar, Bassel El-Bazzal, Jean-Marc
Rolain, Ziad Daoud

Published in **Frontiers in Microbiology**

Impact Factor: 4.019



Prevalence and Characterization of Multi-Drug-Resistant Gram-Negative Bacilli Isolated From Lebanese Poultry: A Nationwide Study

Iman Dandachi^{1,2}, Elie S. Sokhn¹, Elias A. Dahdouh¹, Eid Azar¹, Bassel El-Bazzal³, Jean-Marc Rolain² and Ziad Daoud^{1*}

¹ Clinical Microbiology Laboratory, Faculty of Medicine and Medical Sciences, University of Balamand, Beirut, Lebanon, ² IRD, APHM, MEPHI, IHU-Méditerranée Infection, Aix-Marseille Université, Marseille, France, ³ The Lebanese Ministry of Agriculture, Beirut, Lebanon

OPEN ACCESS

Edited by:

Miklos Fuzi,
Semmelweis University, Hungary

Reviewed by:

Sebastian Guenther,
University of Greifswald, Germany
Djamel Drider,
Lille University of Science
and Technology, France

*Correspondence:

Ziad Daoud
ziad.daoud@balamand.edu.lb

Specialty section:

This article was submitted to
Antimicrobials, Resistance
and Chemotherapy,
a section of the journal
Frontiers in Microbiology

Received: 02 December 2017

Accepted: 12 March 2018

Published: 23 March 2018

Citation:

Dandachi I, Sokhn ES, Dahdouh EA, Azar E, El-Bazzal B, Rolain J-M and Daoud Z (2018) Prevalence and Characterization of Multi-Drug-Resistant Gram-Negative Bacilli Isolated From Lebanese Poultry: A Nationwide Study. *Front. Microbiol.* 9:550. doi: 10.3389/fmicb.2018.00550

Currently, antimicrobial resistance is one of the most prominent public health issues. In fact, there is increasing evidence that animals constitute a reservoir of antimicrobial resistance. In collaboration with the Lebanese Ministry of Agriculture, the aim of this study was to determine the prevalence of intestinal carriage of multi-drug-resistant Gram-negative Bacilli in poultry farms at the national level. Between August and December 2015, 981 fecal swabs were obtained from 49 poultry farms distributed across Lebanon. The swabs were subcultured on MacConkey agar supplemented with cefotaxime (2 µg/ml). Isolated strains were identified using MALDI-TOF mass spectrometry. Multilocus sequence typing analysis was performed for *Escherichia coli*. Phenotypic detection of extended spectrum β-lactamases (ESBL) and AmpC production was performed using double disk synergy and the ampC disk test, respectively. β-lactamase encoding genes bla_{CTX-M}, bla_{TEM}, bla_{SHV}, bla_{FOX}, bla_{MOX}, bla_{EBC}, bla_{ACC}, bla_{DHA}, and bla_{CMY} using PCR amplification. Out of 981 fecal swabs obtained, 203 (20.6%) showed bacterial growth on the selective medium. Of the 235 strains isolated, 217 were identified as *E. coli* (92%), eight as *Klebsiella pneumoniae* (3%), three as *Proteus mirabilis* (1%) and three as *Enterobacter cloacae* (1%). MLST analysis of *E. coli* isolates showed the presence of ST156, ST5470, ST354, ST155, and ST3224. The phenotypic tests revealed that 43.5, 28.5, and 20.5% of the strains were ampC, ESBL, and ampC/ESBL producers, respectively. The putative TEM gene was detected in 83% of the isolates, SHV in 20%, CTX-M in 53% and CMY ampC β-lactamase gene in 65%. Our study showed that chicken farms in Lebanon are reservoirs of ESBL and AmpC producing Gram-negative bacilli. The level of antibiotic consumption in the Lebanese veterinary medicine should be evaluated. Future studies should focus on the risk factors associated with the acquisition of multi-drug-resistant organisms in farm animals in Lebanon.

Keywords: ampC, ESBL, *E. coli*, poultry, carriage

INTRODUCTION

Antibiotic resistance is currently a major topic of interest for researchers and physicians. In particular, the rise of multi-drug resistance in Gram-negative bacteria is now a serious challenge encountered by healthcare professionals (Exner et al., 2017). Resistance in Gram-negative bacteria is mainly mediated via the production of extended spectrum β -lactamases (ESBL), ampC β -lactamases and carbapenemases (Schill et al., 2017). Genes encoding these enzymes are often located on plasmids carrying resistance genes to other commonly used antibiotics in clinical settings (Seiffert et al., 2013). Infections with these multi-drug-resistant organisms (MDROs) will thus pose therapeutic challenges; the antibiotic pipeline is drying up, and no new antimicrobial agents are anticipated in the near future to treat infections caused by MDROs (Bettioli and Harbarth, 2015).

In fact, it has been generally accepted that the main driver for the rapid evolution of bacterial resistance is the uncontrolled usage of antibiotics in human medicine. It is suggested that this theory is also applicable to the veterinary sector (Kempf et al., 2015). The European Centre for Disease Prevention and Control/European Food Safety Authority/European Medicines Agency (ECDC/EFSA/EMA) joint report stated that in 2014, the average antibiotic consumption in animals (152 mg/kg) was higher than in humans (124 mg/kg). Univariate analysis showed a significant correlation between fluoroquinolone consumption and resistance in *Escherichia coli* in the human and animal sectors, between polymyxins and tetracyclines and *E. coli* in animals, and for 3rd/4th generation cephalosporins and *E. coli* in humans (ECDC/EFSA/EMA, 2017). Antibiotics are heavily administered for therapeutic and prophylaxis purposes in veterinary medicine. As growth promoters, this practice is no longer adapted in the European Union, whereas it persists in North America and other countries (Economou and Gousia, 2015). In their study, Chantziaras et al. (2014) found a significant correlation between the use of antibiotics in livestock and the corresponding level of resistance toward these antimicrobials in *E. coli* strains isolated from pigs, poultry and cattle. During the last years, the prevalence of ESBLs, ampC, and carbapenemase producing Gram-negative bacteria has become extensively reported in food producing animals (Ghodousi et al., 2015; Gonzalez-Torralba et al., 2016; Haenni et al., 2016). In their review paper, Schwarz et al. (2016) showed that studies describing the epidemiology of resistant organisms in livestock targeted mainly swine, cattle and poultry. The prevalence of resistance varied from one country to another (Alonso et al., 2017). Although the extent to which food of animal origin contributes to the zoonotic transmission of multi-drug-resistant organisms, i.e., ESBL and carbapenemase producers, has not yet been well established (Madec et al., 2017), it suggests that sharing the same ESBL genes, plasmids and strains constitutes possible evidence of zoonotic transmission of MDROs from animals to humans (Leverstein-van Hall et al., 2011; Dahms et al., 2014). Furthermore, the increased risk of ESBL fecal carriage in individuals with a high degree of contact with broiler chickens is an indicator of transmission (Huijbers et al., 2014). Enteric-resistant strains in livestock can be easily transferred to humans

through direct contact or through the handling/consumption of undercooked/uncooked animal products (Dahms et al., 2014).

In Lebanon, several studies addressing MDROs in hospital settings have been conducted. One study done at the American University of Beirut Medical Center between 2008 and 2011 reported that 1.07 and 2.45% of *E. coli* and *Klebsiella pneumoniae* clinical isolates, respectively, were ESBL producers and ertapenem-resistant (Baroud et al., 2013). Another study conducted in the north reported that over the period of 2009–2012, 9% and 28% of the bacteraemia episodes in febrile neutropenic patients were caused by carbapenem and third-generation cephalosporin-resistant Gram-negative bacilli, respectively (Moghnieh et al., 2015). However, very few studies have addressed this issue in the environment. One study showed that *Acinetobacter baumannii* was detected in 6.9% of water samples, 2.7% of milk samples, 8.0% of meat samples, 14.3% of cheese samples and 7.7% of animal samples (Rafei et al., 2015). Another study in which 115 stool samples were collected from livestock animals from different farms in north Lebanon reported the detection of four VIM-2 producing *Pseudomonas aeruginosa*, four OXA-23 producing *A. baumannii* and one OXA-23/OXA-58 co-producing *A. baumannii* (Al Bayssari et al., 2015a). Furthermore, Al Bayssari et al. (2015b) reported the isolation of an OXA-48 harboring *E. coli* isolate from fowl in Lebanon. More recently, Diab et al. (2016) detected a relatively high prevalence of CTX-M-15 producing *E. coli* in Lebanese cattle. In the above-mentioned studies in Lebanese livestock, MLST analysis revealed the presence of sequence types common to both humans and animals (Al Bayssari et al., 2015a; Rafei et al., 2015; Diab et al., 2016), which suggests that Lebanese farms are potent reservoirs of multi-drug-resistant organisms that could be transmitted to humans. In the present study and in collaboration with the Lebanese Ministry of Agriculture, our aim was to determine the national epidemiology of multi-drug-resistant Gram-negative bacilli in Lebanese chicken farms in terms of intestinal carriage.

MATERIALS AND METHODS

Ethics Statement

The Ministry of Agriculture in Lebanon granted approval to collect chicken samples from representative farms in the country as per the national norms for animal sampling and manipulation. This sampling was in conformity with the international regulations for animal safety. All of the involved farms officially received authorization from the Ministry of Agriculture, and this was considered, after undergoing an acceptance process, an official and legal document. Therefore, an Institutional Review Board (IRB) approval was obtained for the present study.

Samples Collection

Between August and December 2015, 981 rectal swabs were collected from 49 poultry farms distributed over the seven

TABLE 1 | Distribution of MDROs per farm and district.

	Collection date	Farm size	Age	Type	# of collected samples	# of positive samples	# of isolated strains	
North Leb	F1	18000	35 d	B	27	11	11	
	F2	11300	35 d	B	27	5	6	
	F3	20000	45 d	B	27	2	2	
	F4	27-Aug	23000	4 m	L	20	9	18
	F5		4000	35 d	B	20	14	23
	F6		20000	25 d	B	20	13	14
	F7		15000	35 d	B	20	8	9
Akkar	F8	5000	25 d	B	20	5	5	
	F9	31-Aug	4000	25 d	B	20	5	5
	F10		6000	25 d	B	20	9	11
	F11		4600	4 m	L	20	11	14
	F12		15000	40 d	B	20	11	14
	F13		6000	45 d	B	20	1	1
	F14		10700	36 d	B	20	4	4
Bekaa	F15	15-Sep	5000	45 d	B	20	6	7
	F16		3000	18 m	L	20	3	3
	F17		6000	36 d	B	20	1	1
	F18		6000	43 d	B	20	6	7
	F19		6000	43 d	B	20	3	3
Baalbek	F20	21-Sep	5000	14 m	L	20	3	3
	F21		6500	27 d	B	20	3	3
	F22		6700	12 m	L	21	1	1
	F23		11800	26 d	B	20	4	4
Nabatieh	F24	21-Oct	10000	27 d	B	20	2	2
	F25		10000	25 d	B	20	1	1
	F26		5000	25 d	B	20	1	1
	F27		10000	27 d	B	20	8	8
	F28		5000	28 d	B	20	4	4
	F29	9-Nov	5000	25 d	B	20	7	6
Jabal Leb	F30		10000	27 d	B	20	2	2
	F31		10000	28 d	B	20	4	5
	F32		18000	25 d	B	20	5	5
	F33		6000	25 d	B	20	3	3
	F34		6000	25 d	B	20	6	6
	F35	7-Dec	3300	32 d	B	20	10	10
Saida	F36		10000	25 d	B	20	5	6
	F37		10000	30 d	B	20	1	1
	F38		10000	28 d	B	20	6	6

F, farm; Aug, August; Sept, September; Oct, October; Nov, November; Dec, December; d, days; m, month; B, broiler; L, layer.

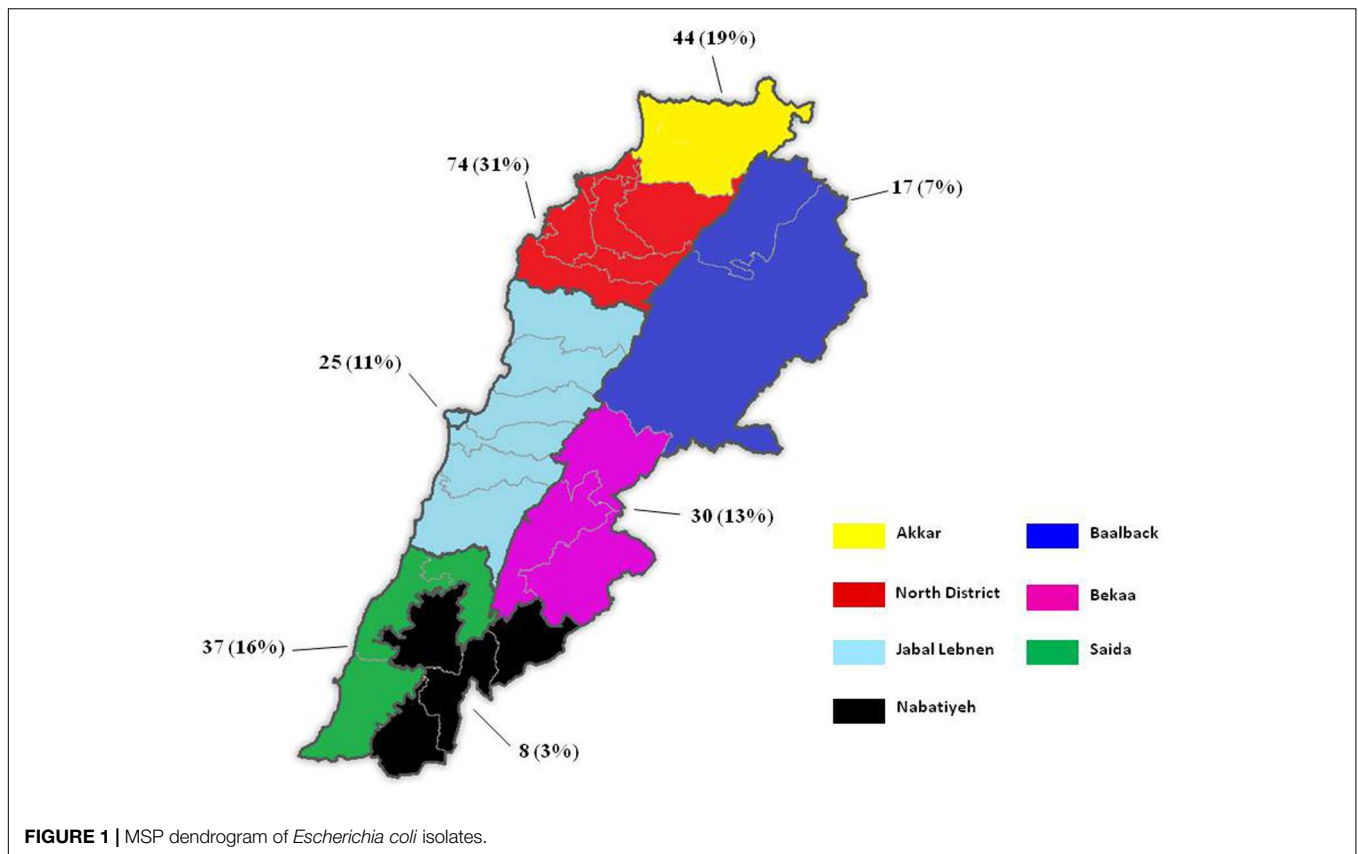
Phenotypic Profiles of Resistance

The disk diffusion susceptibility testing results are summarized in **Table 2**. All of the isolates were susceptible to tigecycline, colistin and carbapenems. Phenotypic identification using the double disk synergy test, ampC disk test and carba NP test revealed that 102 (43.5%) of the isolated strains were ampC β -lactamase producers, 67 (28.5%) were ESBL producers, and 48 (20.5%) were co-producers of ESBL and ampC β -lactamases. Both ESBL and ESBL/ampC production were detected in *E. coli*, *K. pneumoniae*, *E. fergusonii*, and *E. cloacae* (**Table 2**), whereas only AmpC production was detected in *E. coli*, *K. pneumoniae*, *P. mirabilis*, *E. albertii*, and *A. baumannii*. In addition, 18 *E. coli* strains (7.5%) did not show a key hole effect and were

resistant to cefoxitin but tested negative with the ampC disk test. Moreover, 32% of the isolated strains were co-resistant to gentamicin, ciprofloxacin, and trimethoprim-sulfamethoxazole, whereas 40% were resistant to at least two non- β -lactam antibiotics, 19.5% were resistant to only one non- β -lactam, and 8% were susceptible to all of the non- β -lactam antibiotics tested.

Prevalence of MDR-GNB

The distribution of samples showing positive growth on the selective medium was as follows: 54 samples in the North District, 38 in the Akkar District, 37 in Saida, 26 in Bekaa, 24 in Jabal Lebneen, 16 in Baalbek and eight in Nabatieh.



The number of positive samples from broilers exceeded the one obtained from Layers (176 vs. 27, respectively). Isolated strains (235) originated from 38 out of the 49 visited farms, i.e., 77.5% of the farms were positive for at least one multi-drug-resistant Gram-negative bacilli. As shown in **Figure 2**, the highest prevalence was detected in the north-west of the country, with 74 and 44 isolated strains for the North and Akkar Districts, respectively, whereas the lowest prevalence was detected in the north-east and south-east of Lebanon.

PCR Screening of CTX-M, SHV, TEM, and AmpC β -Lactamase Genes

One hundred and twelve isolates suspected to be ESBL producers were subjected to a real-time PCR assay for the detection of SHV, TEM, and CTX-M encoding genes. Of the 112 strains selected, 93 (83%) harbored the TEM gene, 59 (53%) the CTX-M gene and 22 (20%) the SHV gene. Overall, 49% (55) of the ESBL suspected isolates harbored only one gene, 46% (52) harbored at least two genes with the highest concordance being between the TEM and CTX-M genes, and 4% (five) showed the co-existence of all three genes together (**Table 3**). In parallel, 152 strains including 4 *K. pneumoniae*, 3 *P. mirabilis*, 2 *E. albertii*, and 143 *E. coli* were positive for *bla*_{CMY}; whereas fifteen *E. coli* strains were negative fall ampC β -lactamase genes tested. Furthermore, in *A. baumannii* the ADC gene was detected.

MLST Typing

The MLST typing of the strains, each chosen from the major district-related isolates grouped in each cluster, revealed that they belong to five different STs: ST156 for Cluster 1, ST5470 for Cluster 2, ST354 for Cluster 3, ST155 for Cluster 4 and ST3224 for Cluster 5.

DISCUSSION

Many years ago, hospitals and health care settings were regarded as the sole source of antimicrobial resistance. However, recent evidence has shown that food producing animals constitute a potent reservoir of multi-drug-resistant organisms (Belmahdi et al., 2016; Bachiri et al., 2017). This was mainly linked to the over-use of antimicrobial agents in veterinary medicine for treatment, growth promotion and prophylaxis (Economou and Gousia, 2015). Although the zoonotic transmission of multi-drug-resistant organisms from animals to humans remains controversial (Olsen et al., 2014), several studies have shown a direct link between direct contact with farm animals and the acquisition of bacterial resistance (Huijbers et al., 2014). One study conducted by Olaitan et al. (2015) demonstrated the zoonotic transmission of a colistin-resistant *E. coli* strain from a pig to its owner. This owner usually fed his pig without wearing any protective equipment. The two colistin-resistant isolates (in the pig and its owner) belonged to the same sequence type and

TABLE 2 | Resistance profiles and phenotypes of multi-drug-resistant organisms isolated in this study.

Species	AMP	AZT	CTX	CAZ	FOX	FEP	AMC	TZP	SXT	CIP	GENT	% of ESBL producers	% of AmpC producers	% of ESBL/AmpC co-producers
<i>Escherichia coli</i> (n = 217)	217 (100)	49 (23)	195 (90)	120 (55)	104 (48)	31 (14)	77 (35)	28 (13)	150 (69)	134 (62)	152 (70)	27	44	21
<i>Klebsiella pneumoniae</i> (n = 8)	8 (100)	2 (25)	8 (100)	3 (38)	2 (25)	2 (25)	2 (25)	2 (25)	6 (75)	7 (88)	7 (88)	50	37.5	12.5
<i>Proteus mirabilis</i> (n = 3)	3 (100)	0 (0)	2 (67)	0 (0)	3 (100)	0 (0)	3 (100)	0 (0)	3 (100)	3 (100)	1 (33)	100	100	
<i>Enterobacter cloacae</i> (n = 3)	3 (100)	1 (33)	3 (100)	2 (67)	0 (0)	0 (0)	0 (0)	0 (0)	1 (33)	1 (33)	3 (100)	100		
<i>Escherichia albertii</i> (n = 2)	2 (100)	0 (0)	1 (50)	1 (50)	2 (100)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)		100	
<i>Escherichia fergusonii</i> (n = 1)	1 (100)	0 (0)	1 (100)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	1 (100)	0 (0)	100		
<i>Acinetobacter baumannii</i> (n = 1)	1 (100)	0 (0)	1 (100)	1 (100)	1 (100)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)		100	

Resistance profiles are presented as a number (percentage). N, number; %, percentage; AMP, ampicillin; AZT, aztreonam; CTX, cefotaxime; CAZ, ceftazidime; FOX, cefoxitin; FEP, ceftepime; AMC, amoxicillin-clavulanic acid; TZP, piperacillin-tazobactam; SXT, trimethoprim-sulfamethoxazole; CIP, ciprofloxacin; GENT, gentamicin.

presented with the same virulence and PFGE pattern (Olaitan et al., 2015).

In Lebanon, very few studies have looked at the prevalence of MDROs in farm animals (Al Bayssari et al., 2015a). Our study is the first epidemiological study in Lebanon quantifying the prevalence of multi-drug-resistant Gram-negative bacilli in chicken farms in terms of intestinal carriage at the national level. The prevalence is similar to the one previously reported from cattle (84%) in Lebanon (Diab et al., 2016). The flock's size did not influence the prevalence of resistance in each farm (Table 1). On a global level, the prevalence found in our study is approximate to the one reported in Romania (69%) (Maciucă et al., 2015) and Ecuador (60%) (Ortega-Paredes et al., 2016) but is higher than the ones described in Germany (44%) (Kola et al., 2012), Japan (23%) (Kawamura et al., 2014), and Vietnam (3.2%) (Nguyen et al., 2015). Differences in the screening methodologies, sample size used and the level of antibiotic consumption in each country could explain these variations (Rhouma et al., 2016).

Escherichia coli was the most common multi-drug-resistant organism isolated; MALDI-TOF MSP dendrogram and MLST analysis revealed the presence of five clusters from which the representative strains belonged to different STs. Within each cluster, strains isolated from farms of the same district were grouped together; this is especially true for the Akkar and North Lebanon strains. This observation reveals that strains of the same region are closely related. Although PFGE is the standard method for the detection of clones, due to the large number of strains isolated in this study, PFGE typing was not performed; rather, we referred to the MSP dendrogram as a possible rapid tool for strain differentiation according to their geographical and/or phenotypic distribution in epidemiological studies as certain previous studies have suggested (Berrazeg et al., 2013; Khennouchi et al., 2015). With the exception of ST155, none of the sequence types identified in this study were among those frequently reported in chicken such as ST10, ST23, ST48, ST58, ST115, ST117, ST350, and ST648 (Olsen et al., 2014). However, looking at the Warwick *E. coli* MLST database, we found that the STs detected in our study were previously reported from livestock, cats and dogs, and humans. ST155 has been commonly reported in poultry (Pires-dos-Santos et al., 2013), and it appears to be associated with a zoonotic risk, which has been suggested by some studies (Lazarus et al., 2015). This emphasizes the hypothesis that MDROs in food-producing animals can be transmitted to humans and may be causative agents of infections with therapeutic challenges when high resistance is encountered. It should also be mentioned that clones in animals and humans are not always shared; some studies have shown that *E. coli* strains in food-producing animals differ from those reported in humans (Randall et al., 2012; Wu et al., 2013). This suggests that only some bacterial clones might be transmitted to the human population.

As our study showed, ESBL producers dominate the Lebanese poultry sector. The prevalence of ampC producers is also elevated (43.5%). ESBL and ampC-producing Gram-negative bacilli were previously reported in clinical and community settings in Lebanon (Dandachi et al., 2016). Molecular characterization revealed that 50% of isolated strains co-harbored at least two

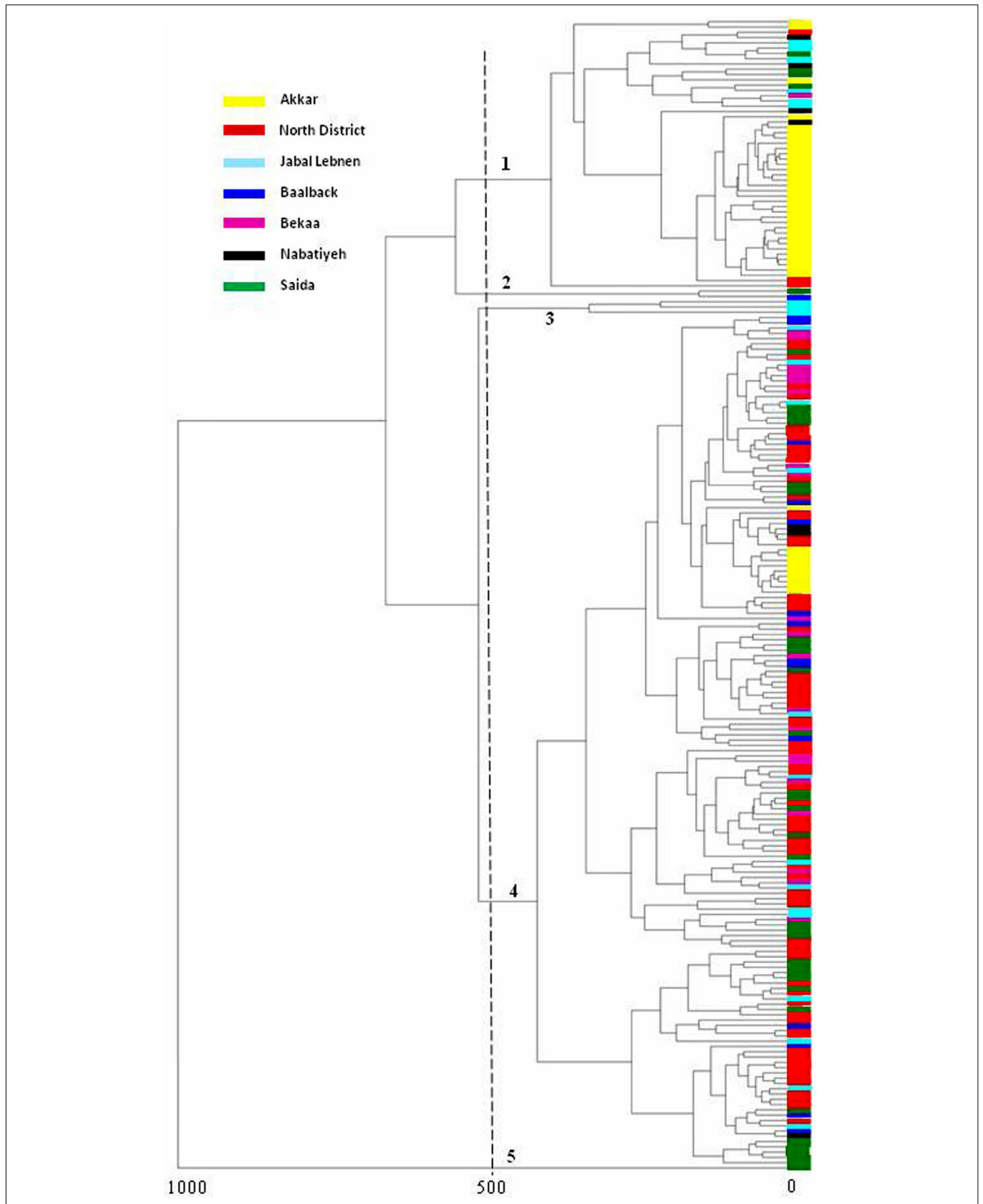


FIGURE 2 | Prevalence of MDROs in Lebanese poultry farms. Prevalence is expressed as the “number of isolates (percentage).”

TABLE 3 | Characteristics of the different phenotypes/genotypes of ESBL and ESBL/AmpC producers found in this study.

Species	Phenotype	β -lactamase genes		Co-resistance to non β -lactams	
<i>Escherichia coli</i>	ESBL		bla TEM	bla CTX-M	SXT-CIP-GNT
			bla TEM	bla CTX-M	SXT-CIP
			bla TEM	bla CTX-M	CIP-GNT
			bla TEM	bla CTX-M	SXT-GNT
		bla SHV	bla TEM		SXT-CIP-GNT
		bla SHV	bla TEM		CIP
		bla SHV	bla TEM		SXT-GNT
		bla SHV	bla TEM		SXT-CIP
		bla SHV	bla TEM		SXT
				bla CTX-M	SXT-CIP-GNT
				bla CTX-M	SXT-CIP
				bla CTX-M	N.R
				bla TEM	SXT-CIP-GNT
				bla TEM	SXT-GNT
				bla TEM	SXT-CIP
			bla TEM	CIP-GNT	
			bla TEM	GNT	
			bla TEM	N.R	
		bla SHV	bla TEM	bla CTX-M	SXT-CIP-GNT
		bla SHV			GNT
	AmpC/ESBL		bla TEM		SXT-CIP-GNT
			bla TEM		SXT-GNT
			bla TEM		CIP-GNT
			bla TEM		SXT
			bla TEM		N.R
			bla TEM	bla CTX-M	SXT-CIP-GNT
			bla TEM	bla CTX-M	SXT
			bla TEM	bla CTX-M	CIP-GNT
			bla TEM	bla CTX-M	SXT-CIP
			bla TEM	bla CTX-M	SXT-GNT
		bla TEM	bla CTX-M	N.R	
		bla SHV	bla TEM	GNT	
		bla SHV	bla TEM	CIP-GNT	
				bla CTX-M	SXT-CIP-GNT
				bla CTX-M	N.R
			bla CTX-M	CIP-GNT	
	bla SHV	bla TEM	bla CTX-M	SXT-CIP-GNT	
	bla SHV	bla TEM	bla CTX-M	SXT-CIP-GNT	
	bla SHV	bla TEM	bla CTX-M	CIP-GNT	
	bla SHV	bla TEM	bla CTX-M	SXT-CIP-GNT	
	bla SHV	bla TEM	bla CTX-M	SXT-CIP-GNT	
	bla SHV	bla TEM	bla CTX-M	SXT-GNT	
<i>Escherichia fergusonii</i>	ESBL		bla TEM	bla CTX-M	CIP
<i>Enterobacter cloacae</i>	ESBL			bla CTX-M	GNT

SXT, trimethoprim-sulfamethoxazole; GNT, gentamicin; CIP, ciprofloxacin; N.R, no resistance.

β -lactamase genes with the most common being CTX-M and TEM. Moreover, the only AmpC β -lactamase encoding gene was the CMY ampC β -lactamase. This gene was previously reported in poultry (Dierikx et al., 2013; El-Shazly et al., 2017) as well as in food producing animals (Sato et al., 2014; Aguilar-Montes de Oca et al., 2015) and healthy pets (Donati et al., 2014; Liu et al., 2016). As per the phenotypic and genotypic detection of

AmpC production, these showed that there are some strains that were negative with the ampC disk test but positive for an ampC β -lactamase gene and vice-versa. Phenotypically false negatives shows the importance of the molecular testing in the detection of AmpC production. On the other hand, in the 15 *E. coli* strains that were negative for plasmidic ampC β -lactamase genes; one explanation for this might be due to an overexpression

of the chromosomal ampC gene mediated by a mutation in the promoter/attenuator region as described in previous studies (Escudero et al., 2010; Haenni et al., 2014). Regarding non- β -lactam co-resistance in ESBL and/or ampC producers, antimicrobial resistance toward gentamicin was relatively high in this study. In fact, 66% of ESBL and/or ampC producing Gram-negative bacilli were gentamicin resistant. This could possibly be linked to the frequent use of this antibiotic in Lebanese farms as several studies have reported (El-Rami et al., 2012; Diab et al., 2016). One study conducted by Abdelnoor et al. (2013) found a significant association between gentamicin resistance in *E. coli* isolates and the use of this antimicrobial agent as a food additive in poultry in Lebanon. Another study launched a questionnaire-based survey on the most common antibiotics used in Lebanese livestock and found that gentamicin and streptomycin are the most common and heavily used antimicrobial agents (Kassaify et al., 2013). Another thing to mention is that in this study, no carbapenemase producers were detected. There might be two possible explanations for this: the first one is that carbapenemase producers are really scarce in Lebanese chicken farms; the second one is that these isolates were missed due to the medium used for the screening of multi-drug-resistant organisms. As has been reported, OXA-48 carbapenemase producers are frequently found in hospitals and nursing homes and in fowls in Lebanon (Al Bayssari et al., 2015b). OXA-48 carbapenemases do not always confer resistance to third-generation cephalosporins unless there is another mechanism of resistance that co-exists in the same bacterial cell (Poirel et al., 2012). Therefore, Oxacillinase producers could have been missed or under-estimated in our study.

Our study has two main limitations. The first one is that the primers used for blaTEM and blaSHV screening were universal, and thus, the possibility of having non-ESBL variants cannot be ruled out. However, as the strains presented with a typical ESBL phenotype, i.e., the key hole effect and resistance to penicillin, monobactams and third-generation cephalosporins with susceptibility to carbapenems, the TEM-positive strains were considered as ESBL producers and were included in the description of the MDR-GNB prevalence in this study. The second limitation is the low number of isolates subjected to MLST typing. MLST and PFGE analysis remain the gold standard for clone/cluster detection in epidemiological studies regardless of

the number of strains (McGregor and Spratt, 2005; Zou et al., 2010).

CONCLUSION

Our study illustrates the current epidemiology of multi-drug-resistant Gram-negative bacilli in Lebanese chicken farms. ESBL and ampC producers cross-resistant to antibiotics used in human medicine are highly prevalent across the territory. Our study suggests that poultry farms are potent reservoirs of antimicrobial resistance in Lebanon. Although very few studies have reported the detection of carbapenemase producers in Lebanese Livestock (Al Bayssari et al., 2015a,b), it will likely only be a matter of time before these organisms become prevalent in Lebanese animal farms. This is especially true if no strict rules are implemented to control the overuse and misuse of antibiotics for treatment, growth promotion and prophylaxis in Lebanese agriculture. We believe that the prescription of antibiotics often used in human medicine should be reduced or even banned in the veterinary sector.

AUTHOR CONTRIBUTIONS

ID, ES, and ED conducted the phenotypic and molecular work. BE-B was responsible for the collection of the samples. EA, J-MR, and ZD reviewed and edited the manuscript.

FUNDING

This study was funded by the Lebanese Council for Research and the French Government under the “Investissements d’Avenir” (Investments for the Future) program managed by the Agence Nationale de la Recherche (ANR, fr: National Agency for Research) (reference: Méditerranée Infection 10-IAHU-03).

ACKNOWLEDGMENTS

We would like to thank Dr. Hervé Chaudet for his assistance in the construction of the MSP dendrogram.

REFERENCES

- Abdelnoor, A. M., Chokr, S., Fayad, L., and Al-Akl, N. (2013). Review study on external-hospital bacteria as a source of infection and antimicrobial resistance in Lebanon. *Int. Arab. J. Antimicrob. Agents* 3, 1–6.
- Aguilar-Montes de Oca, S., Talavera-Rojas, M., Soriano-Vargas, E., Barba-Leon, J., and Vazquez-Navarrete, J. (2015). Determination of extended spectrum beta-lactamases/AmpC beta-lactamases and plasmid-mediated quinolone resistance in *Escherichia coli* isolates obtained from bovine carcasses in Mexico. *Trop. Anim. Health Prod.* 47, 975–981. doi: 10.1007/s11250-015-0818-3
- Al Bayssari, C., Dabboussi, F., Hamze, M., and Rolain, J. M. (2015a). Emergence of carbapenemase-producing *Pseudomonas aeruginosa* and *Acinetobacter baumannii* in livestock animals in Lebanon. *J. Antimicrob. Chemother.* 70, 950–951. doi: 10.1093/jac/dku469
- Al Bayssari, C., Olaitan, A. O., Dabboussi, F., Hamze, M., and Rolain, J. M. (2015b). Emergence of OXA-48-producing *Escherichia coli* clone ST38 in fowl. *Antimicrob. Agents Chemother.* 59, 745–746. doi: 10.1128/AAC.03552-14
- Alonso, C. A., Zarazaga, M., Ben Sallem, R., Jouini, A., Ben Slama, K., and Torres, C. (2017). Antibiotic resistance in *Escherichia coli* in husbandry animals: the African perspective. *Lett. Appl. Microbiol.* 64, 318–334. doi: 10.1111/lam.12724
- Bachiri, T., Bakour, S., Ladjouzi, R., Thongpan, L., Rolain, J. M., and Touati, A. (2017). High rates of CTX-M-15-producing *Escherichia coli* and *Klebsiella pneumoniae* in wild boars and barbary macaques in Algeria. *J. Glob. Antimicrob. Resist.* 8, 35–40. doi: 10.1016/j.jgar.2016.10.005
- Bakour, S., Garcia, V., Loucif, L., Brunel, J. M., Gharout-Sait, A., Touati, A., et al. (2015). Rapid identification of carbapenemase-producing *Enterobacteriaceae*,

- Pseudomonas aeruginosa* and *Acinetobacter baumannii* using a modified Carba NP test. *New Microbes New Infect.* 7, 89–93. doi: 10.1016/j.nmni.2015.07.001
- Baroud, M., Dandache, I., Araj, G. F., Wakim, R., Kanj, S., Kanafani, Z., et al. (2013). Underlying mechanisms of carbapenem resistance in extended-spectrum beta-lactamase-producing *Klebsiella pneumoniae* and *Escherichia coli* isolates at a tertiary care centre in Lebanon: role of OXA-48 and NDM-1 carbapenemases. *Int. J. Antimicrob. Agents* 41, 75–79. doi: 10.1016/j.ijantimicag.2012.08.010
- Belmahdi, M., Bakour, S., Al Bayssari, C., Touati, A., and Rolain, J. M. (2016). Molecular characterisation of extended-spectrum beta-lactamase- and plasmid AmpC-producing *Escherichia coli* strains isolated from broilers in Bejaia, Algeria. *J. Glob. Antimicrob. Resist.* 6, 108–112. doi: 10.1016/j.jgar.2016.04.006
- Berrazeg, M., Diene, S. M., Drissi, M., Kempf, M., Richet, H., Landraud, L., et al. (2013). Biotyping of multidrug-resistant *Klebsiella pneumoniae* clinical isolates from France and Algeria using MALDI-TOF MS. *PLoS One* 8:e61428. doi: 10.1371/journal.pone.0061428
- Bettiol, E., and Harbarth, S. (2015). Development of new antibiotics: taking off finally? *Swiss Med. Wkly.* 145:w14167. doi: 10.4414/SMW.2015.14167
- Black, J. A., Moland, E. S., and Thomson, K. S. (2005). AmpC disk test for detection of plasmid-mediated AmpC disk test for detection of plasmid-mediated AmpC beta-lactamases in *Enterobacteriaceae* lacking chromosomal AmpC beta-lactamases. *J. Clin. Microbiol.* 43, 3110–3113. doi: 10.1128/JCM.43.7.3110-3113.2005
- Chantziaras, I., Boyen, F., Callens, B., and Dewulf, J. (2014). Correlation between veterinary antimicrobial use and antimicrobial resistance in food-producing animals: a report on seven countries. *J. Antimicrob. Chemother.* 69, 827–834. doi: 10.1093/jac/dkt443
- Dahms, C., Hubner, N. O., Wilke, F., and Kramer, A. (2014). Mini-review: epidemiology and zoonotic potential of multiresistant bacteria and clostridium difficile in livestock and food. *GMS Hyg. Infect. Control* 9:Doc21. doi: 10.3205/dgkh000241
- Dallenne, C., Da Costa, A., Decre, D., Favier, C., and Arlet, G. (2010). Development of a set of multiplex PCR assays for the detection of genes encoding important beta-lactamases in *Enterobacteriaceae*. *J. Antimicrob. Chemother.* 65, 490–495. doi: 10.1093/jac/dkp498
- Dandachi, I., Salem Sokhn, E., Najem, E., Azar, E., and Daoud, Z. (2016). Carriage of beta-lactamase-producing *Enterobacteriaceae* among nursing home residents in north Lebanon. *Int. J. Infect. Dis.* 45, 24–31. doi: 10.1016/j.ijid.2016.02.007
- Diab, M., Hamze, M., Madec, J. Y., and Haenni, M. (2016). High prevalence of non-ST131 CTX-M-15-producing *Escherichia coli* in healthy cattle in Lebanon. *Microb. Drug Resist.* 23, 261–266. doi: 10.1089/mdr.2016.0019
- Dierikx, C. M., van der Goot, J. A., Smith, H. E., Kant, A., and Mevius, D. J. (2013). Presence of ESBL/AmpC-producing *Escherichia coli* in the broiler production pyramid: a descriptive study. *PLoS One* 8:e79005. doi: 10.1371/journal.pone.0079005
- Donati, V., Feltrin, F., Hendriksen, R. S., Svendsen, C. A., Cordaro, G., Garcia-Fernandez, A., et al. (2014). Extended-spectrum-beta-lactamases, AmpC beta-lactamases and plasmid mediated quinolone resistance in *Klebsiella* spp. from companion animals in Italy. *PLoS One* 9:e90564. doi: 10.1371/journal.pone.0090564
- ECDC/EFSA/EMA (2017). ECDC/EFSA/EMA second joint report on the integrated analysis of the consumption of antimicrobial agents and occurrence of antimicrobial resistance in bacteria from humans and food-producing animals. *Sci. Rep.* 15:e04872. doi: 10.2903/j.efsa.2017.4872/epdf
- Economou, V., and Gousia, P. (2015). Agriculture and food animals as a source of antimicrobial-resistant bacteria. *Infect. Drug Resist.* 8, 49–61. doi: 10.2147/IDR.S55778
- El-Rami, F. E., Sleiman, F. T., and Abdelnoor, A. M. (2012). Identification and antibacterial resistance of bacteria isolated from poultry. *Pol. J. Microbiol.* 61, 323–326.
- El-Shazly, D. A., Nasef, S. A., Mahmoud, F. F., and Jonas, D. (2017). Expanded spectrum beta-lactamase producing *Escherichia coli* isolated from chickens with colibacillosis in Egypt. *Poult. Sci.* 96, 2375–2384. doi: 10.3382/ps/pew493
- Escudero, E., Vinue, L., Teshager, T., Torres, C., and Moreno, M. A. (2010). Resistance mechanisms and farm-level distribution of fecal *Escherichia coli* isolates resistant to extended-spectrum cephalosporins in pigs in Spain. *Res. Vet. Sci.* 88, 83–87. doi: 10.1016/j.rvsc.2009.05.021
- European Committee on Antimicrobial Susceptibility Testing (2017). *Breakpoint Tables for Interpretation of MICs and Zone Diameters, Version 7.1*. Available at: http://www.eucast.org/fileadmin/src/media/PDFs/EUCAST_files/Breakpoint_tables/v_7.1_Breakpoint_Tables.pdf
- Exner, M., Bhattacharya, S., Christiansen, B., Gebel, J., Goroncy-Bermes, P., Hartemann, P., et al. (2017). Antibiotic resistance: What is so special about multidrug-resistant gram-negative bacteria? *GMS Hyg. Infect. Control* 12:Doc05. doi: 10.3205/dgkh000290
- Ghodousi, A., Bonura, C., Di Noto, A. M., and Mammina, C. (2015). Extended-spectrum β -lactamase, AmpC-producing, and fluoroquinolone-resistant *Escherichia coli* in retail broiler chicken meat, Italy. *Foodborne Pathog. Dis.* 12, 619–625. doi: 10.1089/fpd.2015.1936
- Gonzalez-Torralba, A., Oteo, J., Asenjo, A., Bautista, V., Fuentes, E., and Alos, J. I. (2016). Survey of carbapenemase-producing *Enterobacteriaceae* in companion dogs in Madrid, Spain. *Antimicrob. Agents Chemother.* 60, 2499–2501. doi: 10.1128/AAC.02383-15
- Haenni, M., Chatre, P., and Madec, J. Y. (2014). Emergence of *Escherichia coli* producing extended-spectrum AmpC beta-lactamases (ESAC) in animals. *Front. Microbiol.* 5:53. doi: 10.3389/fmicb.2014.00053
- Haenni, M., Saras, E., Ponsin, C., Dahmen, S., Petitjean, M., Hocquet, D., et al. (2016). High prevalence of international ESBL CTX-M-15-producing *Enterobacter cloacae* ST114 clone in animals. *J. Antimicrob. Chemother.* 71, 1497–1500. doi: 10.1093/jac/dkw006
- Huijbers, P. M., Graat, E. A., Haenen, A. P., van Santen, M. G., van Essen-Zandbergen, A., Mevius, D. J., et al. (2014). Extended-spectrum and AmpC beta-lactamase-producing *Escherichia coli* in broilers and people living and/or working on broiler farms: prevalence, risk factors and molecular characteristics. *J. Antimicrob. Chemother.* 69, 2669–2675. doi: 10.1093/jac/dku178
- Kassaify, Z., Abi-Khalil, P., and Sleiman, F. (2013). Quantification of antibiotic residues and determination of antimicrobial resistance profiles of microorganisms isolated from bovine milk in Lebanon. *Food Nutr. Sci.* 4, 1–9. doi: 10.4236/fns.2013.47A001
- Kawamura, K., Goto, K., Nakane, K., and Arakawa, Y. (2014). Molecular epidemiology of extended-spectrum beta-lactamases and *Escherichia coli* isolated from retail foods including chicken meat in Japan. *Foodborne Pathog. Dis.* 11, 104–110. doi: 10.1089/fpd.2013.1608
- Kempf, I., Jouy, E., Granier, S. A., Chauvin, C., Sanders, P., Salvat, G., et al. (2015). Comment on “impact of antibiotic use in the swine industry”, by Mary D. Barton [Curr. Opin. Microbiol. 19 (June 2014) 9–15]. *Curr. Opin. Microbiol.* 26, 137–138. doi: 10.1016/j.mib.2015.06.013
- Khennouchi, N. C., Loucif, L., Boutefnouchet, N., Allag, H., and Rolain, J. M. (2015). MALDI-TOF MS as a tool to detect a nosocomial outbreak of extended-spectrum-beta-lactamase- and ArmA methyltransferase-producing *Enterobacter cloacae* clinical isolates in Algeria. *Antimicrob. Agents Chemother.* 59, 6477–6483. doi: 10.1128/AAC.00615-15
- Kola, A., Kohler, C., Pfeifer, Y., Schwab, F., Kuhn, K., Schulz, K., et al. (2012). High prevalence of extended-spectrum-beta-lactamase-producing *Enterobacteriaceae* in organic and conventional retail chicken meat, Germany. *J. Antimicrob. Chemother.* 67, 2631–2634. doi: 10.1093/jac/dks295
- Lazarus, B., Paterson, D. L., Mollinger, J. L., and Rogers, B. A. (2015). Do human extraintestinal *Escherichia coli* infections resistant to expanded-spectrum cephalosporins originate from food-producing animals? A systematic review. *Clin. Infect. Dis.* 60, 439–452. doi: 10.1093/cid/ciu785
- Leverstein-van Hall, M. A., Dierikx, C. M., Cohen Stuart, J., Voets, G. M., van den Munckhof, M. P., van Essen-Zandbergen, A., et al. (2011). Dutch patients, retail chicken meat and poultry share the same ESBL genes, plasmids and strains. *Clin. Microbiol. Infect.* 17, 873–880. doi: 10.1111/j.1469-0691.2011.03497.x
- Liu, X., Thungrat, K., and Boothe, D. M. (2016). Occurrence of OXA-48 carbapenemase and other beta-lactamase genes in ESBL-producing multidrug resistant *Escherichia coli* from dogs and cats in the United States, 2009–2013. *Front. Microbiol.* 7:1057. doi: 10.3389/fmicb.2016.01057
- Liu, Y., and Liu, X. (2015). Detection of AmpC beta-lactamases in *Acinetobacter baumannii* in the Xuzhou region and analysis of drug resistance. *Exp. Ther. Med.* 10, 933–936. doi: 10.3892/etm.2015.2612
- Maciucă, I. E., Williams, N. J., Tuchilus, C., Dorneanu, O., Guguianu, E., Carp-Carare, C., et al. (2015). High prevalence of *Escherichia coli*-producing CTX-M-15 extended-spectrum beta-lactamases in poultry and human clinical isolates in Romania. *Microb. Drug Resist.* 21, 651–662. doi: 10.1089/mdr.2014.0248
- Madec, J. Y., Haenni, M., Nordmann, P., and Poirel, L. (2017). Extended-spectrum beta-lactamase/AmpC- and carbapenemase-producing *Enterobacteriaceae* in

- animals: a threat for humans? *Clin. Microbiol. Infect.* 23, 826–833. doi: 10.1016/j.cmi.2017.01.013
- Magiorakos, A. P., Srinivasan, A., Carey, R. B., Carmeli, Y., Falagas, M. E., Giske, C. G., et al. (2012). Multidrug-resistant, extensively drug-resistant and pandrug-resistant bacteria: an international expert proposal for interim standard definitions for acquired resistance. *Clin. Microbiol. Infect.* 18, 268–281. doi: 10.1111/j.1469-0691.2011.03570.x
- McGregor, K. F., and Spratt, B. G. (2005). Identity and prevalence of multilocus sequence typing-defined clones of group A streptococci within a hospital setting. *J. Clin. Microbiol.* 43, 1963–1967. doi: 10.1128/JCM.43.4.1963-1967.2005
- Moghnieh, R., Estaitieh, N., Mugharbil, A., Jisr, T., Abdallah, D. I., Ziade, F., et al. (2015). Third generation cephalosporin resistant *Enterobacteriaceae* and multidrug resistant gram-negative bacteria causing bacteremia in febrile neutropenia adult cancer patients in lebanon, broad spectrum antibiotics use as a major risk factor, and correlation with poor prognosis. *Front. Cell Infect. Microbiol.* 5:11. doi: 10.3389/fcimb.2015.00011
- Nguyen, V. T., Carrique-Mas, J. J., Ngo, T. H., Ho, H. M., Ha, T. T., Campbell, J. I., et al. (2015). Prevalence and risk factors for carriage of antimicrobial-resistant *Escherichia coli* on household and small-scale chicken farms in the mekong delta of Vietnam. *J. Antimicrob. Chemother.* 70, 2144–2152. doi: 10.1093/jac/dkv053
- Olaitan, A. O., Thongmalayvong, B., Akkhavong, K., Somphavong, S., Paboriboune, P., Khounsy, S., et al. (2015). Clonal transmission of a colistin-resistant *Escherichia coli* from a domesticated pig to a human in laos. *J. Antimicrob. Chemother.* 70, 3402–3404.
- Olsen, R. H., Bisgaard, M., Lohren, U., Robineau, B., and Christensen, H. (2014). Extended-spectrum beta-lactamase-producing *Escherichia coli* isolated from poultry: a review of current problems, illustrated with some laboratory findings. *Avian Pathol.* 43, 199–208. doi: 10.1080/03079457.2014.907866
- Ortega-Paredes, D., Barba, P., and Zurita, J. (2016). Colistin-resistant *Escherichia coli* clinical isolate harbouring the *mcr-1* gene in Ecuador. *Epidemiol. Infect.* 144, 2967–2970. doi: 10.1017/S0950268816001369
- Peng, C., and Zong, Z. (2011). Sequence type 38 *Escherichia coli* carrying *bla*_{CTX-M-14}. *J. Med. Microbiol.* 60(Pt 5), 694–695. doi: 10.1099/jmm.0.028316-0
- Pires-dos-Santos, T., Bisgaard, M., and Christensen, H. (2013). Genetic diversity and virulence profiles of *Escherichia coli* causing salpingitis and peritonitis in broiler breeders. *Vet. Microbiol.* 162, 873–880. doi: 10.1016/j.vetmic.2012.11.008
- Poirel, L., Potron, A., and Nordmann, P. (2012). OXA-48-like carbapenemases: the phantom menace. *J. Antimicrob. Chemother.* 67, 1597–1606. doi: 10.1093/jac/dks121
- Rafei, R., Hamze, M., Pailhories, H., Eveillard, M., Marsollier, L., Joly-Guillou, M. L., et al. (2015). Extrahuman epidemiology of *Acinetobacter baumannii* in lebanon. *Appl. Environ. Microbiol.* 81, 2359–2367. doi: 10.1128/AEM.03824-14
- Randall, L., Wu, G., Phillips, N., Coldham, N., Mevius, D., and Teale, C. (2012). Virulence genes in *bla*_{CTX-M} *Escherichia coli* isolates from chickens and humans. *Res. Vet. Sci.* 93, 23–27. doi: 10.1016/j.rvsc.2011.06.016
- Rhouma, M., Beaudry, F., and Letellier, A. (2016). Resistance to colistin: What is the fate for this antibiotic in pig production? *Int. J. Antimicrob. Agents* 48, 119–126. doi: 10.1016/j.ijantimicag.2016.04.008
- Roschanski, N., Fischer, J., Guerra, B., and Roesler, U. (2014). Development of a multiplex real-time PCR for the rapid detection of the predominant beta-lactamase genes CTX-M, SHV, TEM and CIT-type AmpCs in *Enterobacteriaceae*. *PLoS One* 9:e100956. doi: 10.1371/journal.pone.0100956
- Sato, T., Okubo, T., Usui, M., Yokota, S., Izumiya, S., and Tamura, Y. (2014). Association of veterinary third-generation cephalosporin use with the risk of emergence of extended-spectrum-cephalosporin resistance in *Escherichia coli* from dairy cattle in Japan. *PLoS One* 9:e96101. doi: 10.1371/journal.pone.0096101
- Schill, F., Abdulmawjood, A., Klein, G., and Reich, F. (2017). Prevalence and characterization of extended-spectrum beta-lactamase (ESBL) and AmpC beta-lactamase producing *Enterobacteriaceae* in fresh pork meat at processing level in germany. *Int. J. Food Microbiol.* 257, 58–66. doi: 10.1016/j.ijfoodmicro.2017.06.010
- Schwarz, S., Enne, V. I., and van Duijkeren, E. (2016). 40 years of veterinary papers in JAC – what have we learnt? *J. Antimicrob. Chemother.* 71, 2681–2690. doi: 10.1093/jac/dkw363
- Seiffert, S. N., Hilty, M., Perreten, V., and Endimiani, A. (2013). Extended-spectrum cephalosporin-resistant gram-negative organisms in livestock: an emerging problem for human health? *Drug Resist. Updat.* 16, 22–45. doi: 10.1016/j.drug.2012.12.001
- Seng, P., Rolain, J. M., Fournier, P. E., La Scola, B., Drancourt, M., and Raoult, D. (2010). MALDI-TOF-mass spectrometry applications in clinical microbiology. *Future Microbiol.* 5, 1733–1754. doi: 10.2217/fmb.10.127
- Singhal, N., Kumar, M., Kanaujia, P. K., and Virdi, J. S. (2015). MALDI-TOF mass spectrometry: an emerging technology for microbial identification and diagnosis. *Front. Microbiol.* 6:791. doi: 10.3389/fmicb.2015.00791
- Wu, G., Day, M. J., Mafura, M. T., Nunez-Garcia, J., Fenner, J. J., Sharma, M., et al. (2013). Comparative analysis of ESBL-positive *Escherichia coli* isolates from animals and humans from the UK, the Netherlands and Germany. *PLoS One* 8:e75392. doi: 10.1371/journal.pone.0075392
- Zou, W., Lin, W. J., Foley, S. L., Chen, C. H., Nayak, R., and Chen, J. J. (2010). Evaluation of pulsed-field gel electrophoresis profiles for identification of *Salmonella* serotypes. *J. Clin. Microbiol.* 48, 3122–3126. doi: 10.1128/JCM.00645-10

Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Copyright © 2018 Dandachi, Sokhn, Dahdouh, Azar, El-Bazzal, Rolain and Daoud. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

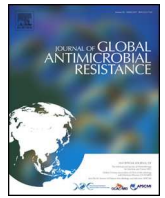
Article 4

First detection of mcr-1 plasmid mediated colistin resistant E. coli in Lebanese poultry.

Iman Dandachi, Thongpan Leangapichart, Ziad Daoud, Jean-Marc Rolain

Published in **Journal of Global Antimicrobial Resistance (JGAR)**

Impact Factor: 2.022



Letter to the Editor

First detection of *mcr-1* plasmid-mediated colistin-resistant *Escherichia coli* in Lebanese poultry


Sir,

The wide dissemination of multidrug-resistant Gram-negative bacteria (MDR-GNB), especially carbapenem-resistant bacteria, as common causative agents of human infections has necessitated the re-use of old antibiotics, namely colistin, which was abandoned in the past owing to its undesired nephrotoxicity in the human body [1]. Colistin belongs to the polymyxin group of polypeptide antibiotics that attack the lipopolysaccharide (LPS) and phospholipids in the outer cell membrane of GNB, leading to cellular leakage and subsequent bacterial death [1]. Resistance to colistin is mainly due to modifications to LPS and lipid A by the addition of aminoarabinose or phosphoethanolamine [1]. Prior to the end of 2015, such modifications were only due to chromosomal mutations of target genes involved in those pathways. Recently, the plasmid-mediated colistin resistance gene *mcr-1*, a member of the phosphoethanolamine transferase enzyme family in *Escherichia coli*, was reported in *E. coli* in China from pigs and meat [2]. Subsequently, *mcr-1* plasmid-mediated colistin-resistant bacteria have been detected in animals and humans across Asia, Africa, the Americas and Europe [3].

Here we report the first detection of a single *mcr-1*-positive colistin-resistant *E. coli* strain isolated from poultry in Lebanon. This isolate was recovered in Sidon on 14 August 2015 from a rectal swab obtained during a surveillance study aimed at determining the epidemiology of MDR-GNB in Lebanese poultry (unpublished data). In that study, 982 faecal swabs were collected from 49 chicken farms located in the seven districts of Lebanon. Swabs were cultured on MacConkey agar plates supplemented with cefotaxime (2 µg/mL) for the screening of MDR organisms. Identification of the isolated strain was performed using matrix-assisted laser desorption/ionisation time-of-flight mass spectrometry (MALDI-TOF/MS). Antibiotic susceptibility testing was performed by the disk diffusion method (Sanofi-Diagnostic Pasteur, Marnes-la-Coquette, France). The *E. coli* isolate showed an extended-spectrum β-lactamase (ESBL) phenotype and was resistant to penicillins, ceftazidime, cefotaxime, aztreonam, ciprofloxacin, gentamicin and trimethoprim/sulfamethoxazole and, surprisingly, was also resistant to colistin with an inhibition zone diameter of 10 mm. To confirm colistin resistance, colistin Etest strips (bioMérieux, Marcy-l'Étoile, France) and broth microdilution were used. Etest and broth microdilution revealed minimum inhibitory concentrations (MICs) of 2 µg/mL and 4 µg/mL, respectively, thus confirming colistin resistance in this isolate. Using standard PCR amplification and sequencing as described previously [3], the *mcr-*

1 gene was confirmed in this *E. coli* isolate. The obtained sequence was deposited in GenBank with the accession no. **MF197562**. A conjugation experiment using *E. coli* J53 as recipient was also conducted but was unsuccessful, suggesting that either *mcr-1* is located on a non-conjugative plasmid or it is chromosomally located. PCR amplification and sequencing revealed that the isolate harboured a *bla*_{TEM-135-like} ESBL gene with a difference of six base pairs only at the extremities. Multilocus sequence typing (MLST) was performed based on seven housekeeping genes and revealed that the isolate belongs to ST515. This ST differs from those previously reported in *E. coli* isolates harbouring the *mcr-1* gene in food-producing animals. However, ST515 *mcr-1*-harbouring *E. coli* has been isolated from the blood of a male patient at an emergency department in Canada [2]. We thus suppose that this isolate could be a candidate for human infections with possible therapeutic challenges if ever transmitted and introduced into hospital and community settings.

In Lebanon, although insignificant, colistin resistance is not new in that it has been reported in clinical settings since the early 2000s. However, the mechanism of colistin resistance was not previously investigated. To the best of our knowledge, the first and only determination of colistin resistance mechanism in Lebanon was recently performed by Okdah et al., where three colistin resistant *Klebsiella pneumoniae* strains were isolated from Sahel Hospital in Beirut [4]. Colistin resistance in these isolates was mediated by inactivation of *mgrB*, *phoQ*, *pmrA* and *pmrB* genes involved in the modification of LPS in the outer cell membrane, the primary target of colistin in GNB [4]. Here we report the first detection of the *mcr-1* plasmid-mediated colistin resistance gene in Lebanon. As demonstrated by Olaitan et al., *mcr-1*-harbouring strains can be readily spread from animals to the human gut [5] and thus our finding sparks concerns over the transmission of *mcr-1* strains to the Lebanese community. Nowadays, carbapenem-resistant isolates are disseminated in clinical and community settings in Lebanon. This dissemination has necessitated the frequent use of colistin and non-β-lactam antibiotics in Lebanese hospitals [4]. Therefore, it is expected that *mcr-1*-positive strains, when transmitted from animals to humans in Lebanon, will be easily selected and further diffused into the country by the selective pressure applied by the use of colistin and other antibiotics in clinical settings. Surveillance studies addressing the current epidemiology of colistin resistance are thus warranted in Lebanon. In addition, the usage of colistin in veterinary medicine should be re-evaluated, as unpublished data have revealed its heavy use in animals in Lebanon.

Funding

This work was partly funded by the Centre national de la recherche scientifique (CNRS) and the French Government under

the « Investissements d'avenir » (Investments for the Future) program managed by the Agence Nationale de la Recherche (ANR, fr: National Agency for Research), (reference: Méditerranée Infection 10-IAHU-03).

Competing interests

None declared.

Ethical approval

Not required.

Acknowledgment

The authors thank Linda Hadjadj for technical assistance.

References

- [1] Kempf I, Fleury MA, Drider D, Bruneau M, Sanders P, Chauvin C, et al. What do we know about resistance to colistin in *Enterobacteriaceae* in avian and pig production in Europe? *Int J Antimicrob Agents* 2013;42:379–83.
- [2] Walkty A, Karlowsky JA, Adam HJ, Lagace-Wiens P, Baxter M, Mulvey MR, et al. Frequency of *mcr-1*-mediated colistin resistance among *Escherichia coli* clinical isolates obtained from patients in Canadian hospitals (CANWARD 2008–2015). *CMAJ Open* 2016;4:E641–5.
- [3] Chabou S, Leangapichart T, Okdah L, Le Page S, Hadjadj L, Rolain JM. Real-time quantitative PCR assay with *TaqMan*[®] probe for rapid detection of *mcr-1* plasmid-mediated colistin resistance. *New Microbes New Infect* 2016;13:71–4.
- [4] Okdah L, Leangapichart T, Hadjadj L, Olaitan AO, Al-Bayssari C, Rizk R, et al. First report of colistin-resistant *Klebsiella pneumoniae* clinical isolates in Lebanon. *J Glob Antimicrob Resist* 2017;9:15–6.
- [5] Olaitan AO, Thongmalayvong B, Akkhavong K, Somphavong S, Paboriboune P, Khounsy S, et al. Clonal transmission of a colistin-resistant *Escherichia coli* from a domesticated pig to a human in Laos. *J Antimicrob Chemother* 2015;70:3402–4.

Iman Dandachi^{a,b}

^aAix Marseille Univ, IRD, APHM, MEPHI, IHU-Méditerranée Infection, Marseille, France

^bFaculty of Medicine and Medical Sciences, Clinical Microbiology Laboratory, University of Balamand, P.O. Box 33, Amioun, Beirut, Lebanon

Thongpan Leangapichart

Aix Marseille Univ, IRD, APHM, MEPHI, IHU-Méditerranée Infection, Marseille, France

Ziad Daoud

Faculty of Medicine and Medical Sciences, Clinical Microbiology Laboratory, University of Balamand, P.O. Box 33, Amioun, Beirut, Lebanon

Jean-Marc Rolain*

Aix Marseille Univ, IRD, APHM, MEPHI, IHU-Méditerranée Infection, Marseille, France

* Corresponding author.

E-mail address: jean-marc.rolain@univ-amu.fr (J. Rolain).

Received 25 November 2017

Available online 31 January 2018

Article 5

**Prevalence of multi drug resistance and colistin resistant Gram-negative bacilli In
Lebanese swine farms.**

Iman Dandachi, Elie Fayad, Bassel El-Bazzal, Ziad Daoud and Jean-Marc Rolain

Submitted to **Microbial Drug Resistance**

Impact Factor: 2.344

1 **Prevalence of ESBL Producing Gram-Negative Bacilli and Emergence of mcr-1 Colistin**
2 **Resistance Gene in Lebanese Swine Farms**

3
4 **Iman Dandachi^{1,2}, Elie Fayad¹, Bassel El-Bazzal³, Ziad Daoud¹ and Jean-Marc Rolain².**

5
6 ¹ Faculty of Medicine and Medical Sciences, Clinical Microbiology Laboratory, University of
7 Balamand, PO Box 33, Amioun, Beirut, Lebanon.

8 ² Aix Marseille Univ, IRD, APHM, MEPHI, IHU-Méditerranée-Infection, Marseille, France.

9 ³ Ministry of Agriculture, Lebanon

10
11 *Corresponding author

12 Pr. Jean-Marc Rolain

13 IHU Méditerranée-Infection

14 Marseille, France

15 Tel: ++33 491324375/ Fax: ++33 491387772

16 Email: jean-marc.rolain@univ-amu.fr

17 Abstract word count = 200

18 Text word count = 2713

19 Number of references = 59

20 Number of tables = 2

21 Number of figures = 1

22 **Running title:** ESBL and mcr-1 in Lebanese swine farms

23 **Keywords:** ampC; ESBL; E.coli; mcr-1, pigs

24
25
26
27
28
29
30
31
32

33 Abstract

34 Livestock are considered reservoirs of multi-drug resistant organisms that can be transferred
35 to humans via direct/indirect routes. Once transmitted, these organisms can be responsible for
36 infections with therapeutic challenges. The aim of this study was to determine the prevalence
37 of extended spectrum cephalosporin and colistin resistant Gram-negative bacilli in Lebanese
38 swine farms. In May 2017, 114 fecal samples were collected from swine farms in south
39 Lebanon. Separate media supplemented with cefotaxime, ertapenem and colistin were used
40 for the screening of resistant organisms. Double disk synergy test and ampC disk test were
41 performed to detect ESBL and ampC producers respectively. Detection of beta-lactamase and
42 mcr genes was done using RT-PCR. Of 114 fecal samples, 76 showed growth on the medium
43 with cefotaxime. In total, 111 strains were isolated with 94.5% being *E. coli*. Phenotypic tests
44 showed that 98, 6 and 7 strains were ESBL, ampC and ESBL/ampC producers, respectively.
45 CTX-M and CMY were the main beta-lactamase genes detected. On the medium with
46 colistin, 19 samples showed growth. In total, 23 colistin resistant *E. coli* strains harboring the
47 mcr-1 gene were isolated. This is the first study in Lebanon determining multi-drug resistance
48 epidemiology in pigs. The prevalence of ESBLs is high and the emergence of colistin
49 resistance is alarming.

50

51

52

53

54

55

56

57

58

59

60

61

62

63 Introduction

64 Resistance in Gram-negative bacilli toward the most common antibiotics administered in the
65 human medicine i.e. beta-lactams has significantly increased in the last decade.¹ Resistance to
66 beta lactams and carbapenems in Gram-negative bacteria is mainly mediated via the
67 production of extended spectrum beta lactamases (ESBLs), ampC beta lactamases and
68 carbapenemases.¹ Genes encoding these enzymes are often co-localized on plasmids
69 harboring resistance genes to other commonly prescribed antibiotics in human medicine such
70 as aminoglycosides and quinolones. ¹ Resistant organisms' dissemination often results in
71 reducing beta lactam antibiotics efficacy limiting thus treatment options of infectious
72 diseases.² This is currently emphasized with the recent emergence of colistin resistance in
73 Gram negative bacilli. Colistin belongs to the polymyxin antibiotics family that acts on the
74 lipopolysaccharide chain of the bacteria and leads to increased permeability of the outer
75 membrane and subsequent cellular leakage followed by cell death.³ In human medicine
76 history, colistin was abandoned because of its nephrotoxicity and neurotoxicity inside human
77 body.⁴ However, due to the wide spread of multi drug resistant organisms, mainly
78 carbapenem resistant ones; colistin was re-introduced in clinical settings.⁵ This antibiotic
79 revival had to face the emergence of colistin resistance in bacteria of human as well as of
80 animal origin.⁶ Prior to 2015, colistin resistance was thought to be only mediated via
81 chromosomal mutations that leads to the alteration of the lipid A subunit of the LPS chain via
82 the addition of 4-amino-4-deoxy-L-arabinose(L-Ara4N) and/or phosphoethanolamine (PEtN)
83 ⁶ thus resulting in a reduced binding to colistin and subsequently bacterial resistance.⁶
84 However, in 2015, Liu et al reported the first detection of a transferable phosphoethanolamine
85 transferase named mcr-1 gene in E. coli strains isolated from pigs and meat.⁷ In this context,
86 mcr-1 was reported from clinical and animal isolates across all continents. Furthermore, mcr
87 variants i.e mcr-2,⁸ mcr-3,⁹ mcr-4 ¹⁰ and mcr-5 ¹¹ have also emerged.
88 Nowadays, farm animals are considered as reservoirs of antimicrobial resistance. ¹² The
89 unregulated use of antibiotics is considered among the most common drivers for the
90 emergence of resistance in livestock. ¹³ Indeed, antibiotics are not only given for treatment but
91 are also prescribed for prophylaxis and administered as growth promoters.¹³ The major public
92 health concern about multi-drug resistance spread in animals is the potential transmission to
93 human via direct contact or indirectly through the consumption of under/uncooked animal
94 origin food.¹⁴ Once transmitted, these organisms can cause infections with limited therapeutic
95 options, especially the ones cross resistant to antibiotics frequently used in the human
96 medicine.¹⁵

97 In Lebanon, the dissemination of multi-drug resistant organisms in the clinical settings is well
98 documented,^{16, 17,18,19, 20} however, studies addressing multi-drug resistance in animals remain
99 scarce. One study carried by Diab et al. showed a relatively high prevalence of the CTX-M-
100 15 ESBL type in *E. coli* of cattle origin in Lebanon.²¹ More recently, a nationwide study
101 conducted in Lebanese chicken farms reported an elevated level of ESBL/ ampC producing
102 Gram-negative bacilli intestinal carriage.²² Recently, our group reported the first detection of
103 an *E. coli* isolated from poultry in south Lebanon harboring the *mcr-1* colistin resistance gene
104 in addition to the TEM-135 like ESBL gene.²³ In pigs, only one study reported the detection
105 of an OXA-23 producing *Acinetobacter baumannii* in northern Lebanon.²⁴ The prevalence of
106 multi drug resistant organisms in the Lebanese swine farms remains unknown. In
107 collaboration with the ministry of agriculture, the aim of this study was to determine the
108 prevalence of extended spectrum cephalosporin and colistin resistant Gram negative bacilli in
109 Lebanese swine farms.

110

111 **Materials and Methods**

112 Ethics statement and collection of samples

113 The Ministry of Agriculture in Lebanon approved the collection of fecal samples from swine
114 farms. The sampling was realized in compliance with the national guidelines for animal
115 safety. On the 30th of May 2017, one hundred eleven fecal samples were randomly collected
116 from three different swine farms located in south Lebanon. In addition, 3 fecal samples were
117 taken from 3 wild pigs living in the same region. The number of samples collected was
118 relatively proportional to the farms size which ranged from 20 to 120 pigs per farm (table 1).
119 The fecal samples were collected using sterile urine cups and directly placed in a portable
120 refrigerator; then when arrived at the University laboratory, they were stored at -80 °C until
121 being used.

122

123 Screening of resistant organisms and identification

124 Each fecal sample was mixed in a sterile container and then a swab was used to subculture a
125 considerable amount on MacConkey agars supplemented separately with 2µg/ml of
126 cefotaxime, ertapenem (1µg/ml) and colistin (4mg/l) for the screening of resistant Gram-
127 negative bacilli. Following overnight incubation at 37°C, isolated colonies with different
128 morphologies were separately taken from each plate and identified by MALDI-TOF MS with
129 a score value ≥ 1.9 using the Microflex LT spectrometer (Bruker Daltonics, Bremen,

130 Germany).²⁵ Thereafter, the strains were conserved in 40% glycerol aliquots at -80 °C for
131 further tests.

132

133 Antibiotic susceptibility testing

134 Antibiotic susceptibility testing was performed using the Kirby-Bauer disk diffusion method
135 and interpreted according to the European Committee on Antimicrobial Susceptibility testing
136 (EUCAST) guidelines 2017.²⁶ A total of sixteen antibiotics were tested involving eleven beta-
137 lactams (ampicillin, amoxicillin-clavulanic acid, aztreonam, cefotaxime, ceftazidime,
138 cefoxitin, cefepime, piperacillin-tazobactam, ertapenem, meropenem, imipenem) and five
139 non beta-lactams (colistin, gentamicin, ciprofloxacin, trimethoprim-sulfamethoxazole and
140 tigecycline) (Bio-Rad, Marnes-la-Coquette, France). The phenotypic detection of ESBL was
141 done using the double disk synergy test by placing an amoxicillin-clavulanic acid disk
142 between cefepime, ceftazidime and aztreonam. Formation of a keyhole effect was considered
143 as a phenotypic indication of ESBL production. Regarding screening of ampC beta lactamase
144 and carbapenemase production, ampC disk test and carba np test were performed respectively
145 as previously described.^{27,28} Furthermore, all isolates having a narrow diameter zone of
146 inhibition around the colistin disk were subjected to colistin broth micro-dilution test as
147 previously described.²⁶ An isolate is termed as multi-drug resistant if this latter was resistant
148 to three different classes of antibiotics at least.²⁹

149

150 PCR identification of beta lactamase genes

151 All isolates showing a keyhole effect or having resistance to both cefoxitin and cefepime
152 were subjected to real time PCR analysis for blaCTX-M, blaSHV and blaTEM genes
153 screening.³⁰ Furthermore, all strains found positive to the ampC disk test were also tested for
154 genes encoding AmpC beta lactamases FOX, MOX, ACC, EBC, DHA and CMY using
155 simplex PCRs.³¹ DNA extraction was performed using EZ1 DNA extraction kit (Qiagen,
156 Courtaboeuf, France), following manufacturer instructions with an EZ1 Advanced XL
157 biorobot.

158

159 Molecular characterization of mcr-1 colistin resistance gene

160 All strains having a colistin MIC $\geq 2\mu\text{g/ml}$ were subjected to standard PCR amplification and
161 sequencing for the detection of mcr-1 colistin resistance gene. DNA extraction was done

162 using an EZ1 DNA extraction kit (Qiagen, Courtaboeuf, France) with an EZ1 Advanced XL
163 biorobot. Primers used in molecular analysis were previously described in other studies.³²

164

165 **Results**

166 Prevalence of beta lactamase producers and colistin resistant Gram-negative bacilli

167 Out of 114 fecal samples collected, 76 (66.5%) showed positive growth on the selective
168 medium supplemented with cefotaxime. In total, 111 multi drug resistant strains were isolated
169 according the following distribution: 65 strains in farm 1, 9 in farm 2, 35 in farm 3 and 2
170 isolates from the wild pigs. MALDI TOF MS identification revealed that *Escherichia coli*
171 made up to 94.5% of isolated MDR strains, *Escherichia fergusonii* 3.5% and *Klebsiella*
172 *pneumoniae* 2% (table 1). Besides, 23 colistin resistant *E. coli* strains isolated from 19 fecal
173 samples were obtained. No carbapenemase producers were detected in this study.

174

175 Phenotypic profiles of beta lactamase producers

176 The resistance profiles of isolated ESBL and/or ampC producing Gram-negative bacilli are
177 summarized in table 2. All ESBL/ampC producing strains were susceptible to colistin and
178 carbapenems. Carba np test, double disk synergy test and ampC disk test, revealed the
179 absence of carbapenemase producers, 98 isolates (88.5%) were categorized as ESBL
180 producers, 7 (6%) as ESBL/ampC co-producers and 6 strains (5.5%) as solely ampC
181 producers. *K. pneumoniae* isolates were only ESBL producers whereas 3 *E. fergusonii* were
182 categorized as ampC producers and 1 as an ESBL producer. Co-production of ESBL and
183 ampC was only detected in *E. coli* isolates. Regarding non beta lactam antibiotics resistance
184 in the afore-mentioned strains, one isolate was co-resistant to all non beta lactams tested:
185 tigecycline, gentamicin, ciprofloxacin and trimethoprim-sulfamethoxazole, 32 (29%) were
186 co-resistant to 3 non beta lactams, 59 (53%) to 2 non beta lactams, 16 (14%) to one non beta
187 lactam and three strains were susceptible to all non beta lactam antibiotics. Overall, 83% of
188 beta lactamase producing Gram-negative bacilli in this study were co-resistant to at least two
189 non beta lactams.

190

191 Molecular characterization of beta lactamase genes

192 One hundred five Gram negative bacilli having ESBL phenotypes were subjected to real time
193 PCR analysis for the screening of CTX-M, TEM and SHV encoding genes. CTX-M was
194 detected in 83 (79%) ESBL isolates, TEM in 57 (54%) and SHV in 9 (8.5%). In total, 12
195 strains (11%) showed the co-existence of the three bla genes together, 43 (41%) showed the

196 co-existence of two bla genes and 57 (54%) harbored only one beta lactamase gene. In
197 addition, CMY was the only ampC encoding gene detected in ampC and ESBL/ampC co-
198 producers.

199

200 Colistin resistant isolates: resistance profiles and genotype

201 The detailed profile of the resistance of E. coli colistin resistant strains isolated in this study
202 is depicted in Figure 1. To summarize, four of the twenty three strains were colistin resistant
203 and also ESBL producers whereas the remaining strains (19 isolates) were susceptible to all
204 beta lactams tested, except for ampicillin. Resistance rates towards non beta lactam
205 antibiotics varied: 8 strains were co-resistant to gentamicin, ciprofloxacin and trimethoprim-
206 sulfamethoxazole, 7 strains were resistant to two non beta lactams, 2 were resistant to only
207 one non beta lactam antibiotic and 6 strains were susceptible to all non beta lactams tested.
208 Colistin MICs of the 23 E. coli isolates ranged between 4 and 16 µg/ml except one strain
209 having a MIC of 256 µg/ml. Standard PCR and sequencing revealed that all the strains were
210 mcr-1 positive. In the four ESBL mcr-1 positive resistant isolates, CTX-M was detected in 2
211 strains while SHV and TEM were detected in all four (figure 1).

212

213 Discussion

214 Antimicrobial resistance is rapidly evolving and disseminating worldwide. In the context of
215 antimicrobial resistance in the one health concept, livestock (i.e. pigs, poultry and cattle) is
216 now considered as a major reservoir of multidrug-resistant organisms and antibiotic
217 resistance genes.¹² In Lebanon, few studies have been conducted to determine the prevalence
218 of multi-drug resistant organisms in Lebanese Livestock;²¹ however in pork, only one study
219 reported the detection of a carbapenemase producing *A. baumannii* isolate from a pig in
220 northern Lebanon.²⁴ To the best of our knowledge, our study is the first in Lebanon to
221 describe the epidemiology of beta lactamase producing Gram-negative bacilli in Lebanese
222 swine farms. It is worth mentioning that the number of samples collected was not relatively
223 high since only few swine farms are accessible in Lebanon. The role of the Ministry of
224 Agriculture was essential to carry out this study since it provided the legal permission to
225 access and sample the different sites. In our investigation, ESBL/ampC producing Gram
226 negative bacilli were detected in 66.5% of the collected fecal samples (table1). Compared to
227 other epidemiological studies investigating pigs worldwide, the prevalence in Lebanon is not
228 far from what is reported in Belgium (75 %) ³³ and Germany (88 %) ³⁴ but is still much higher

229 than the ones reported in China (32 %),³⁵ UK (23%),³⁶ Denmark (18.5%),³⁷ Switzerland
230 (15%)³⁸ and Thailand (2.4%).³⁹ Differences in the number of samples and screening
231 methodologies, in addition to the level and type of antibiotics prescribed in the farms of each
232 country could explain these differences.³ The aforementioned concept applies also to
233 prevalence of mcr-1 positive E. coli strains detected in our previous study (17%) compared to
234 other international studies: Portugal (98%),⁴⁰ Vietnam (37.5%),⁴¹ China (20.6%),⁷ Japan
235 (1%),⁴² France (0.5%)⁴³ and USA (0.35%).⁴⁴

236 In this study, 83% percent of ESBL/ampC producers were co-resistant to at least two non-
237 beta lactam antibiotics with the highest level of resistance being observed against
238 trimethoprim-sulfamethoxazole and ciprofloxacin. During our samples collection, we tried
239 hard to collect correct data on the types and quantities of antibiotics used in the different
240 farms; a mission nearly impossible. Indeed, despite the official presence of the Ministry of
241 Agriculture, the cooperation of the farm owners was not easy to get; and there was no clear
242 distinction between different uses of antibiotic in farms investigated (treatment of infections,
243 prevention on infection, and growth enhancement). Unofficially, we were informed that
244 enrofloxacin is frequently administered to pork in Lebanon. In fact, it has been reported that
245 in pigs, penicillins are used to treat necrotic enteritis whereas as cephalosporins such as
246 cefquinome and ceftiofur are prescribed for polyarthritis, septicemia, polyserositis and
247 respiratory infections.² Use of non-beta lactams such as gentamicin, fluoroquinolones,
248 aminoglycosides and colistin was also reported.^{45,46} On the other hand, it is not clear to us to
249 which extent international guidelines and recommendations for hygiene and waste
250 management in pig farms are applied in our country. Questionable hygiene, poor feed quality
251 and bad waste management imply another important drive in the emergence of multi-drug
252 resistance in pigs in addition to the over-use of antibiotics that facilitates the transmission of
253 resistant organisms from pigs to their surrounding environment and vice versa. At the
254 molecular level, the most commonly detected beta lactamase gene was the CTX-M. This
255 gene was highly reported in Lebanon in the clinical settings^{16,47} as well as in cattle²¹ and
256 poultry.²² CTX-M is also the main ESBL type reported globally in farm animals.^{36,37,39,48} As
257 for ampC producers, this study showed that CMY was the only ampC beta lactamase gene
258 detected in swine farms of Lebanon. The same observation was also made in chicken farms
259 (data not shown). It has been worldwide shown that this gene is the most common ampC beta
260 lactamase gene detected in poultry,^{49,50} food producing animals^{51,52} as well as in healthy
261 pets.^{53,54} In this study, it has not escaped our notice that no carbapenemase producers were

262 detected. This is in accordance with another study performed by our group in poultry farms ²²
263 reflecting that carbapenemase producers are really scarce in Lebanese livestock.
264 Furthermore, in this study we report for the first time the detection of *mcr-1* in pork of
265 Lebanon. In this country, *mcr-1* gene was first reported in chicken during an epidemiological
266 study aiming at determining the prevalence of multi-drug resistant organisms in Lebanese
267 chicken farms.²³ The MIC values of colistin in *mcr-1* producing *E. coli* isolates in this study
268 range between 4 and 16 µg/ml. These results are in accordance with other studies showing
269 that *mcr-1* harboring isolates do not usually have elevated colistin MICs.^{55,56} Some reports
270 showed that *mcr-1* positive *E. coli* isolate could have a colistin MIC as low as 2µg/ml.⁵⁷ In
271 our collection of *mcr-1* strains, only one ESBL producing *E. coli* had a colistin MIC of 256
272 µg/ml. This elevated MIC might be attributed to additional chromosomal mutations in the
273 *phoP/Q*, *pmrA/B* and *mgrB* genes as reported previously in the literature.⁵ However, further
274 genomic analysis is needed to explore this possibility. Delannoy et al. reported the isolation
275 of *E. coli* strains harboring *mcr-1* and having amino acids mutations in the *phoP/Q*, *pmrA/B*
276 and *mgrB* genes from diseased pigs in France.⁵⁷ Furthermore, it is worth mentioning that, as
277 shown in figure 1, none of the colistin resistant isolates was Pan-Drug resistant, but rather
278 remained susceptible to the majority of the tested antibiotics, except four strains that were
279 ESBL producers. The co-existence of *mcr-1* and ESBL/carbapenemase encoding genes was
280 previously reported in several studies in the literature.^{58,59} Resistance profiles of *mcr-1* strains
281 in this study possibly illustrate an over-estimated fear of colistin resistance. *E. coli* colistin
282 resistant isolates will pose therapeutic challenges only if transmission of MDR strains to
283 humans occurs.

284 In conclusion, this study describes the epidemiology of ESBL/ampC producing Gram-
285 negative bacilli in Lebanese swine farms. The emergence of *mcr-1* in pigs is alarming. The
286 level of antibiotic consumption in Lebanese swine farms remains unknown; a more
287 transparent policy should be adopted in this context. Therefore, the surveillance and control
288 programs addressing antibiotic consumption in Lebanese farms, especially in pigs, are
289 urgently needed. Future studies should not only focus on antimicrobials usage but also on the
290 risk factors associated with the carriage of multi-drug resistant organisms in pigs.

291 **Acknowledgement**

292 We thank CookieTrad for English corrections.

293

294 **Funding**

295 This work was supported by the Lebanese Council for Research and the French Government
296 under the « Investissements d’avenir » (Investments for the Future) program managed by the
297 Agence Nationale de la Recherche (ANR, fr: National Agency for Research), (reference:
298 Méditerranée Infection 10-IAHU-03

299

300 **Disclosure statement**

301 No conflicts of interest or financial disclosure for all authors.

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

- 320 1. Ruppe E, Woerther PL, Barbier F. 2015. Mechanisms of antimicrobial resistance in gram-
321 negative bacilli. *Ann Intensive Care*. 5(1):61,015-0061-0. Epub 2015 Aug 12.
- 322 2. Seiffert SN, Hilty M, Perreten V, Endimiani A. 2013. Extended-spectrum cephalosporin-
323 resistant gram-negative organisms in livestock: An emerging problem for human health?
324 *Drug Resist Updat*. 16(1-2):22-45.
- 325 3. Rhouma M, Beaudry F, Letellier A. 2016. Resistance to colistin: What is the fate for this
326 antibiotic in pig production? *Int J Antimicrob Agents*. 48(2):119-26.
- 327 4. Olaitan AO, Li J. 2016. Emergence of polymyxin resistance in gram-negative bacteria. *Int*
328 *J Antimicrob Agents*. 48(6):581-2.
- 329 5. Olaitan AO, Morand S, Rolain JM. 2014. Mechanisms of polymyxin resistance: Acquired
330 and intrinsic resistance in bacteria. *Front Microbiol* .5:643.
- 331 6. Baron S, Hadjadj L, Rolain JM, Olaitan AO. 2016. Molecular mechanisms of polymyxin
332 resistance: Knowns and unknowns. *Int J Antimicrob Agents*. 48(6):583-91.
- 333 7. Liu YY, Wang Y, Walsh TR, Yi LX, Zhang R, Spencer J, Doi Y, Tian G, Dong B, Huang
334 X, Yu LF, Gu D, Ren H, Chen X, Lv L, He D, Zhou H, Liang Z, Liu JH, Shen J. 2016.
335 Emergence of plasmid-mediated colistin resistance mechanism MCR-1 in animals and
336 human beings in China: A microbiological and molecular biological study. *Lancet Infect*
337 *Dis*. 16(2):161-8.
- 338 8. Xavier BB, Lammens C, Ruhul R, Kumar-Singh S, Butaye P, Goossens H, Malhotra-
339 Kumar S. 2016. Identification of a novel plasmid-mediated colistin-resistance gene, *mcr*-
340 2, in *Escherichia coli*, Belgium. *Euro Surveill* .21(27):10.2807/1560,7917.ES.2016.21.
341 27.30280.
- 342 9. Yin W, Li H, Shen Y, Liu Z, Wang S, Shen Z, Zhang R, Walsh TR, Shen J, Wang Y.
343 2017. Novel plasmid-mediated colistin resistance gene *mcr*-3 in *Escherichia coli*. *MBio*.
344 27;8(3): 10.1128/mBio.00543-17.
- 345 10. Carattoli A, Villa L, Feudi C, Curcio L, Orsini S, Luppi A, Pezzotti G, Magistrali CF.
346 2017. Novel plasmid-mediated colistin resistance *mcr*-4 gene in *Salmonella* and
347 *Escherichia coli*, Italy 2013, Spain and Belgium, 2015 to 2016. *Euro Surveill*.
348 3;22(31):10.2807/1560,7917.ES.2017.22.31.30589.
- 349 11. Borowiak M, Fischer J, Hammerl JA, Hendriksen RS, Szabo I, Malorny B. 2017.
350 Identification of a novel transposon-associated phosphoethanolamine transferase gene,
351 *mcr*-5, conferring colistin resistance in *D-tartrate* fermenting *Salmonella enterica* subsp.
352 *enterica* serovar *paratyphi B*. *J Antimicrob Chemother*. 72(12):3317-24.

- 353 12. Szmolka A, Nagy B. 2013. Multidrug resistant commensal *Escherichia coli* in animals
354 and its impact for public health. *Front Microbiol.*3;4:258.
- 355 13. Barton MD. 2014. Impact of antibiotic use in the swine industry. *Curr Opin*
356 *Microbiol.*19:9-15.
- 357 14. Dahms C, Hubner NO, Wilke F, Kramer A. 2014. Mini-review: Epidemiology and
358 zoonotic potential of multiresistant bacteria and *Clostridium difficile* in livestock and
359 food. *GMS Hyg Infect Control.*30;9(3):Doc21.
- 360 15. Overdeest I, Willemsen I, Rijnsburger M, Eustace A, Xu L, Hawkey P, Heck M,
361 Savelkoul P, Vandenbroucke-Grauls C, van der Zwaluw K, Huijsdens X, Kluytmans J.
362 2011. Extended-spectrum beta-lactamase genes of *Escherichia coli* in chicken meat and
363 humans, the Netherlands. *Emerg Infect Dis.*17(7):1216-22.
- 364 16. Baroud M, Dandache I, Araj GF, Wakim R, Kanj S, Kanafani Z, Khairallah M, Sabra A,
365 Shehab M, Dbaiibo G, Matar GM. 2013. Underlying mechanisms of carbapenem
366 resistance in extended-spectrum beta-lactamase-producing *Klebsiella pneumoniae* and
367 *Escherichia coli* isolates at a tertiary care centre in Lebanon: Role of OXA-48 and NDM-
368 1 carbapenemases. *Int J Antimicrob Agents.*41(1):75-9.
- 369 17. El-Herte RI, Araj GF, Matar GM, Baroud M, Kanafani ZA, Kanj SS. 2012. Detection of
370 carbapenem-resistant *Escherichia coli* and *Klebsiella pneumoniae* producing NDM-1 in
371 Lebanon. *J Infect Dev Ctries.*14;6(5):457-61.
- 372 18. Moghnieh R, Estaitieh N, Mugharbil A, Jisr T, Abdallah DI, Ziade F, Sinno L, Ibrahim
373 A. 2015. Third generation cephalosporin resistant enterobacteriaceae and multidrug
374 resistant gram-negative bacteria causing bacteremia in febrile neutropenia adult cancer
375 patients in Lebanon, broad spectrum antibiotics use as a major risk factor, and correlation
376 with poor prognosis. *Front Cell Infect Microbiol.*12;5:11.
- 377 19. Daoud Z, Salem Sokhn E, Masri K, Cheaito K, Haidar-Ahmad N, Matar GM, Doron S.
378 2015. Corrigendum: *Escherichia coli* isolated from urinary tract infections of Lebanese
379 patients between 2005 and 2012: Epidemiology and profiles of resistance. *Front Med*
380 *(Lausanne).*22;2:66.
- 381 20. Al Atrouni A, Hamze M, Jisr T, Lemarie C, Eveillard M, Joly-Guillou ML, Kempf M.
382 2016. Wide spread of OXA-23-producing carbapenem-resistant *Acinetobacter baumannii*
383 belonging to clonal complex II in different hospitals in Lebanon. *Int J Infect Dis.*52:29-
384 36.

- 385 21. Diab M, Hamze M, Madec JY, Haenni M. 2016. High prevalence of non-ST131 CTX-M-
386 15-producing escherichia coli in healthy cattle in Lebanon. *Microb Drug Resist.*
387 23(2):261-266.
- 388 22. Dandachi I, Sokhn ES, Dahdouh E, Azar E, El-Bazzal B, Rolain J, Daoud Z. 2018.
389 Prevalence and characterization of multi-drug-resistant gram-negative bacilli isolated
390 from Lebanese poultry: A nationwide study. *Frontiers in Microbiology.* 9:550. doi:
391 10.3389/fmicb.2018.00550. eCollection 2018.
- 392 23. Dandachi I, Leangapichart T, Daoud Z, Rolain JM. 2018. First detection of mcr-1 plasmid
393 mediated colistin resistant E.coli in Lebanese poultry. *J Glob Antimicrob Resist.* 12:137-
394 138.
- 395 24. Al Bayssari C, Dabboussi F, Hamze M, Rolain JM. 2015. Emergence of carbapenemase-
396 producing pseudomonas aeruginosa and acinetobacter baumannii in livestock animals in
397 Lebanon. *J Antimicrob Chemother.* 70(3):950-1.
- 398 25. Seng P, Rolain JM, Fournier PE, La Scola B, Drancourt M, Raoult D. 2010. MALDI-
399 TOF-mass spectrometry applications in clinical microbiology. *Future*
400 *Microbiol.* 5(11):1733-54.
- 401 26. European Committee on Antimicrobial Susceptibility Testing .2017. Breakpoint Tables
402 for Interpretation of MICs and Zone Diameters, Version 7.1. Available at:
403 [http://www.eucast.](http://www.eucast.org/fileadmin/src/media/PDFs/EUCAST_files/Breakpoint_tables/v_7.1_Breakpoint_Tables.pdf)
404 [org/fileadmin/src/media/PDFs/EUCAST_files/Breakpoint_tables/v_7.1_Breakpoint_Tabl](http://www.eucast.org/fileadmin/src/media/PDFs/EUCAST_files/Breakpoint_tables/v_7.1_Breakpoint_Tables.pdf)
405 [es.pdf](http://www.eucast.org/fileadmin/src/media/PDFs/EUCAST_files/Breakpoint_tables/v_7.1_Breakpoint_Tables.pdf)
- 406 27. Black JA, Moland ES, Thomson KS. 2005. AmpC disk test for detection of plasmid-
407 mediated AmpC beta-lactamases in enterobacteriaceae lacking chromosomal AmpC
408 beta-lactamases. *J Clin Microbiol.* 43(7):3110-3.
- 409 28. Bakour S, Garcia V, Loucif L, Brunel JM, Gharout-Sait A, Touati A, Rolain JM. 2015.
410 Rapid identification of carbapenemase-producing enterobacteriaceae, pseudomonas
411 aeruginosa and acinetobacter baumannii using a modified carba NP test. *New Microbes*
412 *New Infect.* 10;7:89-93.
- 413 29. Magiorakos AP, Srinivasan A, Carey RB, Carmeli Y, Falagas ME, Giske CG, Harbarth S,
414 Hindler JF, Kahlmeter G, Olsson-Liljequist B, Paterson DL, Rice LB, Stelling
415 J, Struelens MJ, Vatopoulos A, Weber JT, Monnet DL. 2012. Multidrug-resistant,
416 extensively drug-resistant and pandrug-resistant bacteria: An international expert
417 proposal for interim standard definitions for acquired resistance. *Clin Microbiol*
418 *Infect.* 18(3):268-81.

- 419 30. Roschanski N, Fischer J, Guerra B, Roesler U. 2014. Development of a multiplex real-
420 time PCR for the rapid detection of the predominant beta-lactamase genes CTX-M, SHV,
421 TEM and CIT-type AmpCs in enterobacteriaceae. *PLoS One*.17;9(7):e100956.
- 422 31. Dallenne C, Da Costa A, Decre D, Favier C, Arlet G. 2010. Development of a set of
423 multiplex PCR assays for the detection of genes encoding important beta-lactamases in
424 enterobacteriaceae. *J Antimicrob Chemother*.65(3):490-5.
- 425 32. Bachiri T, Lalaoui R, Bakour S, Allouache M, Belkebla N, Rolain JM, Touati A. 2017.
426 First report of the plasmid-mediated colistin resistance gene *mcr-1* in *Escherichia coli*
427 ST405 isolated from wildlife in Bejaia, Algeria. *Microb Drug Resist*. doi:
428 10.1089/mdr.2017.0026. [Epub ahead of print]
- 429 33. Van Damme I, Garcia-Graells C, Biasino W, Gowda T, Botteldoorn N, De Zutter L.
430 2017. High abundance and diversity of extended-spectrum beta-lactamase (ESBL)-
431 producing *Escherichia coli* in faeces and tonsils of pigs at slaughter. *Vet*
432 *Microbiol*.208:190-4.
- 433 34. Dahms C, Hubner NO, Kossow A, Mellmann A, Dittmann K, Kramer A.
434 2015. Occurrence of ESBL-producing *Escherichia coli* in livestock and farm workers in
435 Mecklenburg-western Pomerania, Germany. *PLoS One*.10(11):e0143326.
- 436 35. Hu YY, Cai JC, Zhou HW, Chi D, Zhang XF, Chen WL, Zhang R, Chen GX. 2013.
437 Molecular typing of CTX-M-producing *Escherichia coli* isolates from environmental
438 water, swine feces, specimens from healthy humans, and human patients. *Appl Environ*
439 *Microbiol*. 79(19):5988-96.
- 440 36. Randall LP, Lemma F, Rogers JP, Cheney TE, Powell LF, Teale CJ. 2014. Prevalence of
441 extended-spectrum-beta-lactamase-producing *Escherichia coli* from pigs at slaughter in
442 the UK in 2013. *J Antimicrob Chemother*. 69(11):2947-50.
- 443 37. Hammerum AM, Larsen J, Andersen VD, Lester CH, Skovgaard Skytte TS, Hansen F,
444 Olsen SS, Mordhorst H, Skov RL, Aarestrup FM, Agersø Y. 2014. Characterization of
445 extended-spectrum beta-lactamase (ESBL)-producing *Escherichia coli* obtained from
446 Danish pigs, pig farmers and their families from farms with high or no consumption of
447 third- or fourth-generation cephalosporins. *J Antimicrob Chemother*.69(10):2650-7.
- 448 38. Geser N, Stephan R, Hachler H. 2012. Occurrence and characteristics of extended-
449 spectrum beta-lactamase (ESBL) producing enterobacteriaceae in food producing
450 animals, minced meat and raw milk. *BMC Vet Res*. 8:21,6148-8-21.

- 451 39. Sinwat N, Angkittitrakul S, Coulson KF, Pilapil FM, Meunsene D, Chuanchuen R. 2016.
452 High prevalence and molecular characteristics of multidrug-resistant salmonella in pigs,
453 pork and humans in Thailand and Laos provinces. *J Med Microbiol.*65(10):1182-93.
- 454 40. Kieffer N, Aires-de-Sousa M, Nordmann P, Poirel L. 2017. High rate of MCR-1-
455 producing escherichia coli and klebsiella pneumoniae among pigs, Portugal. *Emerg*
456 *Infect Dis.* 23(12):2023-9.
- 457 41. Malhotra-Kumar S, Xavier BB, Das AJ, Lammens C, Hoang HT, Pham NT, Goossens H.
458 2016. Colistin-resistant escherichia coli harbouring mcr-1 isolated from food animals in
459 Hanoi, Vietnam. *Lancet Infect Dis.*16(3):286-7.
- 460 42. Kawanishi M, Abo H, Ozawa M, Uchiyama M, Shirakawa T, Suzuki S, Shima A,
461 Yamashita A, Sekizuka T, Kato K, Kuroda M, Koike R, Kijima M. 2016. Prevalence of
462 colistin resistance gene mcr-1 and absence of mcr-2 in escherichia coli isolated from
463 healthy food-producing animals in Japan. *Antimicrob Agents*
464 *Chemother.*61(1):10.1128/AAC.02057,16. Print 2017 Jan.
- 465 43. Perrin-Guyomard A, Bruneau M, Houee P, Deleurme K, Legrandois P, Poirier C, Soumet
466 C, Sanders P. 2016. Prevalence of mcr-1 in commensal escherichia coli from French
467 livestock, 2007 to 2014. *Euro Surveill.*21(6):10.2807/1560,7917.ES.2016.21.6.30135.
- 468 44. Meinersmann RJ, Ladely SR, Plumlee JR, Cook KL, Thacker E. 2017. Prevalence of
469 mcr-1 in the cecal contents of food animals in the United States. *Antimicrob Agents*
470 *Chemother.* 61(2):10.1128/AAC.02244,16. Print 2017 Feb.
- 471 45. Tian GB, Wang HN, Zhang AY, Zhang Y, Fan WQ, Xu CW, Zeng B, Guan ZB, Zou LK.
472 2012. Detection of clinically important beta-lactamases in commensal escherichia coli of
473 human and swine origin in western China. *J Med Microbiol.*61(Pt 2):233-8.
- 474 46. Rhouma M, Beaudry F, Theriault W, Letellier A. 2016. Colistin in pig production:
475 Chemistry, mechanism of antibacterial action, microbial resistance emergence, and one
476 health perspectives. *Front Microbiol.*7:1789.
- 477 47. Sokhn S,E., Dahdouh E, Daoud Z. 2013. Resistance of gram-negative bacilli in Lebanon.
478 *ISRN Infectious Diseases.* vol. 2013, Article ID 759208.
- 479 48. Wang J, Gibbons JF, McGrath K, Bai L, Li F, Leonard FC, Stephan R, Fanning S. 2016.
480 Molecular characterization of blaESBL-producing escherichia coli cultured from pig
481 farms in Ireland. *J Antimicrob Chemother.*71(11):3062-5.
- 482 49. Dierikx CM, van der Goot JA, Smith HE, Kant A, Mevius DJ. 2013. Presence of
483 ESBL/AmpC-producing escherichia coli in the broiler production pyramid: A descriptive
484 study. *PLoS One.*8(11):e79005.

- 485 50. El-Shazly DA, Nasef SA, Mahmoud FF, Jonas D. 2017. Expanded spectrum beta-
486 lactamase producing *escherichia coli* isolated from chickens with colibacillosis in Egypt.
487 *Poult Sci.* 96(7):2375-2384.
- 488 51. Aguilar-Montes de Oca S, Talavera-Rojas M, Soriano-Vargas E, Barba-Leon J, Vazquez-
489 Navarrete J. 2015. Determination of extended spectrum beta-lactamases/AmpC beta-
490 lactamases and plasmid-mediated quinolone resistance in *escherichia coli* isolates
491 obtained from bovine carcasses in Mexico. *Trop Anim Health Prod.*47(5):975-81.
- 492 52. Sato T, Okubo T, Usui M, Yokota S, Izumiyama S, Tamura Y. 2014. Association of
493 veterinary third-generation cephalosporin use with the risk of emergence of extended-
494 spectrum-cephalosporin resistance in *escherichia coli* from dairy cattle in Japan. *PLoS*
495 *One.* 9(4):e96101.
- 496 53. Donati V, Feltrin F, Hendriksen RS, Svendsen CA, Cordaro G, Garcia-Fernandez A,
497 Lorenzetti S, Lorenzetti R, Battisti A, Franco A. 2014. Extended-spectrum-beta-
498 lactamases, AmpC beta-lactamases and plasmid mediated quinolone resistance in
499 *klebsiella spp.* from companion animals in Italy. *PLoS One.*9(3):e90564.
- 500 54. Liu X, Thungrat K, Boothe DM. 2016. Occurrence of OXA-48 carbapenemase and other
501 beta-lactamase genes in ESBL-producing multidrug resistant *escherichia coli* from dogs
502 and cats in the United States, 2009-2013. *Front Microbiol.*7:1057.
- 503 55. Bai L, Hurley D, Li J, Meng Q, Wang J, Fanning S, Xiong Y. 2016. Characterisation of
504 multidrug-resistant shiga toxin-producing *escherichia coli* cultured from pigs in China:
505 Co-occurrence of extended-spectrum beta-lactamase- and *mcr-1*-encoding genes on
506 plasmids. *Int J Antimicrob Agents.*48(4):445-8.
- 507 56. El Garch F, Sauget M, Hocquet D, LeChaudee D, Woehrle F, Bertrand X. 2017. *Mcr-1* is
508 borne by highly diverse *escherichia coli* isolates since 2004 in food-producing animals in
509 Europe. *Clin Microbiol Infect.*23(1):51.e1,51.e4.
- 510 57. Delannoy S, Le Devendec L, Jouy E, Fach P, Drider D, Kempf I. 2017. Characterization
511 of colistin-resistant *escherichia coli* isolated from diseased pigs in France. *Front*
512 *Microbiol.* 8:2278.
- 513 58. Brauer A, Telling K, Laht M, Kalmus P, Lutsar I, Remm M, Kisand V, Tenson T. 2016.
514 Plasmid with colistin resistance gene *mcr-1* in extended-spectrum-beta-lactamase-
515 producing *escherichia coli* strains isolated from pig slurry in Estonia. *Antimicrob Agents*
516 *Chemother.* 60(11):6933-6.
- 517 59. Kong LH, Lei CW, Ma SZ, Jiang W, Liu BH, Wang YX, Guan R, Men S, Yuan QW,
518 Cheng GY, Zhou WC, Wang HN. 2017. Various sequence types of *escherichia coli*

519 isolates coharboring blaNDM-5 and mcr-1 genes from a commercial swine farm in
520 China. *Antimicrob Agents Chemother.* 61(3):10.1128/AAC.02167,16.

521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551

552 **Table 1** Distribution of ESBL/ampC producing and colistin resistant Gram-negative bacilli
 553 per farm

	AB used	n collected samples	n of ESBLs/ampcs samples	n of ESBLs/ampcs isolates	Species	n of Col/R samples	n of Col/R isolates	Specie
Farm 1 (n = 120)	Enrofloxacin	60	42	65	60 E.coli 4 E.fergusonii 1 K.pneumoniae	8	8	E.coli
Farm 2 (n = 20)	unknown	15	8	9	8 E.coli 1 K.pneumoniae	4	5	E.coli
Farm 3 (n = 100)	unknown	36	24	35	E.coli	7	10	E.coli
W.P (n = 3)	unknown	3	2	2	E.coli	0	0	

554
 555 W.P = wild pigs, AB = antibiotic, n = number, Col/R = colistin resistant.

556

557

558 **Table 2** Resistance profiles of ESBL/ampC producing Gram negative bacilli

Species	Antibiotic susceptibility testing												Phenotype		
	AMP	CTX	AZT	FOX	CAZ	AUG	FEP	PTZ	TGC	SXT	CIP	GNT	% of ESBL	% of ampC	% of ESBL/ampC
E.coli (n = 105)	103 (98)	70 (67)	45 (43)	25 (24)	44 (42)	48 (46)	57 (54)	1 (1)	1 (1)	97 (92)	82 (78)	44 (42)	90	3	7
E.fergusonii (n = 4)	4 (100)	2 (50)	3 (80)	4 (100)	4 (100)	4 (100)	0 (0)	0 (0)	0 (0)	1 (20)	4 (100)	3 (80)	20	80	
K.pneumoniae (n = 2)	2 (100)	2 (100)	1 (50)	0 (0)	1 (50)	1 (50)	2 (100)	0 (0)	0 (0)	1 (50)	1 (50)	0 (0)	100		

559

560 Resistance profiles are presented as number (percentage).

561 n = number, % = percentage, AMP = ampicillin, CTX = cefotaxime, AZT = aztreonam, FOX = ceftaxime, CAZ

562 = ceftazidime, AUG = amoxicillin-clavulanic acid, FEP = cefepime, TZP = piperacillin-tazobactam, TGC =

563 tigecycline, SXT = trimethoprim-sulfamethoxazole, CIP = ciprofloxacin, GNT = gentamicin.

564

565

566

567

568

569 **Figure Legends**

570

571 **FIG1.** Resistance profiles of mcr-1 colistin resistant E. coli isolates. R= resistant, S =
572 sensitive, bla = beta lactamase, AMP = ampicillin, CTX = cefotaxime, AZT = aztreonam,
573 FOX = ceftazidime, AUG = amoxicillin-clavulanic acid, FEP = cefepime,
574 TZP = piperacillin-tazobactam, Carb = carbapenems, TGC = tigecycline, SXT =
575 trimethoprim-sulfamethoxazole, CIP = ciprofloxacin, GNT = gentamicin.

576

577

578

579

580

581

582

583

584

585

586

587

588

589

590

591

592

593

594

595

596

597

598

599

600

601

602

	Isolate	Colistin MIC (µg/ml)	Antibiotic Resistance													bla genes		
			AMP	FOX	ATM	CTX	TZP	FEP	AUG	CAZ	Carb	GNT	SXT	CIP	TGC			
Farm 1	<i>E.coli</i> (1)	8	R	S	S	S	S	S	S	S	S	S	S	R	R	S		
	<i>E.coli</i> (2)	4	R	S	S	S	S	S	R	S	S	S	S	R	R	S		
	<i>E.coli</i> (3)	8	R	S	S	S	S	S	S	S	S	S	S	R	R	S		
	<i>E.coli</i> (4)	16	R	S	S	S	S	S	S	S	S	R	R	R	R	S		
	<i>E.coli</i> (5)	8	R	S	S	S	S	S	R	S	S	R	R	R	R	S		
	<i>E.coli</i> (6)	8	R	S	S	S	S	S	S	S	S	R	R	R	R	S		
	<i>E.coli</i> (7)	8	R	S	S	S	S	S	S	S	S	R	R	R	R	S		
	<i>E.coli</i> (8)	4	R	S	S	S	S	S	R	S	S	R	R	R	R	S		
	<i>E.coli</i> (9)	4	R	S	S	S	S	S	S	S	S	R	R	R	R	S		
	<i>E.coli</i> (10)	4	R	R	S	S	S	S	R	S	S	R	R	S	S	S		SHV/TEM
Farm 2	<i>E.coli</i> (11)	8	R	S	S	S	S	S	R	S	S	S	R	S	S			
	<i>E.coli</i> (12)	8	R	S	S	S	S	S	S	S	S	S	R	R	S			
	<i>E.coli</i> (13)	8	R	S	S	S	S	S	S	S	S	S	R	R	S			
	<i>E.coli</i> (14)	8	R	S	S	S	S	S	S	S	S	S	S	S	S			
	<i>E.coli</i> (15)	8	R	R	S	S	S	S	R	S	S	S	S	S	S		S	SHV/TEM
Farm 3	<i>E.coli</i> (16)	8	R	S	S	S	S	S	R	S	S	R	R	R	R	S		
	<i>E.coli</i> (17)	8	R	S	S	S	S	S	R	S	S	R	R	R	R	S		
	<i>E.coli</i> (18)	4	R	S	S	S	S	S	S	S	S	S	R	R	R	S		
	<i>E.coli</i> (19)	8	R	S	S	S	S	S	S	S	S	S	R	S	S	S		
	<i>E.coli</i> (20)	8	R	S	S	S	S	S	S	S	S	S	R	S	S	S		
	<i>E.coli</i> (21)	16	R	R	S	S	S	S	R	R	S	S	R	S	S	S		CTX-M/SHV/TEM
	<i>E.coli</i> (22)	8	R	S	S	S	S	S	S	S	S	S	S	R	R	S		
	<i>E.coli</i> (23)	up 256	R	S	S	R	S	R	S	R	S	S	S	R	R	S		CTX-M/SHV/TEM

604

605

606

607

608

609

610

611

612

613

614

615

616

617

618

FIG1. Resistance profiles of *mcr-1* colistin resistant *E. coli* isolates. R= resistant, S = sensitive, bla = beta lactamase, AMP = ampicillin, CTX = cefotaxime, AZT = aztreonam, FOX = ceftazidime, AUG = amoxicillin-clavulanic acid, FEP = cefepime, TZP = piperacillin-tazobactam, Carb = carbapenems, TGC = tigecycline, SXT = trimethoprim-sulfamethoxazole, CIP = ciprofloxacin, GNT = gentamicin.

Article 6

Dissemination of multi-drug resistant and mcr-1 Gram-negative bacilli in Broilers, farm workers and the surrounding environment in Lebanon.

Iman Dandachi, Elie Fayad, Ziad Daoud, Ahmad Sleiman, Jean-Marc Rolain.

To be submitted to **Journal of Antimicrobial Chemotherapy**

Impact Factor: 5.217

1 **Dissemination of multi-drug resistant and mcr-1 Gram-negative bacilli in Broilers, farm**
2 **workers and the surrounding environment in Lebanon**

3
4 **Iman Dandachi^{1,2}, Elie Fayad², Ziad Daoud², Ahmad Sleiman², Jean-Marc Rolain¹.**

5 ¹ Aix Marseille Univ, IRD, APHM, MEPHI, IHU-Méditerranée Infection, Marseille, France.

6 ² Faculty of Medicine and Medical Sciences, Clinical Microbiology Laboratory, University of
7 Balamand, PO Box 33, Amioun, Beirut, Lebanon.

8
9 *Correspondence

10 Pr. Jean-Marc Rolain

11 IHU Méditerranée-Infection

12 Marseille, France

13 Tel: ++33 491324375/ Fax: ++33 491387772

14 Email: jean-marc.rolain@univ-amu.fr

15 Abstract word count = 246

16 Text word count = 3363

17 Number of references = 52

18 Number of tables = 2

19 Number of figures = 3

20 **Running title:** mcr-1 in chicken and environment

21 **Keywords:** mcr-1, feed, litter, chicken, farmers

30 **Abstract**

31 **Objectives**

32 Poultry are nowadays regarded as reservoirs from which multi-drug resistant organisms can
33 be readily transferred to the surrounding ecosystem. The aim of this study was to explore the
34 prevalence of ESBL/ampC and mcr-1 Gram-negative bacilli in chicken, farmers and the
35 surrounding environment in Lebanon.

36 **Methods**

37 In May-2017, we went to the same farm where the first mcr-1 E. coli was detected in 2015 in
38 Lebanon. 200 chicken fecal swabs, 6 farmers' fecal samples and 41 environmental samples
39 were collected. RT-PCR was performed to screen for beta-lactamase and mcr genes using
40 newly designed primers and probes. MLST typing and statistical analysis comparing the
41 prevalence of resistant organisms and genes in 2015 and 2017 was performed.

42 **Results**

43 ESBL/ampC beta lactamases were detected in chicken (59%), workers (67%), litter (100%),
44 feed (100%) and soil (100%). mcr-1 was detected in 73% and 100% of chicken and farmers
45 samples, respectively. Three mcr-1 positive E. coli strains were isolated from litter and feed.
46 Compared to 2015, the prevalence of ESBL/ampC producers as well as TEM and CTX-M
47 genes increased significantly in 2017. MSP dendrogram of isolated strains in 2015 and 2017,
48 in addition to MLST, shows the presence of different clones as well as different sequence
49 types.

50 **Conclusions**

51 This study showed a massive dissemination of mcr-1 strains from 2015 to 2017. The
52 evolution of resistance appears to be multi-clonal and related to the diffusion of plasmids
53 carrying ESBL and mcr-1 genes. Colistin use should be banned in the Lebanese veterinary
54 medicine.

55

56

57

58

59

60

61

62 **Introduction**

63 Gram-negative bacilli (GNB) are among the most common causative agents of hospital and
64 community acquired infections (1). Among other organisms, resistance in Gram-negative
65 bacteria has taken major concern in the last decade (2). This is due to their rapidly evolving
66 and disseminating mechanisms of resistance against commonly prescribed antibiotics in the
67 human medicine i.e. cephalosporins and carbapenems (3). Extended spectrum beta lactamases
68 (ESBLs), ampC beta lactamases and carbapenemases are the main mediators of resistance
69 encountered nowadays in Gram-negative bacteria (4). Recently, the emergence of colistin
70 resistance worsened the situation. Colistin is a polymyxin antibiotic that has previously been
71 discontinued in clinical settings, but has recently been reintroduced due to the wide
72 dissemination of multi-drug resistant Gram-negative bacteria, notably the carbapenem
73 resistant ones (5). Colistin resistance is mediated either through chromosomal mutations that
74 mediates the modification of the lipid A moiety of the LPS chain (6), or via plasmidic
75 acquisition of a phosphoenolamine transferase gene i.e. mcr-1(7), mcr-2 (8), mcr-3 (9), mcr-4
76 (10) and mcr-5 (11).

77 Many years ago, the epidemiology of resistant GNB was thought to be restricted to the
78 hospital settings. However, nowadays, evidence has shown the presence of an external
79 reservoir of resistance in “livestock” (12). Many studies reported a high prevalence of ESBLs
80 as well as colistin-resistant Gram-negative bacilli in farm animals (13) (14). The main driven
81 for this abundance is the uncontrolled usage of antibiotics in veterinary medicine (15). The
82 European Centre for Disease Prevention and Control/European Food Safety
83 Authority/European Medicines Agency (ECDC/EFSA/EMA) report showed that in 2014, the
84 average antibiotic consumption in animals (152 mg/kg) out passed the one in humans (124
85 mg/kg) (16). Univariate analysis showed a significant correlation between tetracycline and
86 polymyxin consumption and resistance in *Escherichia coli* in animals and between
87 fluoroquinolones and *E. coli* in both human and animal sectors (16). Furthermore, a recent
88 publication of the WHO guidelines on use of medically important antimicrobials in food
89 producing animals recommended an overall reduction but also a complete restriction use of
90 all medically important antimicrobial classes for growth promotion and disease prevention in
91 food producing animals (17). According to the WHO CIA report, these antimicrobials include
92 3rd, 4th and 5th generation cephalosporins, glycopeptides, macrolides, ketolides and
93 polymyxins (18). The main concern about the spread of resistant organisms in animals is their
94 potential transmission to humans where they could be causative agents of infections with
95 limited therapeutic options when multi-drug resistance is encountered(19).

96 The increased carriage of ESBLs in humans with frequent contact with broilers (20), sharing
97 the same plasmids/ESBL genes (21), sequence types, virulence and PFGE patterns (22)
98 between humans and animals have all been considered evidence of resistance transmission
99 between these two compartments. Although, direct contact with animals has been suggested
100 to be the main player in this transmission, environmental routes in farm animals are
101 increasingly being considered (20). These latter include transmission via air (23), dust (24),
102 soil fertilized with animal manures (25) and contaminated wastewaters (26). The
103 epidemiology of multi-drug resistant Gram-negative bacteria is thus complex at the human-
104 animal-environment interface (27).

105 In Lebanon, our group reported a considerable nationwide prevalence of ESBL/ampC
106 producing Gram-negative bacilli (20.6%) in poultry farms in 2015 (28). Similarly, a study
107 conducted in cattle revealed high abundance of CTX-M-15 producing *E. coli* over the
108 Lebanese territory (29). Scattered other reports described the detection of OXA-23/OXA-58
109 producing *Acinetobacter baumannii*, VIM-2 producing *Pseudomonas aeruginosa* and OXA-
110 48 *E. coli* strains in livestock and fowl respectively (30, 31). In addition, our group reported
111 the first detection of an isolated positive *E. coli* *mcr-1* strain of chicken in Lebanon in 2015
112 on a farm in southern Lebanon (32). In this context, the purpose of this study was to return to
113 the same farm where we found *mcr-1* two years ago and to do further investigations on the
114 prevalence of ESBL and *mcr-1* positive Gram-negative bacilli, not only in chickens, but also
115 in farm workers and the surrounding environment.

116

117 **Materials and Methods**

118 **Ethics statement**

119 The Ministry of Agriculture of Lebanon has agreed to the collection of fecal swabs from
120 broilers in the south in accordance with national animal handling and sampling standards.
121 Sampling was in accordance with international animal safety guidelines. The farm workers
122 provided us with fecal samples with their complete satisfaction and without any obligation.

123

124 **Collection of samples from broilers, environment and workers**

125 On the 15th of May 2017, 200 fecal swabs were collected from broilers in the farm where the
126 first *mcr-1* positive *E. coli* strain was first isolated in 2015 in Saida - southern Lebanon (32).
127 Ten chicken feed samples, 10 poultry litter samples as well as 21 soil samples surrounding
128 the farm were also collected using sterile cups. Six fecal samples were also provided by the
129 workers in this farm using sterile urine cups. All collected samples were put in a portable

130 refrigerator and transported directly to the University laboratory where they were stored at -
131 80 °C for later use. In addition, the list of antibiotics used in this farm was recorded.

132

133 **Screening of beta lactamase and colistin-resistant Gram-negative bacteria**

134 MacConkey agars supplemented with cefotaxime (2mg/l), ertapenem (1mg/l) and colistin
135 (4mg/l) were used for the screening of ESBL, carbapenemase producers and colistin-resistant
136 Gram-negatives respectively. The chicken fecal swabs were simply subcultured on the
137 different media. This also applies to the workers fecal samples; however for these, each fecal
138 sample was first mixed using a swab and then this swab was used for subculture. On the other
139 hand, each soil, feed and litter sample was incubated in a 400 ml of sterile distilled water for
140 2 hours at room temperature. Thereafter, an initial vacuum pump filtration using filter papers
141 (pores size 10-15µm) to remove sediments was performed and the 400 ml filtered from each
142 sample was divided into 3 sterile cups containing each 100 ml. Then, using mixed ester
143 cellulose filter papers with 0.45 µm pores size, each 100 ml was filtered again and put on a
144 separate selective media and incubated overnight at 37 °C. Following incubation, well
145 isolated colonies growing on the selective media were taken separately and identified using
146 MALDI-TOF MS with a score value ≥ 1.9 using the Microflex LT spectrometer (Bruker
147 Daltonics, Bremen, Germany) for correct identification (33),(34). For each strain, the spectra
148 obtained were stored and downloaded into a MALDI Biotyper 3.0 system for the construction
149 of an MSP dendrogram. Following identification, the strains were conserved in 40% glycerol
150 aliquots and preserved at -80 °C for later testing.

151

152 **Antibiotic susceptibility testing**

153 Antibiotic susceptibility testing was performed using the Kirby-Bauer disk diffusion method.
154 Sixteen antibiotics were used: ampicillin, amoxicillin-clavulanic acid, aztreonam,
155 ceftazidime, cefotaxime, cefepime, cefoxitin, piperacillin-tazobactam, colistin, meropenem,
156 ertapenem, imipenem, tigecycline, ciprofloxacin, gentamicin and trimethoprim-
157 sulfamethoxazole (Bio-Rad, Marnes-la-Coquette, France). The diameters zones of inhibition
158 were interpreted according to EUCAST guidelines 2017(35). Furthermore, colistin broth
159 micro-dilution test was performed as previously described. An isolate showing resistance to
160 at least three different classes of antibiotics was termed as being multi-drug resistant (36).
161 Phenotypic detection of ESBL, ampC beta lactamases and carbapenemases was performed
162 using the double disk synergy test, ampC disk test and Carba NP test respectively(37)(38).

163

164 **Real time PCR screening of beta lactamase and mcr genes**

165 All strains having a colistin MIC of > 2 mg/l were subjected to RT-PCR for the screening of
166 mcr colistin-resistant gene (39) (40). For a more rapid screening, new primers and probes
167 were designed for the detection of mcr-3, mcr-4 and mcr-5 plasmid mediated colistin
168 resistance gene by real time PCR (table 1). Furthermore, isolates showing a keyhole effect or
169 having non susceptibility to third generations cephalosporins were screened for the presence
170 of bla_{CTX-M}, bla_{SHV} and bla_{TEM} genes(41). Bacterial DNA was extracted using an EZ1 DNA
171 extraction kits (Qiagen, Courtaboeuf, France) with an EZ1 Advanced XL biorobot.

172

173 **Multilocus sequence typing**

174 Colistin-resistant E. coli strains isolated from workers and environmental samples as well as
175 fifteen selected ones from chicken were subjected to MLST typing based on their allelic
176 profiles using seven housekeeping genes: adk, fumC, gyrB, icd, mdh, purA and recA (42).
177 ESBL producers in workers were also subjected to MLST typing. The sequence type (ST) of
178 each strain was determined using the allelic profiles analyzed based on the Warwick MLST
179 database (<http://mlst.Warwick.ac.uk/mlst/dbs/Ecoli>).

180

181 **Statistical analysis**

182 The prevalence of multi-drug resistant Gram-negative bacilli, resistance genes as well as
183 resistance patterns, were compared between the years 2015 and 2017 via Fisher Exact test
184 using Epi InfoTM version 7.2 (43). A P value ≤ 0.05 was considered statistically significant.

185

186 **Results**

187 **Identification of Isolated strains**

188 Of the 200 rectal swabs collected from chicken, 181 E. coli strains were isolated on the
189 medium supplemented with cefotaxime. In farm workers and poultry litter, four and
190 seventeen E. coli strains were detected, respectively. In feed samples, 3 Acinetobacter
191 baumannii, 3 Pseudomonas aeruginosa, one Achromobacter xylooxidans and one Serratia
192 rubideae were isolated from 8 samples. Similarly, in soil samples, non-fermenters were the
193 most common organisms found in addition to enterobacteriaceae: 4 Pseudomonas putida, 2
194 Pseudomonas monteilii, 4 Acinetobacter genomospecies, 4 Stenotrophomonas maltophilia, 4
195 Enterobacter cloacae, 5 E. coli and one Ochrobactrum haematophilium. On the other hand,
196 on the medium supplemented with colistin, 121 colistin-resistant E. coli strains, 30 Klebsiella
197 pneumoniae and 1 Enterobacter asburiae were isolated from chicken. All 6 workers carried

198 colistin-resistant isolates: 6 *E. coli* and 1 *K. pneumoniae*. From feed samples, two colistin-
199 resistant *E. coli* strains and one *A. baumannii* were detected. In poultry litter, a single colistin-
200 resistant *E. coli* strain was isolated while in soil, no colistin-resistant bacteria were found.

201

202 **Resistance Phenotypes of isolated strains**

203 The detailed antibiotic susceptibility testing of Gram-negative bacilli isolated in this study is
204 summarized in Table 2. Overall, ESBL was the main mechanism of resistance found in all
205 sources followed by ESBL/ampC and ampC production. High resistance rates were found
206 against non beta-lactam antibiotics, notably gentamicin, trimethoprim-sulfamethoxazole and
207 ciprofloxacin. In chicken, 163 (90%) ESBL/ampC strains were co-resistant to colistin, one
208 strain was resistant to all non beta-lactams tested, 119 (66%) were resistant to three non beta-
209 lactams, 54 (30%) to two and 7 (4%) to only one non beta-lactam. Same pattern of co-
210 resistance was also observed in strains isolated from poultry litter, soil and feed samples,
211 where (17) 100%, 20 (89%), 4 (50%) were at least resistant to two non beta-lactams
212 respectively; the most common of these being resistance to both ciprofloxacin and
213 trimethoprim-sulfamethoxazole. Conversely, farm workers isolates were mainly susceptible
214 to non beta-lactams with only one being co-resistant to ciprofloxacin and trimethoprim-
215 sulfamethoxazole. Compared to 2015, the prevalence of antibiotic resistance has increased
216 significantly for all beta-lactam and non beta-lactams except cefepime, ciprofloxacin and
217 tigecycline (figure 3 A).

218 As for colistin-resistant isolates grown on the media supplemented with colistin, broth micro-
219 dilution testing revealed colistin MICs ranging from 4 to 16 mg/l in *E. coli* strains isolated
220 from chicken except for four isolates having colistin MICs ranging from 64 mg/l to 256 mg/l.
221 *K. pneumoniae* isolates from chicken displayed colistin MICs reaching 256 mg/l for 26 of
222 them whereas four strains had an MIC of 8 mg/l. Furthermore, one *Enterobacter asburiae*
223 with a colistin MIC of 256 mg/l was also detected. In workers, feed and litter strains, colistin
224 MICs ranged from 4 and 8 mg/l. From all sources, phenotypic test revealed that all strains
225 were sensitive to the majority of the beta-lactams, tested with only 5 and one in chicken and
226 workers, respectively, being ESBL producers. Different rates of resistance were also detected
227 against non beta-lactams; overall only 7 strains were resistant to one non-beta lactam
228 antibiotic whereas the other strains (156) were co-resistant to at least two non beta-lactams.
229 As depicted in figure 3 B, gentamicin and colistin resistance were significantly more
230 prevalent in ESBL producers compared to ESBL negative *mcr-1* positive strains.

231

232 **Prevalence of ESBL/ampC and colistin-resistant isolates in all sources**

233 As shown in Figure 1, ESBL/ampC producing Gram-negative bacilli were detected in all
234 feed, soil and litter samples whereas in chicken and farm workers, these latter were detected
235 in 59% and 67% of collected fecal samples, respectively. The abundance of colistin
236 resistance was higher in chicken (73%) and farmers (100%) as compared to the
237 environmental samples (litter (6%), feed (20%)). In fact, the prevalence of ESBL/ampC
238 producers detected in poultry in Saida region has significantly increased, from 27% in 2015
239 to 59% in 2017.

240 Personal communication with the veterinarian of the visited farm revealed that colistin and
241 gentamicin are often prescribed for gastrointestinal infections and doxycycline for respiratory
242 infections of poultry in this farm.

243

244 **Detection of beta lactamase and mcr genes**

245 In chicken CTX-M, TEM and SHV genes were detected in 70, 116 and 23 ESBL/ampC
246 positive *E. coli* strains, respectively. As shown in figure 3 A, the prevalence of CTX-M and
247 TEM beta lactamase genes has significantly increased in 2017 compared to 2015. All
248 Farmers' and feed's isolates harbored CTX-M with two and four of them co-harboring also
249 the TEM gene respectively. In poultry litter, CTX-M and TEM genes were detected in 16
250 strains. TEM encoding gene was found in 15 strains isolated from soil samples, CTX-M in 14
251 and SHV in 3 isolates. Furthermore, of the 181 ESBL and/or ampC producers detected, 125
252 were also positive for the mcr-1 colistin resistance gene. In parallel, all colistin-resistant *E.*
253 *coli* and *K. pneumoniae* strains isolated from chicken, farm workers, poultry litter and feed
254 were positive for mcr-1. No other mcr variants were detected. One *A. baumannii* and one *E.*
255 *asburiae* isolates from feed and chicken were negative for the mcr-1 gene, respectively.

256

257 **MSP dendrogram analysis and MLST typing**

258 As shown in figure 2, no cluster formations were formed in the MSP dendrogram neither at
259 the level of the geographical location in 2015 neither at the level of the resistance phenotype.
260 This also applies to the *E. coli* strains isolated in 2017 where the ESBL and mcr-1 ones were
261 dispersed randomly in the dendrogram. Combining the spectra of ESBL *E. coli* strains
262 isolated from Saida in 2015 with those isolated in 2017, shows that these latter do not form
263 independent clusters.

264 MLST typing of chicken strains surrounding farmers' and environmental strains in the MSP
265 dendrogram revealed the presence of: ST101, ST746, ST1196, ST359, ST1140, ST2220,

266 ST5687 and ST2481 in addition to unknown sequence types. The colistin-resistant *E. coli*
267 strain isolated from litter had ST746, whereas the two *E. coli* isolates detected in feed
268 samples were of ST101 and ST3941. ST101 was shared by chicken and feed strains whereas
269 ST746 was shared between litter and chicken isolates. Farm workers' isolates displayed with
270 ST1011 for colistin-resistant *E. coli* and ST10, ST59 for ESBL producers; unknown sequence
271 types were also detected in both ESBL and colistin-resistant *E. coli* strains isolated from
272 workers.

273

274 **Discussion**

275 It is now becoming clear that the epidemiology of multidrug-resistant organisms has changed
276 and is no longer confined to the hospital setting(12). ESBL, carbapenemase producers and
277 colistin resistant Gram-negative bacilli are frequently detected in livestock, pets and wild
278 type animals (4). The poultry production system is of special interest since it forms a complex
279 and vulnerable ecosystem that can be easily hacked by resistant organisms. Indeed, once
280 introduced, these latter can disseminate nationally but also globally due to the frequent
281 import/export of broilers worldwide (44). Moreover, it has been shown that resistant
282 organisms in food producing animals can be readily transmitted to humans via direct or
283 indirect contact (20) and via environmental routes (24). In their study, Laube et.al reported the
284 detection of ESBL/ampC producing *E. coli* strains from broilers fecal samples (100%), dust
285 samples (71%), litter (95%), farmers' boot swabs (90%) in addition to 54% of different
286 environmental swabs such as scales water and feeding troughs (4).

287 Following our first detection of the *mcr-1* positive strain of *E. coli* in poultry in southern
288 Lebanon in 2015(4) and in addition to the high abundance of ESBL/ampC producers detected
289 at the national level in chicken farms during the same year (4); we found it crucial to return to
290 the same farm in southern Lebanon where we found the *mcr-1* strain and explore the
291 evolution of bacterial resistance in chicken. It is important to mention that from 2015 to 2017
292 no infection control measures were taken in the chicken farm. In addition, gentamicin and
293 colistin were often prescribed as treatment for gastrointestinal infections and doxycycline for
294 respiratory infections. Moreover, it should be mentioned that although the veterinarian of the
295 visited farm stated that antibiotics are only administered for therapeutic purposes, he also
296 admitted that once an infection occurs, the antibiotic is provided for the entire herd and not
297 only for the sick animal. In other words, the antibiotic is theoretically prescribed only for
298 therapeutic purposes but technically is also administered as prophylaxis. Our study shows
299 that from 2015 to 2017, the prevalence of ESBL/ampC producers has significantly increased

300 from 27% to 59% in Saida – south of Lebanon. In addition, *mcr-1* positive strains are highly
301 prevalent in the chicken feces but also in feed, litter and workers. The presence of multi-drug
302 resistance in feed samples is questionable and can have two plausible explanations: first that
303 these resistant organisms are contamination from the farm housing environment as some
304 studies have suggested (45); or it can be due to the hidden use of antibiotics as growth
305 promoters in this farm.

306 In our investigation, we found that ESBL producers were more resistant to gentamicin
307 compared to the *mcr-1* positive isolates. Conversely, *mcr-1* strains were more resistant to
308 colistin. This suggests that ESBL producing Gram-negative bacilli are co-selected with the
309 frequent use of gentamicin, while *mcr-1* strains are selected with colistin use in the chicken
310 farm. Gentamicin was previously described as being among the most common antibiotic
311 administered to livestock in Lebanon (29). Rami et.al demonstrated a significant correlation
312 between the use of gentamicin and tetracycline as growth promoters and the corresponding
313 number of resistant *E. coli* strains in poultry farms (46). Similarly, another study showed an
314 association between the use of gentamicin as food additive and the number of gentamicin
315 resistant *E. coli* isolates (47).

316 Constructed MSP dendrogram (figure 2) reveals no cluster formation, at either the
317 geographical location or at the phenotypic level. MLST analysis of *mcr-1* *E. coli* strains also
318 revealed the presence of different sequence types in chicken, workers and environment,
319 except the detection of two *mcr-1* colistin-resistant *E. coli* strains sharing the same sequence
320 type “ST101” and phenotype from chicken and feed. ST101 is an international ST described
321 in broilers (48), pigs (49) and clinical settings (50). Many studies even associated ST101 to
322 clinical *E. coli* strains harboring NDM-1 in Canada, Germany, UK, Australia and Pakistan
323 (50). ST101 is thus a potent candidate for the zoonotic transmission to humans. Furthermore,
324 ST746 was shared between *mcr-1* *E. coli* strains detected in chicken and poultry litter. Again,
325 this ST has been reported in animals (24) as well as in OXA-48 producing *E. coli* strains
326 isolated in clinical settings (51). The variety of sequence types detected together with the
327 MSP dendrogram patterns observed suggest that the dissemination of bacterial resistance
328 from 2015 to 2017 is multi-clonal and is related to the diffusion of plasmids carrying ESBL
329 and *mcr-1* genes.

330 To summarize, this study reported the dissemination of *mcr-1* *E. coli* strains in broilers,
331 farmers and the surrounding environment in Lebanon. The overuse of antibiotics seems to
332 have played a key role in the massive spread of colistin resistance since the first detection of
333 *mcr-1* in 2015 (32). Colistin use in animals should be banned in the Lebanese veterinary

334 medicine. Moreover, the relatively high abundance of multi-drug resistance in all sources
335 emphasizes the hypothesis that when aiming to control the dissemination of resistant
336 organisms; besides controlling antibiotic use, environmental routes should be also targeted.
337 Moreover, it is worth mentioning that this study is the first in Lebanon to report the isolation
338 of mcr-1 positive *E. coli* strains from humans. Okdah et. al previously reported the detection
339 of colistin-resistant *K. pneumoniae* strains isolated from patients in Beirut (52). However, in
340 these latter, the mechanism of colistin resistance was due to mutations in the *phoP/Q*, *pmrA/B*
341 and *mgrB* genes (52). Therefore, our study points out that mcr-1 is present in the Lebanese
342 farmers and might be introduced to the community and hospital settings if no strict infection
343 control measures in animals and their surrounding environment are implemented. Further
344 works are warranted to quantify the magnitude of this emerging problem in Lebanon.

345

346 **Acknowledgement**

347 We thank CookieTrad for English corrections.

348

349 **Funding**

350 This work was supported by the Lebanese Council for Research and the French Government
351 under the « Investissements d’avenir » (Investments for the Future) program managed by the
352 Agence Nationale de la Recherche (ANR, fr: National Agency for Research), (reference:
353 Méditerranée Infection 10-IAHU-03)

354

355 **Transparency Declarations**

356 No conflict of interest or financial disclosure for all authors.

357

358

359

360

361

362

363

364

365

366

References

- 367
- 368 1. Tian GB, Wang HN, Zhang AY, Zhang Y, Fan WQ, Xu CW, et al. Detection of clinically
369 important beta-lactamases in commensal *Escherichia coli* of human and swine origin in
370 western China. *J Med Microbiol.* 2012 Feb;61(Pt 2):233-8.
- 371 2. Schill F, Abdulmawjood A, Klein G, Reich F. Prevalence and characterization of
372 extended-spectrum beta-lactamase (ESBL) and AmpC beta-lactamase producing
373 Enterobacteriaceae in fresh pork meat at processing level in Germany. *Int J Food Microbiol.*
374 2017 Sep 18;257:58-66.
- 375 3. Samanta I, Joardar SN, Das PK, Das P, Sar TK, Dutta TK, et al. Virulence repertoire,
376 characterization, and antibiotic resistance pattern analysis of *Escherichia coli* isolated from
377 backyard layers and their environment in India. *Avian Dis.* 2014 Mar;58(1):39-45.
- 378 4. Laube H, Friese A, von Salviati C, Guerra B, Kasbohrer A, Kreienbrock L, et al.
379 Longitudinal monitoring of extended-spectrum-beta-lactamase/AmpC-producing *Escherichia*
380 *coli* at German broiler chicken fattening farms. *Appl Environ Microbiol.* 2013
381 Aug;79(16):4815-20.
- 382 5. Olaitan AO, Morand S, Rolain JM. Mechanisms of polymyxin resistance: acquired and
383 intrinsic resistance in bacteria. *Front Microbiol.* 2014 Nov 26;5:643.
- 384 6. Baron S, Hadjadj L, Rolain JM, Olaitan AO. Molecular mechanisms of polymyxin
385 resistance: knowns and unknowns. *Int J Antimicrob Agents.* 2016 Dec;48(6):583-91.
- 386 7. Liu YY, Wang Y, Walsh TR, Yi LX, Zhang R, Spencer J, et al. Emergence of plasmid-
387 mediated colistin resistance mechanism MCR-1 in animals and human beings in China: a
388 microbiological and molecular biological study. *Lancet Infect Dis.* 2016 Feb;16(2):161-8.
- 389 8. Xavier BB, Lammens C, Ruhai R, Kumar-Singh S, Butaye P, Goossens H, et al.
390 Identification of a novel plasmid-mediated colistin-resistance gene, *mcr-2*, in *Escherichia*
391 *coli*, Belgium, June 2016. *Euro Surveill.* 2016 Jul
392 7;21(27):10.2807/1560,7917.ES.2016.21.27.30280.
- 393 9. Yin W, Li H, Shen Y, Liu Z, Wang S, Shen Z, et al. Novel Plasmid-Mediated Colistin
394 Resistance Gene *mcr-3* in *Escherichia coli*. *MBio.* 2017 Jun 27;8(3):10.1128/mBio.00543-17.
- 395 10. Carattoli A, Villa L, Feudi C, Curcio L, Orsini S, Luppi A, et al. Novel plasmid-mediated
396 colistin resistance *mcr-4* gene in *Salmonella* and *Escherichia coli*, Italy 2013, Spain and
397 Belgium, 2015 to 2016. *Euro Surveill.* 2017 Aug 3;22(31):10.2807/1560, 7917.ES.2017.
398 22.31. 30589.
- 399 11. Borowiak M, Fischer J, Hammerl JA, Hendriksen RS, Szabo I, Malorny B. Identification
400 of a novel transposon-associated phosphoethanolamine transferase gene, *mcr-5*, conferring

401 colistin resistance in d-tartrate fermenting *Salmonella enterica* subsp. *enterica* serovar
402 Paratyphi B. *J Antimicrob Chemother.* 2017 Dec 1;72(12):3317-24.

403 12. de Been M, Lanza VF, de Toro M, Scharringa J, Dohmen W, Du Y, et al. Dissemination
404 of cephalosporin resistance genes between *Escherichia coli* strains from farm animals and
405 humans by specific plasmid lineages. *PLoS Genet.* 2014 Dec 18;10(12):e1004776.

406 13. Bui Thi Kim N, Bui Thi Mai H, Ueda S, Le Danh T, Yamamoto Y, Hirai I. Potential
407 Transmission Opportunity of CTX-M-producing *Escherichia coli* in Large-scale Chicken
408 Farm in Vietnam. *J Glob Antimicrob Resist.* 2017 Oct 10.

409 14. Grami R, Mansour W, Mehri W, Bouallegue O, Boujaafar N, Madec JY, et al. Impact of
410 food animal trade on the spread of *mcr-1*-mediated colistin resistance, Tunisia, July 2015.
411 *Euro Surveill.* 2016;21(8):30144,7917.ES.2016.21.8.30144.

412 15. Roess AA, Winch PJ, Akhter A, Afroz D, Ali NA, Shah R, et al. Household Animal and
413 Human Medicine Use and Animal Husbandry Practices in Rural Bangladesh: Risk Factors for
414 Emerging Zoonotic Disease and Antibiotic Resistance. *Zoonoses Public Health.* 2015
415 Nov;62(7):569-78.

416 16. ECDC/EFSA/EMA second joint report on the integrated analysis of the consumption of
417 antimicrobial agents and occurrence of antimicrobial resistance in bacteria from humans and
418 food-producing animals. 2017.

419 17. WHO 2017. WHO GUIDELINES ON USE OF MEDICALLY IMPORTANT
420 ANTIMICROBIALS IN FOOD-PRODUCING ANIMALS. Geneva: World Health
421 Organization: 2017.

422 18. WHO CIA 2017. WHO list of Critically Important Antimicrobials for Human Medicine
423 (WHO CIA list). 2017.

424 19. Overdeest I, Willemsen I, Rijnsburger M, Eustace A, Xu L, Hawkey P, et al. Extended-
425 spectrum beta-lactamase genes of *Escherichia coli* in chicken meat and humans, The
426 Netherlands. *Emerg Infect Dis.* 2011 Jul;17(7):1216-22.

427 20. Huijbers PM, Graat EA, Haenen AP, van Santen MG, van Essen-Zandbergen A, Mevius
428 DJ, et al. Extended-spectrum and AmpC beta-lactamase-producing *Escherichia coli* in
429 broilers and people living and/or working on broiler farms: prevalence, risk factors and
430 molecular characteristics. *J Antimicrob Chemother.* 2014 Oct;69(10):2669-75.

431 21. Leverstein-van Hall MA, Dierikx CM, Cohen Stuart J, Voets GM, van den Munckhof
432 MP, van Essen-Zandbergen A, et al. Dutch patients, retail chicken meat and poultry share the
433 same ESBL genes, plasmids and strains. *Clin Microbiol Infect.* 2011 Jun;17(6):873-80.

434 22. Olaitan AO, Thongmalayvong B, Akkhavong K, Somphavong S, Paboriboune P,
435 Khounsy S, et al. Clonal transmission of a colistin-resistant *Escherichia coli* from a
436 domesticated pig to a human in Laos. *J Antimicrob Chemother.* 2015 Dec;70(12):3402-4.
437 23. von Salviati C, Laube H, Guerra B, Roesler U, Friese A. Emission of ESBL/AmpC-
438 producing *Escherichia coli* from pig fattening farms to surrounding areas. *Vet Microbiol.*
439 2015 Jan 30;175(1):77-84.
440 24. Blaak H, van Hoek AH, Hamidjaja RA, van der Plaats RQ, Kerkhof-de Heer L, de Roda
441 Husman AM, et al. Distribution, Numbers, and Diversity of ESBL-Producing *E. coli* in the
442 Poultry Farm Environment. *PLoS One.* 2015 Aug 13;10(8):e0135402.
443 25. Laube H, Friese A, von Salviati C, Guerra B, Rosler U. Transmission of ESBL/AmpC-
444 producing *Escherichia coli* from broiler chicken farms to surrounding areas. *Vet Microbiol.*
445 2014 Aug 27;172(3-4):519-27.
446 26. Guenther S, Ewers C, Wieler LH. Extended-Spectrum Beta-Lactamases Producing *E. coli*
447 in Wildlife, yet Another Form of Environmental Pollution? *Front Microbiol.* 2011 Dec
448 19;2:246.
449 27. Purohit MR, Chandran S, Shah H, Diwan V, Tamhankar AJ, Stalsby Lundborg C.
450 Antibiotic Resistance in an Indian Rural Community: A 'One-Health' Observational Study on
451 Commensal Coliform from Humans, Animals, and Water. *Int J Environ Res Public Health.*
452 2017 Apr 6;14(4):10.3390/ijerph14040386.
453 28. Dandachi I, Sokhn ES, Dahdouh E, Azar E, El-Bazzal B, Rolain J, et al. Prevalence and
454 Characterization of Multi-Drug-Resistant Gram-Negative Bacilli Isolated From Lebanese
455 Poultry: A Nationwide Study. *Frontiers in microbiology.* 2018;9:550.
456 29. Diab M, Hamze M, Madec JY, Haenni M. High Prevalence of Non-ST131 CTX-M-15-
457 Producing *Escherichia coli* in Healthy Cattle in Lebanon. *Microb Drug Resist.* 2016 Jun 15.
458 30. Al Bayssari C, Olaitan AO, Dabboussi F, Hamze M, Rolain JM. Emergence of OXA-48-
459 producing *Escherichia coli* clone ST38 in fowl. *Antimicrob Agents Chemother.* 2015
460 Jan;59(1):745-6.
461 31. Al Bayssari C, Dabboussi F, Hamze M, Rolain JM. Emergence of carbapenemase-
462 producing *Pseudomonas aeruginosa* and *Acinetobacter baumannii* in livestock animals in
463 Lebanon. *J Antimicrob Chemother.* 2015 Mar;70(3):950-1.
464 32. Dandachi I, Leangapichart T, Daoud Z, Rolain JM. First Detection of MCR-1 plasmid
465 mediated colistin resistant *E.coli* in Lebanese poultry. *J Glob Antimicrob Resist.* 2018 Jan 16.

- 466 33. Seng P, Rolain JM, Fournier PE, La Scola B, Drancourt M, Raoult D. MALDI-TOF-mass
467 spectrometry applications in clinical microbiology. *Future Microbiol.* 2010 Nov;5(11):1733-
468 54.
- 469 34. Singhal N, Kumar M, Kanaujia PK, Viridi JS. MALDI-TOF mass spectrometry: an
470 emerging technology for microbial identification and diagnosis. *Front Microbiol.* 2015 Aug
471 5;6:791.
- 472 35. European Committee on Antimicrobial Susceptibility Testing. Breakpoint tables for
473 interpretation of MICs and zone diameters, version 7.1,
474 2017, [http://www.eucast.org/fileadmin/src/media/PDFs/EUCAST_files/Breakpoint_tables/v](http://www.eucast.org/fileadmin/src/media/PDFs/EUCAST_files/Breakpoint_tables/v7.1_Breakpoint_Tables.pdf)
475 [7.1_Breakpoint_Tables.pdf](http://www.eucast.org/fileadmin/src/media/PDFs/EUCAST_files/Breakpoint_tables/v7.1_Breakpoint_Tables.pdf).
- 476 36. Magiorakos AP, Srinivasan A, Carey RB, Carmeli Y, Falagas ME, Giske CG, et al.
477 Multidrug-resistant, extensively drug-resistant and pandrug-resistant bacteria: an international
478 expert proposal for interim standard definitions for acquired resistance. *Clin Microbiol Infect.*
479 2012 Mar;18(3):268-81.
- 480 37. Black JA, Moland ES, Thomson KS. AmpC disk test for detection of plasmid-mediated
481 AmpC beta-lactamases in Enterobacteriaceae lacking chromosomal AmpC beta-lactamases. *J*
482 *Clin Microbiol.* 2005 Jul;43(7):3110-3.
- 483 38. Bakour S, Garcia V, Loucif L, Brunel JM, Gharout-Sait A, Touati A, et al. Rapid
484 identification of carbapenemase-producing Enterobacteriaceae, *Pseudomonas aeruginosa* and
485 *Acinetobacter baumannii* using a modified Carba NP test. *New Microbes New Infect.* 2015
486 Jul 10;7:89-93.
- 487 39. Chabou S, Leangapichart T, Okdah L, Le Page S, Hadjadj L, Rolain JM. Real-time
488 quantitative PCR assay with Taqman((R)) probe for rapid detection of MCR-1 plasmid-
489 mediated colistin resistance. *New Microbes New Infect.* 2016 Jul 5;13:71-4.
- 490 40. Rebelo AR, Bortolaia V, Kjeldgaard JS, Pedersen SK, Leekitcharoenphon P, Hansen IM,
491 et al. Multiplex PCR for detection of plasmid-mediated colistin resistance determinants, *mcr-*
492 *1*, *mcr-2*, *mcr-3*, *mcr-4* and *mcr-5* for surveillance purposes. *Euro Surveill.* 2018
493 Feb;23(6):10.2807/1560,7917.ES.2018.23.6.17-00672.
- 494 41. Roschanski N, Fischer J, Guerra B, Roesler U. Development of a multiplex real-time
495 PCR for the rapid detection of the predominant beta-lactamase genes CTX-M, SHV, TEM
496 and CIT-type AmpCs in Enterobacteriaceae. *PLoS One.* 2014 Jul 17;9(7):e100956.
- 497 42. Peng C, Zong Z. Sequence type 38 *Escherichia coli* carrying bla(CTX-M-14). *J Med*
498 *Microbiol.* 2011 May;60(Pt 5):694-5.

499 43. Nieves E, Jones J. Epi Info: Now an Open-source application that continues a long and
500 productive "life" through CDC support and funding. *Pan Afr Med J.* 2009 Apr 30;2:6.

501 44. Dierikx CM, van der Goot JA, Smith HE, Kant A, Mevius DJ. Presence of ESBL/AmpC-
502 producing *Escherichia coli* in the broiler production pyramid: a descriptive study. *PLoS One.*
503 2013 Nov 7;8(11):e79005.

504 45. Greig J, Rajic A, Young I, Mascarenhas M, Waddell L, LeJeune J. A scoping review of
505 the role of wildlife in the transmission of bacterial pathogens and antimicrobial resistance to
506 the food Chain. *Zoonoses Public Health.* 2015 Jun;62(4):269-84.

507 46. El-Rami FE, Sleiman FT, Abdelnoor AM. Identification and antibacterial resistance of
508 bacteria isolated from poultry. *Pol J Microbiol.* 2012;61(4):323-6.

509 47. Abdelnoor AM*, Chokr S, Fayad L, AL-AKI N. Review study on external-hospital
510 bacteria as a source of infection and antimicrobial resistance in Lebanon. *THE*
511 *INTERNATIONAL ARABIC JOURNAL OF ANTIMICROBIAL AGENTS.* 2013;3(2).

512 48. Sola-Gines M, Cameron-Veas K, Badiola I, Dolz R, Majo N, Dahbi G, et al. Diversity of
513 Multi-Drug Resistant Avian Pathogenic *Escherichia coli* (APEC) Causing Outbreaks of
514 *Colibacillosis* in Broilers during 2012 in Spain. *PLoS One.* 2015 Nov 23;10(11):e0143191.

515 49. El Garch F, Sauget M, Hocquet D, LeChaudée D, Woehrle F, Bertrand X. MCR-1 is
516 borne by highly diverse *Escherichia coli* isolates since 2004 in food-producing animals in
517 Europe. *Clin Microbiol Infect.* 2017 Jan;23(1):51.e1,51.e4.

518 50. Yoo JS, Kim HM, Koo HS, Yang JW, Yoo JI, Kim HS, et al. Nosocomial transmission of
519 NDM-1-producing *Escherichia coli* ST101 in a Korean hospital. *J Antimicrob Chemother.*
520 2013 Sep;68(9):2170-2.

521 51. Gedebjerg A, Hasman H, Sorensen CM, Wang M. An OXA-48-producing *Escherichia*
522 *coli* isolated from a Danish patient with no hospitalization abroad. *Infect Dis (Lond).* 2015
523 Aug;47(8):593-5.

524 52. Okdah L, Leangapichart T, Hadjadj L, Olaitan AO, Al-Bayssari C, Rizk R, et al. First
525 report of colistin-resistant *Klebsiella pneumoniae* clinical isolates in Lebanon. *J Glob*
526 *Antimicrob Resist.* 2017 Jun;9:15-6.

527
528
529

531 **Table 1.** Primers and Probes used for the detection of mcr genes via RT-PCR in this study.

Target gene	Primer/Probe Name	Sequence (5' - 3')	Amplicon size (base pair)	Reference
mcr-1	PE_F1	GCAGCATACTTCTGTGTGGTAC	145	chabou
	PE-R1	ACAAAGCCGAGATTGTCCGCG		
	PE-Probe1	6 FAM –GACCGCGACCGCCAATCTTACC-TAMRA		
mcr-2	mcr-1.2-RT-F	CTGTGCCGTGTATGTTTCAGC	151	
	mcr-1.2-RT-R	TTATCCATCACGCCTTTTGAG		
	mcr-2-VIC1	VIC-TGACCGCTTGGGTGTGGGTA-TAMRA		
mcr-3	mcr-3-RT-F1	TGAATCACTGGGAGCATTAGGGC	144	This study
	mcr-3-RT-R1	TGCTGCAAACACGCCATATCAAC		
	mcr-3-PE1	6 FAM-TGCACCGGATGATCAGACCCGT-TAMRA		
mcr-4	mcr-4-RT-F1	GCCAACCAATGCTCATACCCAAAA	112	This study
	mcr-4-RT-R1	CCGCCCCATTCGTGAAAACATAC		
	mcr-4-PE1	6 FAM-GCCACGGCGGTGTCTCTACCC-TAMRA		
mcr-5	mcr-5-RT-F1	TATCCCGCAAGCTACCGACGC	126	This study
	mcr-5-RT-R1	ACGGGCAAGCACATGATCGGT		
	mcr-5-PE1	6 FAM-TGCGACACCACCGATCTGGCCA-TAMRA		

532 **Table 2.** Resistance Profiles of Gram-negative bacilli isolated in this study.

Source	Species	Antibiotic Susceptibility testing													Phenotype		Genotype					
		AMP	FOX	ATM	CTX	TZP	FEP	AMC	CAZ	CARB	COL**	GNT	SXT	CIP	TGC	% of ESBL producers	% of ampC producers	% of ESBL/ampC producers	% of colistin-resistant mcr-1 positives	% of CTX-M positives	% of TEM positives	% of SHV positives
Chicken	<i>E. coli</i> (n = 302)	298 (99)	175 (58)	177 (59)	144 (48)	35 (12)	101 (33)	158 (52)	165 (55)	0	284 (94)	237 (78)	250 (83)	285 (94)	12 (4)	33	18	9	81	55	91	18
	<i>K.pneumoniae</i> (n = 30)	30 (100)	12 (40)	1 (3)	1 (3)	4 (13)	1 (3)	18 (60)	1 (3)	0	30 (100)	29 (97)	30 (100)	30 (100)	0	3			100			
Workers	<i>E. coli</i> (n = 10)	9 (90)	1 (10)	5 (50)	5 (50)	0	5 (50)	2 (20)	4 (40)	0	6 (60)	6 (60)	5 (50)	7 (70)	0	50	10		60	100	20	
	<i>K.pneumoniae</i> (n = 1)	1 (100)	0	0	0	0	0	0	0	0	1 (100)	1 (100)	1 (100)	1 (100)	0				100			
Litter	<i>E. coli</i> (n = 18)	17 (94)	13 (72)	17 (94)	15 (83)	0	11 (61)	11 (61)	13 (72)	0	1 (6)	15 (83)	17 (94)	17 (94)	0	61		33	6	94	94	
Feed	<i>A. baumannii</i> * (n = 3)	3 (100)	3 (100)	3 (100)	3 (100)	0	3 (100)	3 (100)	3 (100)	0	1 (33)	0	0	1 (33)	0	67		33		100	67	
	<i>P. aeruginosa</i> * (n = 3)	3 (100)	2 (67)	1 (33)	1 (33)	0	1 (33)	2 (67)	0	0	0	1 (33)	3 (100)	1 (33)	1 (33)	100				100	67	
	<i>S. rubideae</i> (n = 1)	1 (100)	1 (100)	0	1 (100)	0	0	1 (100)	0	0	0	0	1 (100)	0	1 (100)	100				100		
	<i>A. xylooxidans</i> (n = 1)	1 (100)	1 (100)	1 (100)	1 (100)	1 (100)	1 (100)	1 (100)	1 (100)	0	0	1 (100)	0	1 (100)	0	100				100		
	<i>E. coli</i> (n = 2)	2 (100)	0	0	0	0	0	0	0	0	2 (100)	2 (100)	2 (100)	2 (100)	0				100			
Soil	<i>P. putida</i> * (n = 4)	4 (100)	4 (100)	4 (100)	4 (100)	0	4 (100)	4 (100)	4 (100)	0	0	0	4 (100)	0	4 (100)	50	50			50	50	
	<i>P. monteilii</i> * (n = 2)	2 (100)	2 (100)	2 (100)	2 (100)	0	2 (100)	2 (100)	1 (50)	0	0	0	2 (100)	2 (100)	2 (100)	100				100	50	
	<i>A. baumannii</i> * (n = 3)	3 (100)	3 (100)	3 (100)	3 (100)	2 (67)	3 (100)	3 (100)	3 (100)	0	0	2 (67)	2 (67)	2 (67)	2 (67)		33	67		67	33	
	<i>S. maltophilia</i> (n = 4)	4 (100)	4 (100)	4 (100)	4 (100)	1 (25)	2 (50)	4 (100)	1 (25)	0	0	0	4 (100)	1 (25)	3 (75)	50				50	50	25
	<i>E. cloacae</i> (n = 4)	4 (100)	4 (100)	4 (100)	4 (100)	2 (50)	4 (100)	4 (100)	3 (75)	0	0	3 (75)	3 (75)	3 (75)	0	50		50		25	75	25
	<i>E. coli</i> (n = 5)	5 (100)	4 (80)	5 (100)	4 (80)	0	3 (60)	4 (80)	5 (100)	0	0	2 (40)	5 (100)	4 (80)	3 (60)	100				80	100	20
	<i>O. haematophilum</i> (n = 1)	1 (100)	1 (100)	1 (100)	1 (100)	0	1 (100)	1 (100)	1 (100)	0	0	0	1 (100)	0	1 (100)	100						

Resistance profiles are presented as number (percentage).

533 *: susceptibility to carbapenem was based on imipenem and meropenem.

534 **: colistin resistance was determined by colistin broth micro-dilution test.

535 n = number, % = percentage, AMP = ampicillin, CTX = cefotaxime, AZT = aztreonam, FOX = ceftazidime, CAZ = ceftazidime, AMC = amoxicillin-clavulanic acid,

536 FEP = cefepime, TZP = piperacillin-tazobactam, CARB= carbapenems i.e. imipenem, meropenem and ertapenem, COL = colistin, TGC = tigecycline, SXT =

537 trimethoprim-sulfamethoxazole, CIP = ciprofloxacin, GNT = gentamicin

Figure Legends

Figure 1. Prevalence of colistin-resistant and ESBL/ampC producing Gram-negative bacilli in chicken, farmers and environment. Prevalence is expressed as “number of positive samples (percentage)” C = chicken, W = worker, S = soil, L = litter, F = feed. Red highlight = colistin resistance, Black highlight = ESBL/ampC.

Figure 2. MSP Dendrogram of A) *E. coli* strains isolated from Chicken in 2015, B) negative ESBL positive *mcr-1* isolates and ESBL *E. coli* strains isolated from chicken in 2017 and C) *E. coli* strains isolated from chicken in Saida region in 2015 along with the ESBL and negative ESBL positive *mcr-1* *E. coli* strains isolated from chicken in 2017.

Figure 3. A) Comparison of the antibiotic and resistance genes prevalence in ESBL *E. coli* strains isolated from Saida region in 2015 and the ESBL strains *E. coli* strains isolated from chicken in 2017 B) Comparison of gentamicin and colistin resistance prevalence in ESBL and non ESBL *mcr-1* positive *E. coli* strains isolated in 2017. † = P value ≤ 0.05

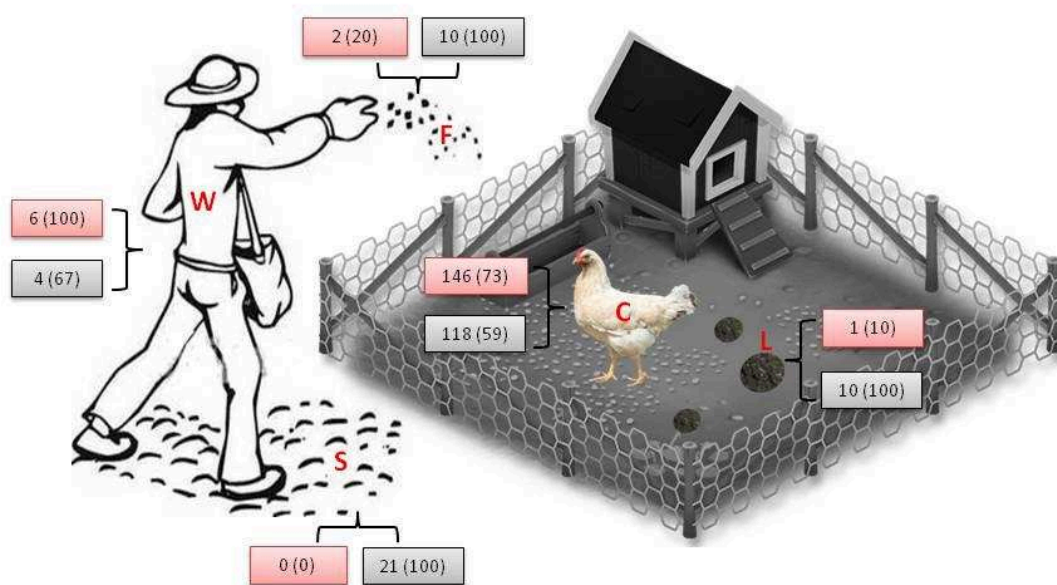


Figure 1. Prevalence of colistin-resistant and ESBL/ampC producing Gram-negative bacilli in chicken, farmers and environment. Prevalence is expressed as “number of positive samples (percentage)” C = chicken, W = worker, S = soil, L = litter, F = feed. Red highlight = colistin resistance, Black highlight = ESBL/ampC.

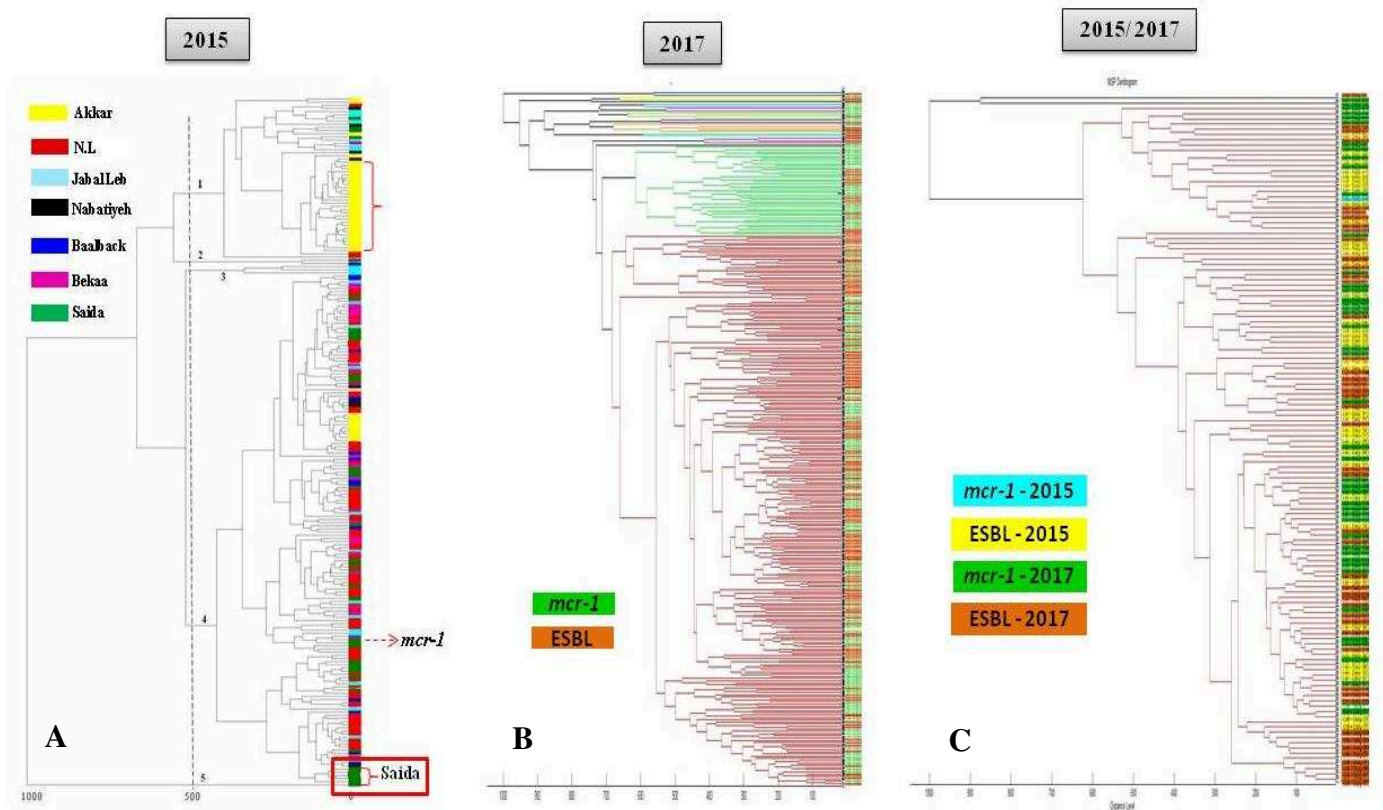


Figure 2. MSP Dendrogram of A) *E. coli* strains isolated from Chicken in 2015, B) negative ESBL positive *mcr-1* isolates and ESBL *E. coli* strains isolated from chicken in 2017 and C) *E. coli* strains isolated from chicken in Saida region in 2015 along with the ESBL and negative ESBL positive *mcr-1* *E. coli* strains isolated from chicken in 2017.

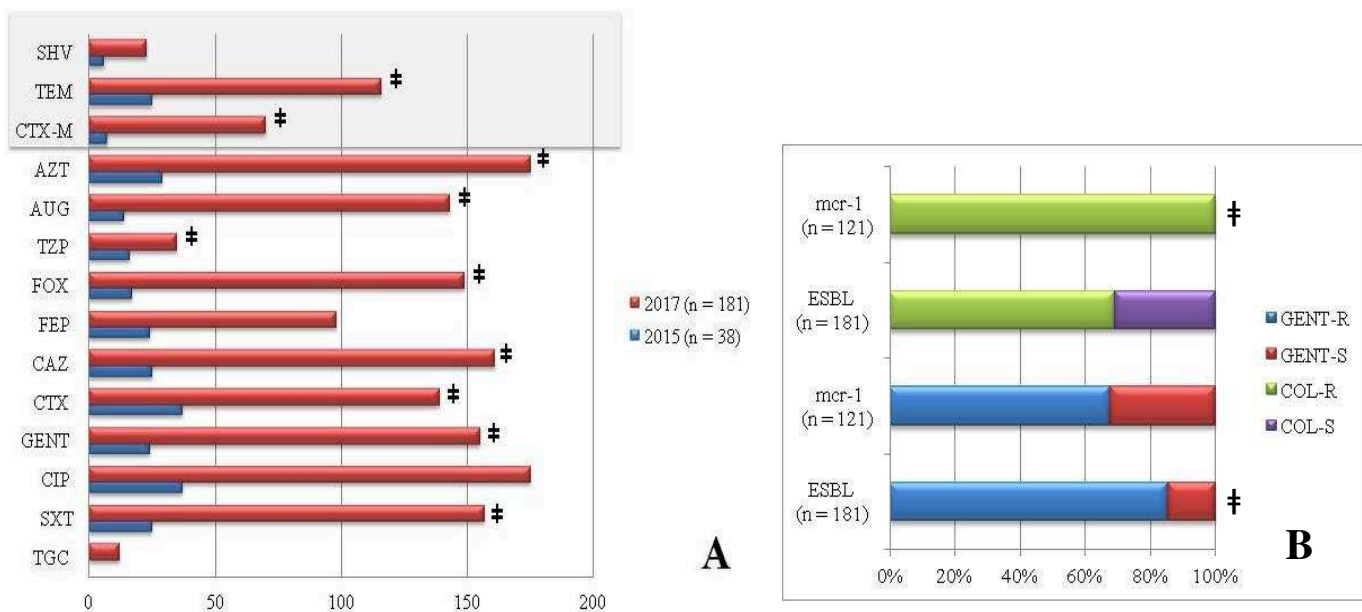


Figure 3. A) Comparison of the antibiotic and resistance genes prevalence in ESBL *E. coli* strains isolated from Saida region in 2015 and the ESBL strains *E. coli* strains isolated from chicken in 2017 B) Comparison of gentamicin and colistin resistance prevalence in ESBL and non ESBL mcr-1 positive *E. coli* strains isolated in 2017. † = P value ≤ 0.05

Conclusion of Chapter II

In Lebanon, ESBL and ampC producing Gram-negative bacilli are highly prevalent in chicken and swine farms. The main genes promoting beta lactam resistance were CMY, TEM and CTX-M beta lactamases (1). Like the studies conducted in cattle in Lebanon (2) and in several countries worldwide (3) (4), we have found that chicken and pigs are hidden reservoirs of mcr-1 colistin resistant Gram-negative bacilli. The dissemination of mcr-1 is huge in pigs, chicken and surprisingly in the farm's workers. The dissemination of resistance in poultry in Lebanon appears to be multi-clonal and mediated by the diffusion of plasmids carrying resistance genes. Questionable sanitary conditions, food quality, waste management and antibiotic consumption are all potent contributors to the emergence and spread of ESBL/ampC and colistin resistant Gram-negative bacilli in farm animals of Lebanon. Besides banning colistin use in the veterinary section in Lebanon; future work should rely on the possible infection control measures that can be taken at the national level in order to limit the dissemination of colistin resistance in livestock. In addition, surveillance studies targeting the spread of mcr-1 colistin resistant Gram-negative bacilli are warranted in the clinical and community settings of Lebanon in order to quantify the magnitude of this emerging problem. During our surveillance study in 2015 we have isolated a colistin hetero-resistant *Enterobacter cloacae* strain from a chicken farm in the south of Lebanon. The strain was mcr negative and the mechanism of colistin resistance was unknown; hence the aim of the third chapter of this manuscript.

References

1. **Dandachi I, Sokhn ES, Dahdouh E, Azar E, El-Bazzal B, Rolain J, et al.** Prevalence and Characterization of Multi-Drug-Resistant Gram-Negative Bacilli Isolated From Lebanese Poultry: A Nationwide Study. *Frontiers in microbiology*. 2018;9:550.
2. **Diab M, Hamze M, Madec JY, Haenni M.** High Prevalence of Non-ST131 CTX-M-15-Producing *Escherichia coli* in Healthy Cattle in Lebanon. *Microb Drug Resist*. 2016 Jun 15.
3. **Maciuca IE, Williams NJ, Tuchilus C, Dorneanu O, Guguianu E, Carp-Carare C, et al.** High Prevalence of *Escherichia coli*-Producing CTX-M-15 Extended-Spectrum Beta-Lactamases in Poultry and Human Clinical Isolates in Romania. *Microb Drug Resist*. 2015 Dec;21(6):651-62.
4. **Trung NV, Matamoros S, Carrique-Mas JJ, Nghia NH, Nhung NT, Chieu TT, Mai HH, van Rooijen W, Campbell J, Wagenaar JA, et al.** Zoonotic transmission of *mcr-1* colistin resistance gene from small-scale poultry farms, vietnam. *Emerg Infect Dis* 2017 Mar;23(3):529-32.

Chapitre III

Genomic Analysis of a colistin Hetero-resistant *Enterobacter cloacae* isolate.

Introduction

Colistin belongs to the polymyxin family of antibiotics (1). Previously abandoned due to its nephrotoxicity and neurotoxicity inside the human body; colistin was re-introduced into the clinical settings in view of the dissemination of carbapenem resistant Gram-negative bacilli (2). The use of colistin was thereafter faced with the emergence of colistin resistance. In Gram-negative bacilli, this latter is mediated either through the acquisition of a *mcr* colistin resistance gene or via chromosomal mutations that promotes the modification of the lipid A moiety of the lipopolysaccharide chain (3). More recent studies highlighted the contribution of the resistance nodulation division (RND) family of efflux pumps in resistance to colistin in Gram-negative bacilli (4).

Article 7 entitled “**Colistin Hetero-resistance in *Enterobacter cloacae* from Lebanon mediated by over-expression of *acrAB-tolC* efflux pump through inactivation of *acrR* local repressor gene**”, we investigated the mechanism of colistin hetero-resistance in an *Enterobacter cloacae* strain isolated in 2015 from a chicken farm located in the south of Lebanon. The strain was *mcr* negative and presented with an elevated colistin MIC up to 1024 μ g/ml. New primers were designed in order to explore any mutations in the *pmrA*, *pmrB*, *phoP*, *phoQ* and *mgrB* genes. Carbonyl Cyanide *m*-Chlorophenylhydrazine test, quantitative RT-PCR to determine any over-expression of *acrAB/tolC* efflux pump as well as whole genome sequencing were used to decipher the mechanism of colistin hetero-resistance in this isolate. The strain presented with an elevated colistin MIC up to 1024 μ g/ml and had no mutations in the genes commonly known to mediate colistin resistance in Gram-negative bacilli. qRT-PCR showed an over-expression of the *acrAB-tolC* efflux pumps. Using whole genome sequencing, it appears that this over-expression was mediated by a deletion of three amino acids in the local repressor gene “*acrR*” of the *acrAB-tolC* efflux pump.

References

1. **Rhouma M, Beaudry F, Letellier A.** Resistance to colistin: what is the fate for this antibiotic in pig production? *Int J Antimicrob Agents* 2016 Aug;48(2):119-126.
2. **Olaitan AO, Li J.** Emergence of polymyxin resistance in Gram-negative bacteria. *Int J Antimicrob Agents* 2016 Dec;48(6):581-582.
3. **Baron S, Hadjadj L, Rolain JM, Olaitan AO.** Molecular mechanisms of polymyxin resistance: knowns and unknowns. *Int J Antimicrob Agents* 2016 Dec;48(6):583-591.
4. Telke AA, Olaitan AO, Morand S, Rolain JM. *soxRS* induces colistin hetero-resistance in *Enterobacter asburiae* and *Enterobacter cloacae* by regulating the *acrAB-tolC* efflux pump. *J Antimicrob Chemother.* 2017 Oct 1;72(10):2715-21.

Article 7

Colistin Hetero-resistance in *Enterobacter cloacae* from Lebanon mediated by over-expression of *acrAB-tolC* efflux pump through inactivation of *acrR* local repressor gene.

Iman Dandachi, Sophie Baron, Linda Hadjadj, Ziad Daoud, Seydina M.Dienne, Jean-Marc Rolain.

To be submitted to **Journal of Antimicrobial Chemotherapy**

Impact Factor: 5.217

1 **Colistin hetero-resistance in *Enterobacter cloacae* from Lebanon mediated by over-**
2 **expression of *acrAB-tolC* efflux pump through inactivation of the *acrR* local repressor**
3 **gene.**

4 **Iman Dandachi^{1,2}, Sophie Baron¹, Linda Hadjadj¹, Ziad Daoud², Seydina Dienne¹,**
5 **Jean-Marc Rolain¹.**

6
7 ¹ Aix Marseille Univ, IRD, APHM, MEPHI, IHU-Méditerranée Infection, Marseille, France.

8 ² Faculty of Medicine and Medical Sciences, Clinical Microbiology Laboratory, University of
9 Balamand, PO Box 33, Amioun, Beirut, Lebanon.

10
11 *Correspondence

12 Pr. Jean-Marc Rolain

13 Editor-in-Chief

14 International Journal of Antimicrobial Agents

15 IHU Méditerranée-Infection

16 Marseille, France

17 Tel: ++33 491324375/ Fax: ++33 491387772

18 Email: jean-marc.rolain@univ-amu.fr

19
20 Abstract word count = 195

21 Text word count = 1873

22 Number of references = 36

23 Number of tables = 1

24 Number of figures = 1

25
26 **Running title:** Colistin hetero-resistance in *E. cloacae*

27 **Keywords:** *acrR*, *E. cloacae*, colistin hetero-resistance, *acrAB-tolC*

32 **Abstract**

33 **Objectives**

34 Nowadays, the dissemination of colistin resistance has raised major concerns. Indeed, colistin
35 is currently considered the last resort therapeutic agent against multi-drug resistant
36 organisms. During a surveillance conducted in chicken farms in Lebanon in 2015, we isolated
37 a colistin hetero-resistant *Enterobacter cloacae* strain. The aim of this study was to explore
38 the mechanism of colistin hetero-resistance in this atypical *E. cloacae* isolate.

39 **Methods**

40 Carbonyl Cyanide m-Chlorophenylhydrazine test, mRNA quantification and whole genome
41 sequencing were used to decipher the mechanism of colistin hetero-resistance in the isolated
42 *E. cloacae* strain from chicken.

43 **Results**

44 The strain *E. cloacae* isolated from in southern Lebanon in 2015 was an ampC producer
45 harboring the MIR-20 gene and was hetero-resistant to colistin with an MIC of 1024 µg/ml.
46 The strain was positive with the CCCP test and showed an over-expression of the acrAB-tolC
47 efflux pump. Whole genome sequencing revealed a deletion of three amino acids in the
48 acrAB-tolC local repressor gene “acrR”; this mutation was annotated as deleterious with
49 PROVEAN.

50 **Conclusion**

51 We have recently reported that colistin hetero-resistance in *E. cloacae* could be mediated by
52 the over-expression of the acrAB-tolC efflux pump. This study highlighted the importance of
53 efflux pumps repressors in controlling the susceptibility of Gram-negative bacilli toward
54 colistin.

55

56

57

58

59

60

61

62

63 **Introduction**

64 Enterobacter species including Enterobacter cloacae are ubiquitous opportunistic pathogens
65 that are widely encountered in nature and in human/ animals' intestinal microbiota (1). Multi-
66 drug resistance in these species occurs via the production of ampC beta lactamases, ESBL
67 and carbapenemases (2). Recently, colistin resistance has also emerged in Enterobacter spp.
68 This latter is provoked via the acquisition of mcr colistin resistance gene or via chromosomal
69 mutations that lead to the modification of the lipid A moiety of the lipopolysaccharide chain
70 (3). Other mechanisms of colistin resistance include capsule formation and efflux pump
71 utilization (3). In fact, it has been shown that efflux pumps are key players in the intrinsic
72 resistance of bacterial species against a variety of substances including detergents, dyes and
73 antimicrobial agents (4). Among others, the resistance nodulation division family of efflux
74 pumps has been described in the literature as a potent contributor to the multi-drug resistance
75 phenotype observed in clinically relevant bacterial species (5)(6). This is mainly owing to
76 their broad spectrum of substrate specificity and their tripartite structure that allows the
77 exclusion of molecules outside the bacterial cell directly from the cytosol and the cytoplasmic
78 space (5).

79 During surveillance study conducted in Lebanon in 2015, one colistin hetero-resistant E.
80 cloacae strain was isolated from poultry in the south. Colistin hetero-resistance is defined as
81 colistin susceptible isolates with MIC below 2 µg/mL from which a subpopulation growing in
82 the presence of >2µg/mL of colistin are detected (6). The mechanisms of colistin resistance
83 are not well understood in Enterobacter species. The aim of this study was therefore to
84 explore the mechanism of the colistin hetero-resistance phenotype observed.

85

86 **Materials and methods**

87 **Samples collection and strain isolation**

88 In August 2015, we conducted a nationwide surveillance study in Lebanon, aiming at
89 determining the prevalence of ESBL/ampC producers in poultry (2). In brief, 981 fecal swabs
90 were collected from chicken farms distributed over the seven districts in Lebanon. The swabs
91 were subcultured on a selective medium for the screening of beta lactamase producers.
92 MALDI-TOF MS spectrometry was used for bacterial identification (2).

93

94 **Phenotypic testing**

95 Antibiotic susceptibility testing was performed as previously described (2). Double disk
96 synergy test, ampC disk test and carba NP test, were used for the detection of different beta

97 lactamases (2). Broth micro-dilution test was performed for colistin MIC determination (7).
98 Furthermore, Carbonyl Cyanide m-Chlorophenylhydrazine (CCCP) test was done to assess
99 the possible contribution of efflux pumps to colistin resistance (8).

100

101 **Molecular characterization of colistin resistant and beta lactamase genes**

102 Colistin resistance genes *phoP*, *phoQ*, *pmrA*, *pmrB* and *mgrB* were amplified and sequenced
103 using newly designed primers (supplementary table 1). RT-PCR analysis was used for the
104 detection of CTX-M, SHV, TEM and *mcr-1/2* genes (2)(7). Furthermore, simplex PCR
105 assays and sequencing were conducted for the screening of FOX, MOX, ACC, EBC, DHA,
106 CMY ampC beta lactamase genes (2).

107

108 **Quantitative RT-PCR of *acrAB-tolC* efflux pump**

109 Total bacterial RNA was extracted using the TRI REAGENT® - RNA /DNA /PROTEIN
110 ISOLATION REAGENT kit (Thermofisher) and quantified with the Nano-Drop ND-1000-
111 UV-Vis Spectrophotometer (Applied Biosystems, Carlsbad, CA, USA). Using Super Script
112 Platinum One-Step Quantitative RT-PCR system with ROX kit (Thermo Fisher Scientific
113 Inc.) the transcriptional levels of *acrA*, *acrB* and *tolC* genes were quantified (6). The *rpoB*
114 housekeeping gene was used as internal control. The fold change in gene expression was
115 calculated by the comparative threshold cycle (CT) method (6). Colistin susceptible *E.*
116 *cloacae* NH141 (6) was used for the comparative analysis of *acrAB-tolC* efflux pump
117 expression.

118

119 **Whole genome sequencing and annotation**

120 Total genomic DNA of the isolated colistin hetero-resistant *E. cloacae* was sequenced on the
121 MiSeq sequencer (Illumina, San Diego, CA, USA) with the Mate Pair strategy (6). Genomic
122 assembly was done using CLC genomics WB4 version 4.9 and A5-miseq pipeline (6).
123 Multiple genomic sequence alignment was performed with Mauve alignment tool (6).
124 Genome annotation was done by Rapid Annotation using Subsystem Technology (RAST)
125 (9). The nucleotide and protein sequences obtained were blasted against GenBank database
126 (10). The Sequence type of the isolated strain was identified using the center for genomic
127 epidemiology MLST1.8 (11). ARG-ANNOT was used for the detection of antibiotic
128 resistance genes in Silico(6). Protein Variation Effect Analyzer (PROVEAN) was used to
129 predict the functional effect of amino acids mutations within protein sequences (12).

130

131 **Results**

132 **Phenotypic analysis**

133 The isolated *E. cloacae* strain was susceptible to carbapenems, resistant to cefotaxime,
134 cefoxitin, ceftazidime, ciprofloxacin, gentamicin and trimethoprim-sulfamethoxazole and was
135 surprisingly hetero-resistant to colistin (supplementary figure 1 A). AmpC disk test was
136 positive. Broth micro-dilution test revealed that this isolate had a colistin MIC of 1024µg/ml.
137 As shown in supplementary Figure 1, the *E. cloacae* was hetero-resistant to colistin and upon
138 the addition of CCCP, the resistant subpopulation have disappeared.

139

140 **Genotypic and transcriptional analysis**

141 PCR amplification and sequencing showed that the strain harbored the MIR-20 ampC beta
142 lactamase gene. No *mcr* colistin resistance genes were detected. Furthermore, no mutations
143 were found in the *pmrA/B*, *phoP/Q* and *mgrB* genes. Quantitative RT-PCR revealed an over-
144 expression of the *acrAB-tolC* efflux pump in the colistin hetero-resistant *E. cloacae* strain
145 compared to the susceptible one (supplementary table 2). In order to investigate the
146 mechanism of efflux pump over-expression, whole genome sequencing was thus performed.

147

148 **Genome analysis**

149 The colistin hetero-resistant *E. cloacae* genome was 5 444 571 bp long with 55% GC content.
150 Three plasmids were identified: IncHI2, IncHI2A and IncA/C2. The genome is composed of
151 5107 protein coding sequences and 77 RNAs. In silico analysis revealed the presence of
152 resistance genes against aminoglycosides “AadA1 and AadA2”, trimethoprim-
153 sulfamethoxazole “SUL1”, fluoroquinolones “FlqOqxBgb and FlqOqxA”, florfenicol “FloR”
154 and macrolides “MphE” (table 1). MLST 1.8 showed that the strain belonged to ST523.
155 Analysis of the nucleotide and protein sequence showed the presence of truncated *ompF* and
156 *pmrC* genes. Furthermore, a deletion of three amino acids “DLE” at position 72-74 in the
157 *acrAB-tolC* local repressor gene “*acrR*” gene was detected. This latter mutation was
158 annotated by PROVEAN as being deleterious with a score of “-16.544” (figure 1).

159

160 **Discussion**

161 Recently, evidence has shown that livestock are contributors to the dissemination of multi-
162 drug resistance in humans (2). Colistin hetero-resistance is of particular interest in the clinical
163 settings; since this latter cannot be easily discriminated based on routine diagnostic testing.
164 As a consequence, upon exposure to colistin, the undetected resistant subpopulation might

165 proliferate and lead to therapeutic failures in addition to inducing cross resistance to the host
166 antimicrobial lysozyme(6). In GNB, colistin hetero-resistance was previously described in
167 *Acinetobacter baumannii*, *Klebsiella pneumoniae* and in *Enterobacter* spp (6). In their study,
168 Guerin et al. attributed colistin hetero-resistance in clinical isolates of *E. cloacae* to the
169 expression of the *arn* operon and the *phoP/Q* two component system (13). More recently, we
170 have shown that over-expression of the *acrAB-tolC* efflux pumps mediated by naturally
171 produced level of *soxRS* induces colistin hetero-resistance in *E. cloacae* clinical isolates (6).
172 In our isolated colistin hetero-resistant *E. cloacae* strain, no mutations were detected in the
173 genes commonly known to promote colistin resistance in GNB. Based on the aforementioned
174 study conducted by Telke et al., the over-production of efflux pump was thus suggested. The
175 colistin hetero-resistant *E. cloacae* strain was positive with the *cccP* test revealing a possible
176 contribution of efflux pumps to colistin resistance. CCCP is an efflux pump inhibitor that
177 increases the bacterial membrane permeability by interfering with the proton motive force
178 and electrochemical gradient (14) . Transcriptional analysis revealed an over-expression of
179 the *acrAB-tolC* efflux pump in the colistin hetero-resistant *E. cloacae*. Indeed, in the
180 literature, the over-expression of efflux pumps was mainly attributed to mutations in the local
181 repressor genes, global regulatory gene, promoter region of the transporter gene or insertion
182 elements upstream the transporter gene (5). Our findings are consistent with the previous
183 studies, in that a deleterious deletion of three amino acids was found in the local repressor
184 gene of the *acrAB-tolC* efflux pump “*acrR*”. The *acrAB-tolC* efflux pump system in *E.*
185 *cloacae* is similar to the one described in *E. coli* (15). The expression of this efflux pump is
186 tightly regulated by the local repressor *acrR* and global activators *marA*, *Rob* and *soxRS* (16).
187 *acrR* mutation mediating over-expression of *acrAB-tolC* was previously reported as being
188 responsible for tigecycline and ciprofloxacin resistance in *E. coli* strains (17)(18). Warner et
189 al. found that deletion of the *acrR* gene affected polymyxin B susceptibility of *E. coli* strains
190 when grown in Luria broth (16). As for *E. cloacae*, our study is the first to associate the
191 mutation of the *acrR* gene with colistin resistance by *acrAB-tolC* over-expression. The
192 truncated *ompF* porin in the isolated colistin hetero-resistant *E. cloacae* might have
193 contributed to the resistance pattern observed towards fluoroquinolones and beta-lactam
194 antibiotics (19). As for the truncated *pmrC* gene, more genomic work is warranted in order to
195 decipher the effect of *pmrC* inactivation on colistin susceptibility in GNB. *pmrC* was reported
196 in the literature as a mediator of colistin resistance via its over-expression and subsequent
197 addition of a pEtN group to the LPS chain (20), however the effect of its inactivation on
198 antibiotic resistance remains unknown.

199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232

Acknowledgement

We thank CookieTrad for English corrections.

Funding

This work was supported by the Lebanese Council for Research and the French Government under the « Investissements d’avenir » (Investments for the Future) program managed by the Agence Nationale de la Recherche (ANR, fr: National Agency for Research), (reference: Méditerranée Infection 10-IAHU-03

Transparency Declarations

No conflict of interest or financial disclosure for all authors

233 **References**

- 234 1. Guerin F, Lallement C, Isnard C, Dhalluin A, Cattoir V, Giard JC. Landscape of
235 Resistance-Nodulation-Cell Division (RND)-Type Efflux Pumps in *Enterobacter cloacae*
236 Complex. *Antimicrob Agents Chemother*. 2016 Mar 25;60(4):2373-82.
- 237 2. Dandachi I, Sokhn ES, Dahdouh E, Azar E, El-Bazzal B, Rolain J, et al. Prevalence and
238 Characterization of Multi-Drug-Resistant Gram-Negative Bacilli Isolated From Lebanese
239 Poultry: A Nationwide Study. *Frontiers in microbiology*. 2018;9:550.
- 240 3. Baron S, Hadjadj L, Rolain JM, Olaitan AO. Molecular mechanisms of polymyxin
241 resistance: knowns and unknowns. *Int J Antimicrob Agents*. 2016 Dec;48(6):583-91.
- 242 4. Perez A, Poza M, Aranda J, Latasa C, Medrano FJ, Tomas M, et al. Effect of
243 transcriptional activators SoxS, RobA, and RamA on expression of multidrug efflux pump
244 AcrAB-TolC in *Enterobacter cloacae*. *Antimicrob Agents Chemother*. 2012
245 Dec;56(12):6256-66.
- 246 5. Sun J, Deng Z, Yan A. Bacterial multidrug efflux pumps: mechanisms, physiology and
247 pharmacological exploitations. *Biochem Biophys Res Commun*. 2014 Oct 17;453(2):254-67.
- 248 6. Telke AA, Olaitan AO, Morand S, Rolain JM. soxRS induces colistin hetero-resistance in
249 *Enterobacter asburiae* and *Enterobacter cloacae* by regulating the acrAB-tolC efflux pump. *J*
250 *Antimicrob Chemother*. 2017 Oct 1;72(10):2715-21.
- 251 7. Dandachi I, Leangapichart T, Daoud Z, Rolain JM. First Detection of mcr-1 plasmid
252 mediated colistin resistant *E.coli* in Lebanese poultry. *J Glob Antimicrob Resist*. 2018 Jan 16.
- 253 8. Ni W, Li Y, Guan J, Zhao J, Cui J, Wang R, et al. Effects of Efflux Pump Inhibitors on
254 Colistin Resistance in Multidrug-Resistant Gram-Negative Bacteria. *Antimicrob Agents*
255 *Chemother*. 2016 Apr 22;60(5):3215-8.
- 256 9. Aziz RK, Bartels D, Best AA, DeJongh M, Disz T, Edwards RA, et al. The RAST Server:
257 rapid annotations using subsystems technology. *BMC Genomics*. 2008 Feb 8;9:75,2164-9-75.
- 258 10. Benson DA, Karsch-Mizrachi I, Lipman DJ, Ostell J, Wheeler DL. GenBank. *Nucleic*
259 *Acids Res*. 2005 Jan 1;33(Database issue):D34-8.
- 260 11. Larsen MV, Cosentino S, Rasmussen S, Friis C, Hasman H, Marvig RL, et al. Multilocus
261 sequence typing of total-genome-sequenced bacteria. *J Clin Microbiol*. 2012 Apr;50(4):1355-
262 61.
- 263 12. Choi Y, Sims GE, Murphy S, Miller JR, Chan AP. Predicting the functional effect of
264 amino acid substitutions and indels. *PLoS One*. 2012;7(10):e46688.

- 265 13. Guerin F, Isnard C, Sinel C, Morand P, Dhalluin A, Cattoir V, et al. Cluster-dependent
266 colistin hetero-resistance in *Enterobacter cloacae* complex. *J Antimicrob Chemother.* 2016
267 Nov;71(11):3058-61.
- 268 14. Osei Sekyere J, Amoako DG. Carbonyl Cyanide m-Chlorophenylhydrazine (CCCP)
269 Reverses Resistance to Colistin, but Not to Carbapenems and Tigecycline in Multidrug-
270 Resistant *Enterobacteriaceae*. *Front Microbiol.* 2017 Feb 14;8:228.
- 271 15. Perez A, Poza M, Fernandez A, Fernandez Mdel C, Mallo S, Merino M, et al.
272 Involvement of the AcrAB-TolC efflux pump in the resistance, fitness, and virulence of
273 *Enterobacter cloacae*. *Antimicrob Agents Chemother.* 2012 Apr;56(4):2084-90.
- 274 16. Warner DM, Levy SB. Different effects of transcriptional regulators MarA, SoxS and
275 Rob on susceptibility of *Escherichia coli* to cationic antimicrobial peptides (CAMPs): Rob-
276 dependent CAMP induction of the marRAB operon. *Microbiology.* 2010 Feb;156(Pt 2):570-
277 8.
- 278 17. Sato T, Suzuki Y, Shiraishi T, Honda H, Shinagawa M, Yamamoto S, et al. Tigecycline
279 Nonsusceptibility Occurs Exclusively in Fluoroquinolone-Resistant *Escherichia coli* Clinical
280 Isolates, Including the Major Multidrug-Resistant Lineages O25b:H4-ST131-H30R and O1-
281 ST648. *Antimicrob Agents Chemother.* 2017 Jan 24;61(2):10.1128/AAC.01654,16. Print
282 2017 Feb.
- 283 18. Chakrabarty RP, Sultana M, Shehreen S, Akter S, Hossain MA. Contribution of target
284 alteration, protection and efflux pump in achieving high ciprofloxacin resistance in
285 *Enterobacteriaceae*. *AMB Express.* 2016 Dec;6(1):126,016-0294-9. Epub 2016 Dec 21.
- 286 19. Li XZ, Plesiat P, Nikaido H. The challenge of efflux-mediated antibiotic resistance in
287 Gram-negative bacteria. *Clin Microbiol Rev.* 2015 Apr;28(2):337-418.
- 288 20. Poirel L, Jayol A, Nordmann P. Polymyxins: Antibacterial Activity, Susceptibility
289 Testing, and Resistance Mechanisms Encoded by Plasmids or Chromosomes. *Clin Microbiol*
290 *Rev.* 2017 Apr;30(2):557-96.

291
292
293
294
295

296

297

298 **Table 1.** Phenotypic versus genotypic characteristics of the colistin hetero-resistant *Enterobacter cloacae*

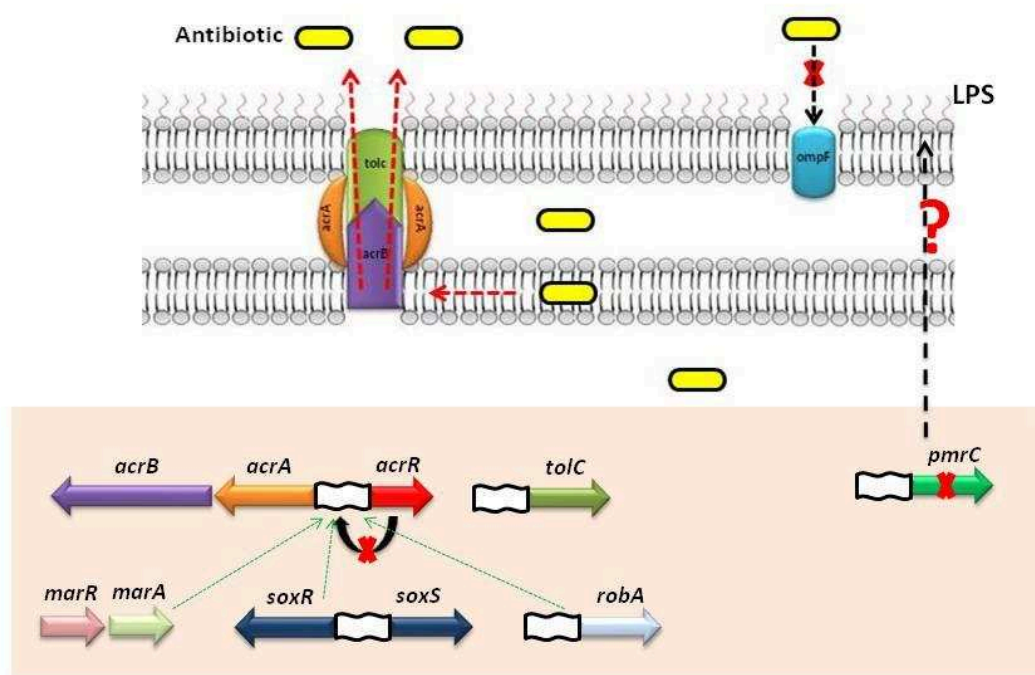
Strain	Sequence Type	Isolation date	Sample origin	Sample type	Colistin MIC	Resistance profile	Resistance genes	Chromosomal mutations	
299	<i>E. cloacae</i>	ST523	Aug-15	Chicken	Fecal swab	1024 µg/ml	CTX, CAZ, FOX, GENT	MIR-20, AadA1/AadA2,	acrR: D72_E74del S75G
300						SXT	SULI,	pmrC: Codon Stop71C	
301						CIP	FlqOqxBgb/FlqOqxA, FloR, MphE	ompF: Codon Stop136V	

302

303 Aug-15 = August 2015, CTX = cefotaxime, CAZ = ceftazidime, FOX = cefoxitin, GENT = gentamicin, CIP = ciprofloxacin, SXT =

304 trimethoprim-sulfamethoxazole

305



306

307

308 **Figure 1.** Suggested mechanism of colistin hetero-resistance in the isolated *E. cloacae*. Green
309 arrows: activators, red arrow: repressor.

310

311

312

313

314

315

316

317

318

319

320

321

322

323

Supplementary Material324 **Table 1.** Primers designed for colistin resistance genes amplification in this study

Target Gene	Primer name	Sequence (5' - 3')	Length (base pair)	Amplicon size (base pair)	Annealing Temperature
mgrB	mgrB-F	CCATTCACCACCTCAATAAAAA	23	296	55°C
	mgrB-R	TGACAGTACAGTTAGCCCCTGTT	23		
phoP	phoP-E-F	CCACAACAACATAATCAGCGTTA	23	980	55°C
	phoP-E-R	GCCAGGGTATAAAAACAGATTGCT	23		
	phoP-I-F	GAAGACGGTCTGTCGCTAATTC	22	360	55°C
	phoP-I-R	GAGCATTAAAGGAATCTTTGCTCA	23		
phoQ	phoQ-E-F	CAGGCTCTTACTGACTCGGATTA	23	1630	55°C
	phoQ-E-R	GACGTTTGCGTAAAGAAAATTCAG	23		
	phoQ-I-F	GTTACCCCTTTACGCTGATAC	22	668	55°C
	phoQ-I-R	AGCAAAAAGCTGAACGAGATCC	21		
pmrA	pmrA-E-F	CAGCCAGATGACGCTTATCA	20	865	55°C
	pmrA-E-R	CGTATGGCATTTCGTGCAGTA	20		
	pmrA-I-F	GGTTTGAGATTCAGCGTAATA	22	394	55°C
	pmrA-I-R	AGACGATCTGTTATTGCAGGAAG	23		
pmrB	pmrB-E-F	AGGCGTCGAGTTCATCTACAAG	22	1198	55°C
	pmrB-E-R	CTTGAAGTCCACATTCACAACCT	23		
	pmrB-I-F	GTCACTGTAATCGTTGTCCTGT	23	480	55°C
	pmrB-I-R	TCCTATGTATTCCGACATGGAAG	23		

325

326 F = forward, R = reverse, E = external fragment, I = internal fragment.

327

328 **Table 2.** Relative expression of *acrAB/tolC* in the colistin hetero-resistant *E. cloacae*

Gene	Col S- <i>E.cloacae</i>	Col R- <i>E.cloacae</i>	P value
<i>tolC</i>	1.0 ± 0.03	2.06 ± 0.04	<0.0001
<i>acrA</i>	1.0 ± 0.02	1.91 ± 0.07	0.0002
<i>acrB</i>	1.0 ± 0.05	1.93 ± 0.05	0.0003

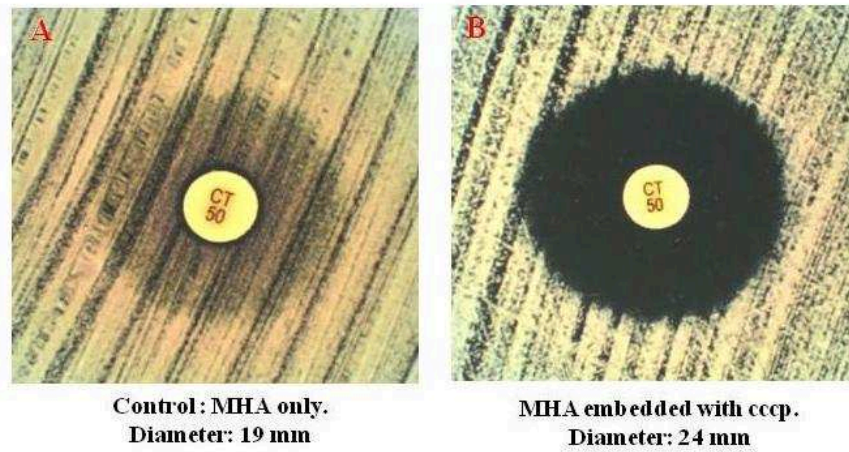
329

Col S = colistin susceptible, Col R = colistin resistant.

330

331

332



333

334

Figure 1: CCCP test of the colistin hetero-resistant *E. cloacae*

335

336

337

338

339

340

341

342

343

344

345

346

347

Conclusion of Chapter III

Colistin is a polymixin B antibiotic that attacks the lipopolysaccharide and phospholipids in the outer cell membrane of Gram-negative bacilli, leading to cellular leakage and subsequent bacterial death(1). The mechanisms of resistance toward colistin in Gram-negative bacilli are diverse and are still not well understood in some species such as in *Enterobacter* spp (2). During the genomic analysis of the colistin hetero-resistant *Enterobacter cloacae* isolated from poultry in the south of Lebanon, we found that colistin hetero-resistance was mediated by an over-expression of *acrAB/tolC* efflux pumps promoted by a deletion of three amino acids in the local repressor gene *acrR*. The regulation of efflux pumps in Gram-negatives is complex and involves several local and global activators as well as repressor genes (3). The knowledge behind colistin resistance is still young and will absolutely in the future uncover more mechanisms that are nowadays unknown. These latter might include outer membrane proteins or even genes having different functions in different bacterial species and thus contributing differently to colistin resistance.

The surveillance of colistin resistance is not limited to livestock but is also warranted in the clinical settings especially in countries where no sufficient data are available such as in Algeria; hence the aim of the fourth chapter of this manuscript.

References

1. **Kempf I, Fleury MA, Drider D, Bruneau M, Sanders P, Chauvin C, et al.** What do we know about resistance to colistin in Enterobacteriaceae in avian and pig production in Europe? *Int J Antimicrob Agents*. 2013 Nov;42(5):379-83.
2. **Baron S, Hadjadj L, Rolain JM, Olaitan AO.** Molecular mechanisms of polymyxin resistance: knowns and unknowns. *Int J Antimicrob Agents*. 2016 Dec;48(6):583-91.
3. **Sun J, Deng Z, Yan A.** Bacterial multidrug efflux pumps: mechanisms, physiology and pharmacological exploitations. *Biochem Biophys Res Commun*. 2014 Oct 17;453(2):254-67.

Chapter IV

Collaborative Studies

Surveillance of colistin and carbapenem resistance in patients in Algeria.

Introduction

In this chapter, we present the collaborative study that I performed during my PhD studies in France. The prevalence of ESBL and carbapenemase producing Gram-negative bacilli is in a constant rise in the clinical settings (1). This increase necessitated the re-introduction of colistin into the human medicine as a last resort therapeutic agent against carbapenem resistant organisms (2). Recently, resistance to colistin has emerged and became prevalent in hospitals as well as in other ecosystems (3).

Article 8 entitled “**Colistin- and carbapenem-resistant *Klebsiella pneumoniae* clinical isolates, Algeria**” describes the detection of three *Klebsiella pneumoniae* strains isolated from patients at three different periods. The three isolates were of ST101 and carried the SHV-106, TEM-183 and CTX-M-15 ESBL genes. In addition two of them were carbapenem and colistin resistant via the production OXA-48 carbapenemase and mutated *pmrA/B* and *mgrB* gene, respectively.

References

1. **Biswas S, Brunel JM, Dubus JC, Reynaud-Gaubert M, Rolain JM.** Colistin: An update on the antibiotic of the 21st century. *Expert Rev Anti Infect Ther.* 2012;10(8):917–34.
2. **Baron S, Hadjadj L, Rolain JM, Olaitan AO.** Molecular mechanisms of polymyxin resistance: knowns and unknowns. *Int J Antimicrob Agents* 2016 Dec;48(6):583-591.
3. **Olaitan AO, Li J.** Emergence of polymyxin resistance in Gram-negative bacteria. *Int J Antimicrob Agents* 2016 Dec;48(6):581-582.

Article 8

Colistin- and carbapenem-resistant *Klebsiella pneumoniae* clinical isolates, Algeria.

Hanane Yousfi, Linda Hadjadj, Iman Dandachi, Rym Lalaoui, Adil Merah, Kamel Amoura, Ahlem Dahi, Mazouz Dekhil, Naima Messalhi, Seydina M.Dienne, Sophie Baron and Jean-Marc Rolain.

Submitted to **Microbial Drug Resistance**

Impact Factor: 2.344

1 **Colistin- and carbapenem-resistant *Klebsiella pneumoniae* clinical isolates, Algeria**

2
3 **Authors:** Hanane Yousfi¹, Linda Hadjadj¹, Iman Dandachi¹, Rym Lalaoui¹, Adil Merah²,
4 Kamel Amoura²⁻³, Ahlem Dahi²⁻³, Mazouz Dekhil²⁻³, Naima Messalhi²⁻³, Seydina M.Diene¹,
5 Sophie Baron¹, Jean Marc Rolain^{1*}.
6

7 ¹Aix Marseille Univ, IRD, APHM, MEPHI, IHU-Méditerranée Infection, Marseille, France.

8 ²Service microbiologie, Centre Hospitalo-universitaire Annaba, Algérie.

9 ³Service des maladies infectieuses, Centre Hospitalo-universitaire Annaba, Algérie.

10
11 *Corresponding author

12 Pr. Jean-Marc Rolain

13 IHU Méditerranée-Infection

14 Marseille, France

15 Tel: ++33 491324375/ Fax: ++33 491387772

16 Email: jean-marc.rolain@univ-amu.fr

17
18 Abstract word count = 50

19 Text word count = 1014

20 Number of references = 14

21 Number of tables = 1

22 Number of figures = 1
23
24

25 **Running title:** Colistin-carbapenem-resistant *K. pneumoniae* ST101
26
27

28 **Keywords:** *Klebsiella pneumoniae*; colistin resistance; carbapenem resistance; mgrB insertion
29
30

31 **Abstract**

32 This study investigates the molecular mechanisms of colistin and carbapenem resistance in
33 *Klebsiella pneumoniae* ST101 strains. The three *Klebsiella pneumoniae* carried bla_{CTX-M-15},
34 bla_{TEM-183} and bla_{SHV-106} genes and two co-harbored bla_{OXA-48}. As for colistin resistance, the
35 isolates had amino acid substitutions in pmrA/B and a truncated mgrB gene in one isolate.

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55

56

57

58

59

60

61

62

63

64 **Dear Sir,**

65 The prevalence of extended spectrum β -lactamase (ESBL) and carbapenemases-producing
66 *Klebsiella pneumoniae* isolates is constantly rising in clinical settings (1). Consequently, colistin,
67 a previously abandoned antimicrobial agent due to its nephrotoxicity and neurotoxicity in
68 humans, was re-introduced in clinical settings for the treatment of infections caused by multidrug-
69 resistant (MDR) organisms (2). Recently, resistance to last resort antibiotics, namely colistin and
70 carbapenems, has emerged and other resistant Gram- negative bacilli have been isolated in
71 clinical settings worldwide (3,4).

72 In Algeria, the first report of a colistin-resistant isolate was published in 2015 and described an
73 *Acinetobacter baumannii* ST 2 isolated from patients in the university Hospital center of Béni-
74 Messous in Algiers. This isolate presented with a deleterious insertion of an amino acid named
75 “Alanine” in the *pmrB* gene at position 163 (5). Thereafter, the *mcr-1* plasmid-mediated colistin
76 resistance gene was described in *Escherichia coli* after its isolation from animals as well as in clinical
77 settings (6). Here we report the first detection of a colistin- resistant *K. pneumoniae* co-harboring
78 OXA-48 carbapenemase which was isolated from a hospital in Algeria.

79 In 2016, three colistin-resistant *K. pneumoniae* isolates were recovered in Annaba University
80 hospital, in Algeria, from three different patients who have in common an urological surgery
81 antecedent (Table). The patients were admitted to the infectious diseases unit for recurrent urinary
82 tract infection, where urine cytobacteriology and antibiotic susceptibility testing were performed.
83 Of note, two of the aforementioned patients had previously received colistin for treatment of their
84 recurrent urinary tract infection.

85 Identification of the isolates was done using matrix-assisted laser desorption an ionization time-of-
86 flight mass spectrometry (MALDI-TOF MS) (Microflex;Bruker Daltonics) (7). Antibiotic
87 susceptibility testing was performed by disk diffusion method. Interpretation of results was done
88 according to the European Committee following the Antimicrobial Susceptibility Testing
89 (EUCAST) guidelines. The three isolates were resistant to ceftazidime, cefotaxime, ceftriaxone,
90 cefoxitin, aztreonam, fosfomycin, gentamicin, ciprofloxacin, nalidixic acid, nitrofurantoin and
91 colistin; however they remained sensitive to amikacin, trimethoprim/sulfamethoxazole and
92 imipenem. In addition, two of the three *K. pneumoniae* strains were resistant to ertapenem. The
93 minimum inhibitory concentration (MIC) of colistin, imipenem and ertapenem for isolates was
94 determined by broth micro-dilution, which revealed that all isolates were resistant to colistin
95 ($MIC \geq 16 \mu\text{g/ml}$) with only two of them being also resistant to ertapenem ($MIC \geq 4 \mu\text{g/ml}$)
96 (Table). It is to mention that sensitivity to imipenem was further tested using E-test. The latter
97 revealed the presence of imipenem MICS of 0.25, 1.5, 1 mg/l in M5, M6 and M7 strains,
98 respectively. The carbapenemase activity of the two carbapenem-resistant isolates (M6, M7) was

99 thereafter confirmed by a positive modified Carba-NP test performed as previously described (5)
100 (Table).

101 MLST analysis, according to the Pasteur schemes available at the Institute Pasteur's MLST Web
102 site (www.pasteur.fr/mlst/), revealed that all of them belonged to the same sequence type "ST101".

103 RT-PCR amplification of carbapenemases-encoding genes bla_{OXA-48}, bla_{NDM}, bla_{VIM}, bla_{KPC} and
104 beta lactamase genes bla_{CTX-M}, bla_{TEM}, and bla_{SHV} showed that all isolates were positive for bla_{CTX-}
105 M-15, bla_{TEM}-183 and bla_{SHV}-106 ,with only two co-harboring bla_{OXA-48}. None of the isolates
106 expressed bla_{NDM} , bla_{VIM} or bla_{KPC}.

107 The molecular mechanism of colistin resistance was investigated by PCR amplification and
108 sequencing of the pmrA, pmrB, phoP, phoQ, mgrB, mcr1 and mcr2 genes. The plasmid-mediated
109 colistin resistance genes mcr-1 and mcr-2 were absent in the three *K. pneumoniae* strains.

110 Sequence analysis revealed no mutations in phoP and phoQ genes but showed an inactivating
111 insertion in the mgrB gene in one isolate (M5) on nucleotide 94 with 95% identity at the nucleotide
112 level with IS 903B insertion sequence (IS5 family of insertion sequences). The A217V pmrA
113 substitution was observed in two strains (M5, M6) with a mutation in the pmrB gene for the three
114 isolates (Table).

115 Colistin is the last-line antibiotic for treatment of infections by Gram-negative bacteria such as *K.*
116 *pneumoniae* and the ongoing emergence of colistin and carbapenem resistance represents a
117 serious problem for the management of infections caused by these bacteria (8). This study is in
118 accordance with recent studies that highlighted the emergence of colistin resistance in MDR *K.*
119 *pneumoniae* arising from loss-of-function by inactivation of the mgrB gene and activation of the
120 Pmr system inducing modification of the lipopolysaccharide (8–10). The A217V pmrA mutation
121 showed in this study was reported in another case of *K. pneumoniae* colistin resistance in Serbia
122 (3), also in a colistin-resistant clone of *K. pneumoniae* ST101 harboring bla_{oxa-48}. In this study,
123 authors concluded that this mutation in pmrA could have played a role in the development of
124 colistin resistance.

125 These data would strengthen the presumption that this mutation was responsible for colistin
126 resistance. The T246A pmrB mutation was also showed in polymyxin B-resistant *K. pneumoniae*
127 isolated from rectal swabs in Brazil {Formatting Citation}. In this study, the authors suggest that
128 the specific pmrB (T246A) mutation found was not capable of producing polymyxin resistance
129 alone, since this mutation was also found in polymyxin-susceptible isolates and was considered
130 not deleterious by PROVEAN software. To our knowledge, all other pmrB mutations (V212G,
131 T256A) have never been described (11).

132 There are only three reports of genomic investigation on colistin-resistant and carbapenemase-
133 producing *K. pneumoniae* ST101 (Figure). Two of these strains (from Serbia and Turkey) were OXA-
134 48-producing with amino acid changes in the *pmrB* gene (3,12) and the third one (from Tunisia) was
135 also OXA-48 producing with *mgrB* truncated by the same 2- kb sequence insertion between
136 nucleotides 123 and 124 of the *mgrB* coding sequence (13).
137 Thus, this is the first description of colistin-and carbapenem-resistant *Klebsiella pneumoniae* ST101
138 in Algeria. The analysis results of M5 colistin-resistant strains with *mgrB* truncation collected
139 from individuals not treated with colistin shows that the clinical use of colistin may not be the only
140 reason for the emergence of colistin resistance. Another possibility is the horizontal transmission
141 between patients, who have in common a stay in the urological unit of the same hospital. Thus, a
142 possible spread of nosocomial infections to a larger number of patients and healthy individuals
143 should be prevented. It is urgent to establish a powerful monitoring system in each hospital with
144 perfect coordination between all Algerian hospitals to detect as soon as possible an infectious
145 epidemic and prevent the spread of such multidrug-resistant bacteria inducing infections that are
146 difficult to treat (14).

147

148 **Funding**

149 This work was supported by the French Government under the « Investissements d’avenir »
150 program managed by the Agence Nationale de la Recherche, (reference: Méditerranée Infection
151 10-IAHU-03).

152

153

154 **Acknowledgment**

155 The author thanks Tradonline for english correction.

156

157 **Conflict of interest**

158 We have no conflict of interest to declare.

159

160

161

162

163

164

165

166

167 **References**

- 168 1. **Biswas S, Brunel JM, Dubus JC, Reynaud-Gaubert M, Rolain JM.** Colistin: An update on
169 the antibiotic of the 21st century. *Expert Rev Anti Infect Ther.* 2012;10(8):917–34.
- 170 2. **Poirel L, Jayol A, Nordmann P.** Polymyxins: Antibacterial Activity, Susceptibility Testing,
171 and Resistance Mechanisms Encoded by Plasmids or Chromosomes. *Clin Microbiol Rev.*
172 2017;30(2):557–96.
- 173 3. **Novovic K.** Molecular Epidemiology of Colistin- Resistant, Carbapenemase-Producing
174 *Klebsiella pneumoniae* in Serbia from 2013 to 2016. *Antimicrob Agents Chemother.*
175 2017;61(5):1–6.
- 176 4. **Potron A, Poirel L, Rondinaud E, Nordmann P.** Intercontinental spread of OXA-48 beta-
177 lactamase-producing enterobacteriaceae over a 11-year period, 2001 to 2011.
178 *Eurosurveillance.* 2013;18(31).
- 179 5. **Bakour S, Olaitan AO, Ammari H, Touati A, Saudi S, Saudi K, et al.** Emergence of
180 Colistin- and Carbapenem-Resistant *Acinetobacter baumannii* ST2 Clinical Isolate in
181 Algeria: First Case Report. *Microb Drug Resist.* 2015 Jun;21(3):279–85.
- 182 6. **Yanat B, Machuca J, Yahia RD, Touati A, Pascual Á.** First report of the plasmid- mediated
183 colistin resistance gene *mcr-1* in a clinical *Escherichia coli* isolate in Algeria. *Int J Antimicrob*
184 *Agents.* Elsevier B.V.; 2016;48(6):760–1.
- 185 7. **Seng P, Drancourt M, Gouriet F, La Scola B, Fournier P-E, Rolain JM, et al.** Ongoing
186 revolution in bacteriology: routine identification of bacteria by matrix-assisted laser
187 desorption ionization time-of-flight mass spectrometry. *Clin Infect Dis.* 2009 Aug
- 188 8. **Olaitan AO, Rolain JM.** Interruption of *mgrB* in the mediation of colistin resistance in
189 *Klebsiella oxytoca*. *International Journal of Antimicrobial Agents.* Elsevier B.V.; 2015. p. 354–6.
- 190 9. **Aires CAM, Pereira PS, Asensi MD, Carvalho-Assef APDA.** *mgrB* mutations mediating
191 polymyxin B resistance in *Klebsiella pneumoniae* isolates from rectal surveillance swabs in
192 Brazil. *Antimicrob Agents Chemother.* 2016;60(11):6969–72.
- 193 10. **Baron S, Hadjadj L, Rolain JM, Olaitan AO.** Molecular mechanisms of polymyxin
194 resistance: knowns and unknowns. *Int J Antimicrob Agents.* Elsevier B.V.; 2016;48(6):583–
195 91.
- 196 11. **Aires CAM, Pereira PS, Asensi MD, Carvalho-Assef APDA.** *mgrB* mutations mediating
197 polymyxin B resistance in *Klebsiella pneumoniae* isolates from rectal surveillance swabs in
198 Brazil. *Antimicrob Agents Chemother.* 2016;60(11):6969–72.
- 199 12. **Poirel L, Jayol A, Nordmann P.** Polymyxins: Antibacterial Activity, Susceptibility Testing,
200 and Resistance Mechanisms Encoded by Plasmids or Chromosomes. *Clin Microbiol Rev.*
201 2017 Apr 8;30(2):557–96.

- 202 13. **Mansour W, Haenni M, Saras E, Grami R, Mani Y, Ben Haj Khalifa A, et al.** Outbreak
203 of colistin-resistant carbapenemase-producing *Klebsiella pneumoniae* in Tunisia. *J Glob*
204 *Antimicrob Resist.* Taibah University; 2017;10(2010):88–94.
- 205 14. **Okdah L, Leangapichart T, Hadjadj L, Olaitan AO, Al-Bayssari C, Rizk R, et al.** First
206 report of colistin-resistant *Klebsiella pneumoniae* clinical isolates in Lebanon. *J Glob*
207 *Antimicrob Resist.* Elsevier; 2017 Jun 1;9:15–6.

208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232

233 **Table 1.** Description of colistin-and carbapenem-resistant *K. pneumoniae* isolates from Algeria

234

235

Isolation date	Clinical sample	Colistin prescription	CT MIC (µg/ml)	IMP MIC (µg/ml)	ERT MIC (µg/ml)	<i>Bla</i> genes	<i>mgrB</i> mutations	<i>pmrA</i> mutations	<i>pmrB</i> mutations	<i>phoP/Q</i> mutations
M5 23/05/2016	Urine	NO	64	0.25	<1	<i>bla</i> _{CTX-M-15} , <i>bla</i> _{SHV-106} , <i>bla</i> _{TEM-183}	IS903B	A217V	V212G, T256A	WT
M6 20/10/2016	Urine	YES	16	2	8	<i>bla</i> _{CTX-M-15} , <i>bla</i> _{SHV-106} , <i>bla</i> _{TEM-183} , <i>bla</i> _{OXA-48}	WT	A217V	T246A	WT
M7 30/11/2016	Urine	YES	64	1	8	<i>bla</i> _{CTX-M15} , <i>bla</i> _{SHV-106} <i>bla</i> _{TEM-183} , <i>bla</i> _{OXA-48}	WT	WT	T246A	WT

240

241 CT – colistin ; IMP – imipenem ; ERT – ertapenem; MIC – minimum inhibitory concentration ; WT – Wilde Type

242

243

244

245

246

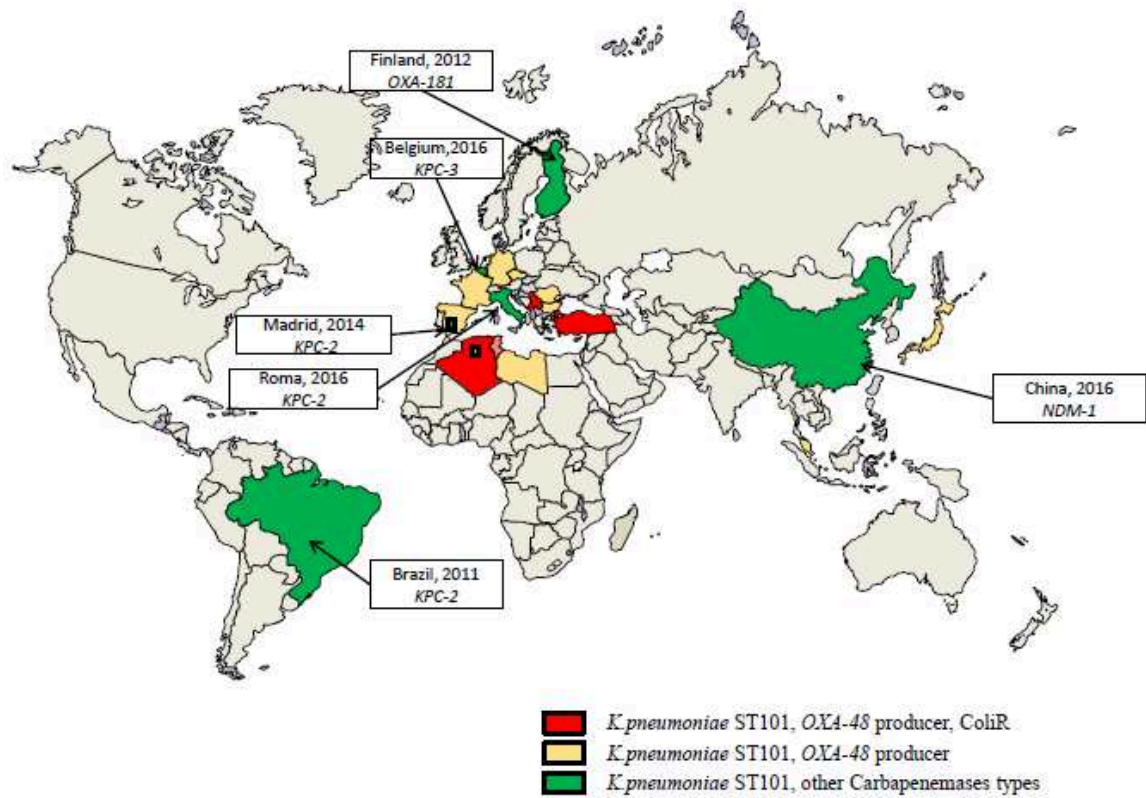
247

249 **Figure Legends**

250 **Figure 1.** Geographical distribution of the various *Klebsiella pneumoniae* ST101 phenotypes
251 by country origin (carbapenemase and colistin resistance). Other carbapenemases include
252 KPC, NDM and OXA-181.

253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298

249
250
251
252



253
254
255

256 **Figure 1.** Geographical distribution of the various *Klebsiella pneumoniae* ST101 phenotypes
257 by country origin (carbapenemase and colistin resistance). Other carbapenemases include
258 KPC, NDM and OXA-181.

259
260

Conclusion of Chapter IV

In Algeria, the dissemination of multi-drug resistant Gram-negative bacilli has been previously well documented in the livestock (1) and clinical settings (2). In this study, the detection of similar *Klebsiella pneumoniae* strains in three different periods during the same year in the same hospital suggests an epidemic situation of colistin carbapenem co-resistance in the Algerian hospitals. Surveillance studies quantifying the magnitude of this issue in the clinical settings in Algeria are thus needed. Furthermore, the implementation of strict infection control measures including hand sanitization, isolation of infected patients in addition to the control of carbapenem and colistin prescription are warranted in these settings. Future studies should target the extent of the fecal carriage of these organisms and their subsequent introduction into the common population and the community settings in Algeria.

References

1. **Djeffal S, Bakour S, Mamache B, Elgroud R, Agabou A, Chabou S, et al.** Prevalence and clonal relationship of ESBL-producing *Salmonella* strains from humans and poultry in northeastern Algeria. *BMC Vet Res.* 2017 May 15;13(1):132,017-1050-3.
2. **Biswas S, Brunel JM, Dubus JC, Reynaud-Gaubert M, Rolain JM.** Colistin: An update on the antibiotic of the 21st century. *Expert Rev Anti Infect Ther.* 2012;10(8):917–34.

Chapter V

Annex

Description of Lachnoclostridium Nov. species.

Introduction

Study of the human microbiota is one of the major challenges encountered in the 21st century (1). In the past 30 years, bacterial species were mainly identified using microbial cultures that allow the study of their antibiotic susceptibility testing, genome sequencing and proteomic studies (2). Thereafter, metagenomic analysis and 16S rRNA sequencing were introduced. These latter have dramatically increased the knowledge on the diversity of the human gut microbiome (3). However, despite all this improvement, 80% of the bacterial species forming the human microbiota are still uncultured. Recently, microbial culturomics was introduced by the team of Pr.Raoult. Microbial culturomic allows the use of different temperatures, pH, mineral and nutrients to cultivate previously unculturable bacterial species (3).

In **Article 9** entitled “**Genome sequence and description of *Lachnoclostridium phoceense* isolated from a patient in Marseille**”, we report the isolation of a new bacterial species of the genus *Lachnoclostridium*. The strain was isolated using microbial culturomics from the urine sample of a patient admitted to the hospital in Marseille.

References

1. **Turnbaugh PJ, Ley RE, Hamady M, Fraser-Liggett CM, Knight R, Gordon JI.** The human microbiome project. *Nature* 2007 Oct 18;449(7164):804-810.
2. **Lagier JC, Edouard S, Pagnier I, Mediannikov O, Drancourt M, Raoult D.** Current and past strategies for bacterial culture in clinical microbiology. *Clin Microbiol Rev* 2015 Jan;28(1):208-236.
3. **Lagier JC, Armougom F, Million M, Hugon P, Pagnier I, Robert C, et al.** Microbial culturomics: paradigm shift in the human gut microbiome study. *Clin Microbiol Infect* 2012 Dec;18(12):1185-1193.

Article 9

Genome sequence and description of *Lachnoclostridium phoceense* isolated from a patient after kidney transplantation in Marseille.

Iman Dandachi, Sami Brahimi, Jean-Christophe Lagier, Ziad Daoud, Jean-Marc Rolain.

To be submitted

30 **Abstract**

31 Lachnoclostridium phoceense is a new specie in the genus of Lachnoclostridium.
32 Lachnoclostridium phoceense is a Gram-positive anaerobic rod. The strain (CSUR = P3177)
33 with the below described genome was isolated from the urine sample of an old women after
34 kidney transplantation. The strain genome is of 3 500 754 bp long with 50.62 % GC content
35 and consisting of a single scaffold.

36

37

38

39

40 **Abbreviations**

41 CSUR: collection de souches de l'unité des Rickettsies

42 MALDI-TOF MS: matrix-assisted laser-desorption/ionization time of flight mass
43 spectrometry.

44 MEPHI: microbes evolution phylogeny and infections.

45 NRPS: non ribosomal peptide synthetase

46 PKS: polyketide synthase

47

48

49

50

51

52

53

54

55

56 **Introduction**

57 *Lachnoclostridium phoceense* (CSUR = P3177) is a Gram-positive, motile, strictly anaerobic
58 rods that was isolated from the urine sample of a patient in Marseille using culturomics. New
59 bacterial species are usually described using 16S rDNA sequencing, genome percent of GC
60 content, phylogenetic analysis and DNA-DNA hybridization (1). However, nowadays, a new
61 “polyphasic approach” has been developed. The polyphasic approach combines the
62 phenotypic criteria with the genomic ones in order to describe and characterize newly
63 isolated species (2).

64 The *Lachnoclostridium* genus includes a variety of bacterial species including organisms
65 from the Lachnospiraceae genus *Incertae Sedis* in SILVA, the genus *Clostridium* XIVa in the
66 RDP and clostridial cluster XIVa of Collins et al. It involves thirty validly described species
67 with most of them being of the Lachnospiraceae family (3).

68 Here we present the phenotypic and genomic characteristics of a *Lachnoclostridium* novel
69 specie isolated from a patient admitted to the hospital in Marseille.

70

71 **Materials and Methods**

72 **Phenotypic and biochemical characterization**

73 *Lachnoclostridium phoceense* Marseille-P3177 was isolated from the urine sample of a 51
74 years old woman after kidney transplantation. At 37°C, the urine sample was initially
75 incubated for 96 hours in an anaerobic blood culture bottle (BACTEC Lytic/10 Anaerobic/F
76 Culture Vials; Becton-Dickinson, Pont de Claix, France) supplemented with 5% of sterilized
77 rumen. Thereafter, incubated sample was streaked on a 5% sheep blood Columbia agar
78 medium and incubated for 5 days under anaerobic conditions at 37°C. Indeed, for the growth
79 of *Lachnoclostridium*, three temperatures were first tested: 25, 30 and 37°C. However, the
80 optimal growth was only observed at 37°C after five days of incubation. Colonies grown on
81 the Columbia agar were translucent and whitish circular with a 250-350 nm ranging diameter.
82 Gram-staining revealed that the strains were Gram-positive bacilli. Furthermore, motility test
83 was positive.

84 The Isolated strain was subjected to MALDI-TOF MS (Bruker Daltonics, Bremen, Germany)
85 identification as previously described (4). No significant MALDI-TOF score was obtained
86 showing thus that this strain is an unknown bacterial specie. The spectrum was therefore
87 added to our data-base.

88 Biochemical characteristics of isolated colonies were determined using API ZYM
89 (BioMerieux, France) and (BioMerieux, France). Catalase assays (Biomerieux) and Oxydase

90 ones (Becton, Dickinson and company, Le pont de Claix France) showed that the strains are
91 oxidase/catalase negative. Results of this part in addition to antibiotic susceptibility testing
92 are pending.

93

94 **16S rRNA gene sequencing and phylogenetic analysis**

95 The Isolated strain was subjected to 16S rRNA sequencing. Using the maximum-likelihood
96 method Mega 6 software and CLUSTALW, a phylogenetic tree (figure 1) was constructed
97 showing that the isolated *Lachnoclostridium phoceense* has 94.6% similarity with
98 *Lachnoclostridium contortum* strain ATCC 25540. This value is lower than the gene
99 sequence threshold “98.7% 16S rRNA” recommended by Ebers and Stackebrandt to
100 characterize an isolated strain as a new bacterial specie without DNA-DNA hybridization.

101

102 **Genome properties**

103 The strain bacterial genome is of 3 500 754 bp long with 50.62 % GC content (table 1). It is
104 composed of 1 scaffold (composed of 1 contig). Of the 3 382 predicted genes, 3 315 were
105 protein-coding genes and 67 were RNAs (4 genes are 5S rRNA, 4 genes are 16S rRNA, 4
106 genes are 23S rRNA, 55 genes are TRNA genes). A total of 2 328 genes (70.23%) were
107 assigned as putative function (by cogs or by NR blast). 170 genes were identified as ORFans
108 (5.13%). The remaining genes were annotated as hypothetical proteins (719 genes =>
109 21.69%). Detailed properties and statistics are presented in Table 2. Genes distribution into
110 COG functional categories are presented in figure 2. Genome assembly and annotation was
111 performed by XEGEN (<http://www.xegen.fr/>).

112

113 **Genome annotation**

114 Using the Bio-Edit interface, a BLAST search was conducted against ARG-ANNOT, a
115 database for acquired antibiotic resistance genes (ARGs). The BLAST search was done under
116 an e-value of 10^{-5} , moderately stringent conditions for in silico ARG prediction (5). ARG-
117 ANNOT BLAST search revealed the presence of one resistance gene against tetracycline.
118 The bacteriocin database available in our research unit (Bacteriocins of the URMITE
119 database BUR) (<http://drissifatima.wix.com/bacteriocins>) was done via the collection of all
120 available sequences from NCBI and databases. Protein sequences from the aforementioned
121 database allow the identification of bacteriocins from the human gut microbiota via BLASTp
122 methodology (6). Resistome analysis via this database showed the presence of 25
123 bacteriocins genes.

124 The presence of polyketide synthases and Nonribosomal peptide synthetases (PKS/NRPS)
125 was analyzed by gene discrimination with large size using a database constructed in our
126 laboratory, predicted proteins were compared against non-redundant (nr) GenBank database
127 using blastp and were then examined using antiSMASH (7).

128

129 **Description of *Lachnoclostridium phoceense* Nov sp.**

130 *Lachnoclostridium phoceense* strain P3177 is a new specie in the genus of *Lachnoclostridium*
131 that was isolated from a 51 years old women urine sample after kidney transplantation in
132 Marseille. The specie's optimal growth conditions are at 37°C for 5 days under anaerobic
133 conditions. The colonies are of 0.25-0.35 mm diameter on blood supplemented agar.

134 *Lachnoclostridium phoceense* is a strictly anaerobic Gram-positive rod. It is also is catalase
135 and oxidase negative.

136

137 **Acknowledgement**

138 We thank Miss Linda Hadjadj for her technical assistance.

139

140 **Funding**

141 This work was supported by the Lebanese Council for Research and the French Government
142 under the « Investissements d'avenir » (Investments for the Future) program managed by the
143 Agence Nationale de la Recherche (ANR, fr: National Agency for Research), (reference:
144 Méditerranée Infection 10-IAHU-03

145

146 **Transparency Declarations**

147 No conflicts of interest or financial disclosure for all authors.

148

149

150

151

152

153

154 **References**

- 155 1. Tindall BJ, Rossello-Mora R, Busse HJ, Ludwig W, Kampfer P. Notes on the
156 characterization of prokaryote strains for taxonomic purposes. *Int J Syst Evol Microbiol.*
157 2010 Jan;60(Pt 1):249-66.
- 158 2. Ramasamy D, Mishra AK, Lagier JC, Padhmanabhan R, Rossi M, Sentausa E, et al. A
159 polyphasic strategy incorporating genomic data for the taxonomic description of novel
160 bacterial species. *Int J Syst Evol Microbiol.* 2014 Feb;64(Pt 2):384-91.
- 161 3. Yutin N, Galperin MY. A genomic update on clostridial phylogeny: Gram-negative spore
162 formers and other misplaced clostridia. *Environ Microbiol.* 2013 Oct;15(10):2631-41.
- 163 4. Seng P, Abat C, Rolain JM, Colson P, Lagier JC, Gouriet F, et al. Identification of rare
164 pathogenic bacteria in a clinical microbiology laboratory: impact of matrix-assisted laser
165 desorption ionization-time of flight mass spectrometry. *J Clin Microbiol.* 2013
166 Jul;51(7):2182-94.
- 167 5. Gupta SK, Padmanabhan BR, Diene SM, Lopez-Rojas R, Kempf M, Landraud L, et al.
168 ARG-ANNOT, a new bioinformatic tool to discover antibiotic resistance genes in bacterial
169 genomes. *Antimicrob Agents Chemother.* 2014;58(1):212-20.
- 170 6. Drissi F, Buffet S, Raoult D, Merhej V. Common occurrence of antibacterial agents in
171 human intestinal microbiota. *Front Microbiol.* 2015 May 7;6:441.
- 172 7. Weber T, Blin K, Duddela S, Krug D, Kim HU, Brucoleri R, et al. antiSMASH 3.0-a
173 comprehensive resource for the genome mining of biosynthetic gene clusters. *Nucleic Acids*
174 *Res.* 2015 Jul 1;43(W1):W237-43.
- 175 8. Brahim S, Cadoret F, Fournier PE, Moal V, Raoult D. 'Lachnoclostridium
176 urinimassiliense' sp. nov. and 'Lachnoclostridium phocaeense' sp. nov., two new bacterial
177 species isolated from human urine after kidney transplantation. *New Microbes New Infect.*
178 2017 Jan 17;16:73-5.

179

180

181

182

183

184

185

186

187

188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207

Table 1. Genes and Nucleotides content of the *Lachnoclostridium phoceense* genome

Variant	Value	% of the total
Genome Size (bp)	3500754	100
Number of GC (bp)	1772172	50.62259293
Total number of genes	3382	100
Total number of protein genes	3315	98.01892853
Total number of RNA genes	67	1.981076241
Total number of TRNA Genes	55	1.626256704
Total number of RNA (5S, 16S, 23S) Genes	12	0.354819626
Coding sequence size	3152738	90.05883026
Coding sequence gene protein size	3130485	89.42316437
Coding sequence tRNA gene size	4148	0.118488759
Coding sequence (5S, 16S, 23S) gene size	18105	0.517174304
Number of protein coding gene	3315	100

% = percent, bp = base pair

208

209 **Table 2.** Number of genes associated with the 26 general COG functional categories

Code	Value	% of total	Description
[J]	195	5.882353	Translation
[A]	0	0	Rna processing and modification
[K]	201	6.0633483	Transcription
[L]	107	3.227753	Replication, recombination and repair
[B]	0	0	Chromatin structure and dynamics
[D]	40	1.2066365	Cell cycle control, mitosis and meiosis
[Y]	0	0	Nuclear structure
[V]	89	2.6847663	Defense mechanisms
[T]	101	3.0467572	Signal transduction mechanisms
[M]	101	3.0467572	Cell wall/membrane biogenesis
[N]	12	0.36199096	Cell motility
[Z]	0	0	Cytoskeleton
[W]	2	0.06033183	Extracellular structures
[U]	28	0.8446456	Intracellular trafficking and secretion
[O]	78	2.3529413	Posttranslational modification, protein turnover, chaperones
[X]	48	1.4479638	Mobilome: prophages, transposons
[C]	111	3.3484166	Energy production and conversion
[G]	191	5.761689	Carbohydrate transport and metabolism
[E]	165	4.9773755	Amino acid transport and metabolism
[F]	72	2.1719458	Nucleotide transport and metabolism
[H]	115	3.4690802	Coenzyme transport and metabolism
[I]	63	1.9004526	Lipid transport and metabolism
[P]	78	2.3529413	Inorganic ion transport and metabolism
[Q]	24	0.7239819	Secondary metabolites biosynthesis, transport and catabolism
[R]	179	5.3996983	General function prediction only
[S]	98	2.9562595	Function unknown
-	1410	42.533936	% = percent Not in COGs

222

223

224

225

226

227

228 **Figures Legends**

229

230 **Figure 1.** Phylogenetic tree showing *Lachnoclostridium phocaeense* strain Marseille- P3177T
231 relative to other phylogenetically close neighbours. GenBank accession numbers are
232 indicated in parentheses. Sequences were aligned using CLUSTALW, and phylogenetic
233 inferences were obtained using maximum-likelihood method within MEGA software.
234 Numbers at nodes are percentages of bootstrap values obtained by repeating analysis 500
235 times to generate majority consensus tree. Only bootstrap scores of at least 90% were
236 retained. *Coprococcus comes* was used as outgroup. Scale bar indicates 0.5% nucleotide
237 sequence divergence (8).

238

239 **Figure 2.** Graphical circular map of the chromosome. From outside to the center: Genes on
240 the forward strand colored by COG categories (only genes assigned to COG), genes on the
241 reverse strand colored by COG categories (only gene assigned to COG), RNA genes (tRNAs
242 green, rRNAs red), GC content and GC skew.

243

244

245

246

247

248

249

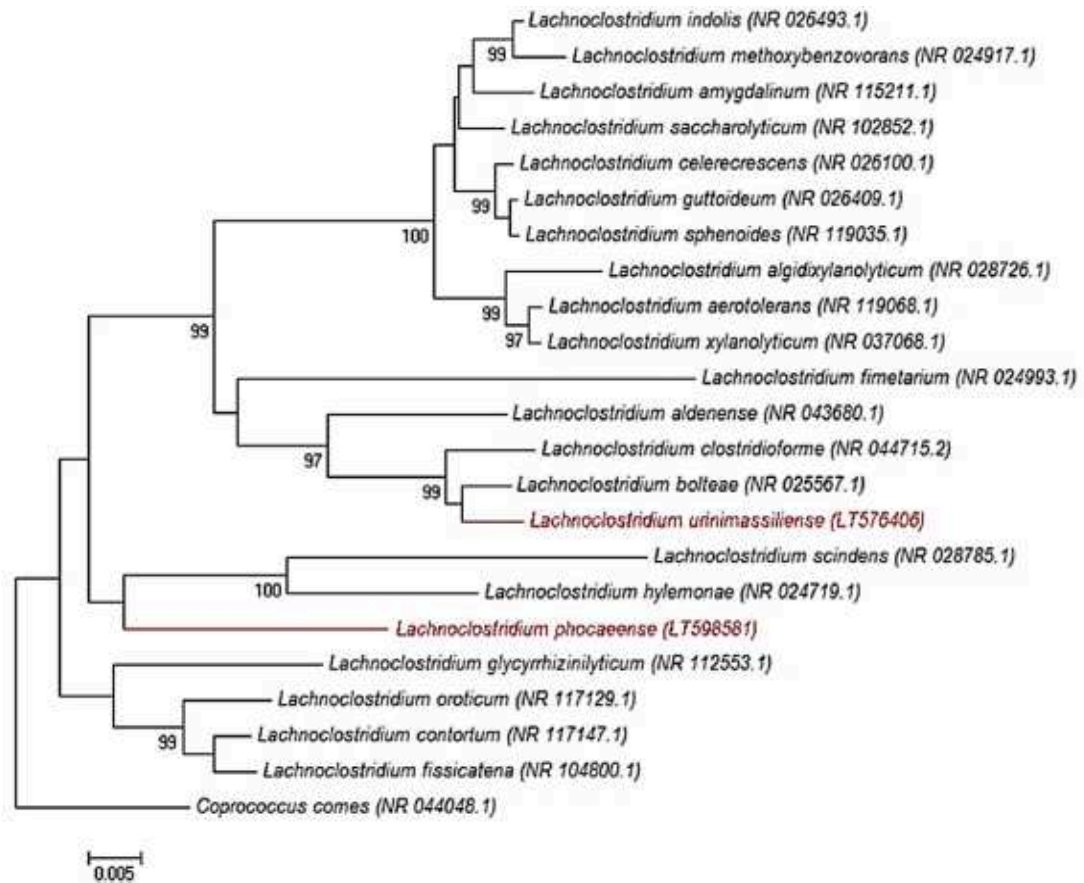
250

251

252

253

254
255
256
257
258
259
260
261
262
263
264
265
266
267



268 **Figure 1.** Phylogenetic tree showing *Lachnoclostridium phocaeense* strain Marseille- P3177T
269 relative to other phylogenetically close neighbours. GenBank accession numbers are
270 indicated in parentheses. Sequences were aligned using CLUSTALW, and phylogenetic
271 inferences were obtained using maximum-likelihood method within MEGA software.
272 Numbers at nodes are percentages of bootstrap values obtained by repeating analysis 500
273 times to generate majority consensus tree. Only bootstrap scores of at least 90% were
274 retained. *Coprococcus comes* was used as outgroup. Scale bar indicates 0.5% nucleotide
275 sequence divergence.

276
277

278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301

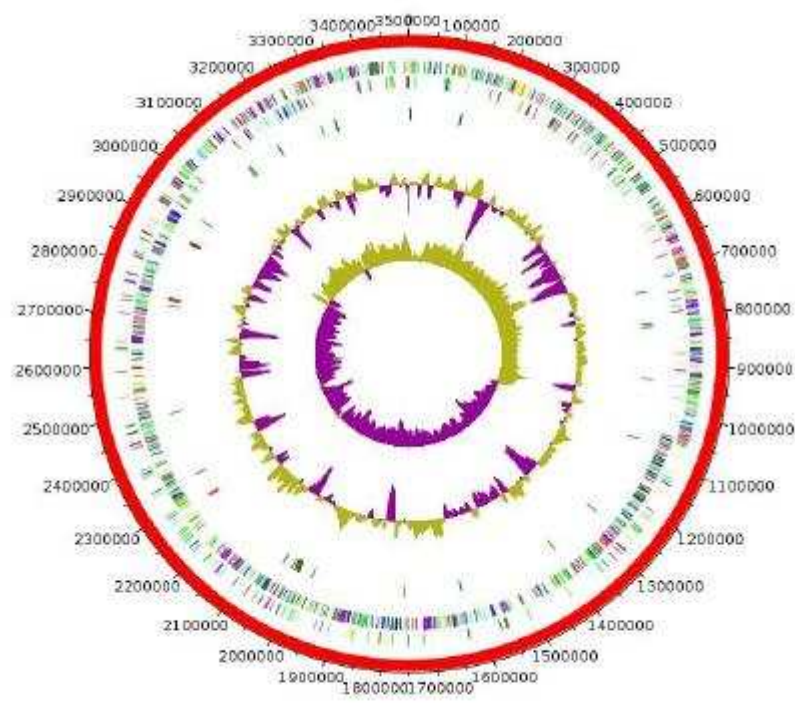


Figure 2. Graphical circular map of the chromosome. From outside to the center: Genes on the forward strand colored by COG categories (only genes assigned to COG), genes on the reverse strand colored by COG categories (only gene assigned to COG), RNA genes (tRNAs green, rRNAs red), GC content and GC skew.

Conclusion of Chapter V

The human body is a complex system composed of human cells and harboring trillions of bacteria and other microorganisms. It has been said that bacterial cells are 10 times outnumbering the human cells (1). The term microbiota refers to the microorganisms that inhabit the mucosal and epithelial body surfaces exposed to the outside environment such as bacteria, archaea and yeasts.(2) The most complex bacterial community inside the human body is the gastrointestinal one (3). This latter has several functions inside the human body including the protection of the gut against the establishment of exogenous pathogenic bacteria, mediating differentiation and development of the intestinal epithelium, and producing enzymes that help in the digestion of nutrients and minerals absorption(4). In view of its complexity, it has been stated that only 20% of its composition has been determined (5). From the experience in our research unit, culturomics proved to be an efficient and promising tool for the identification of new bacterial species previously un-identified and un-cultured with other approaches.

References

1. **Ackerman J.** How Bacteria in Our Bodies Protect Our Health. *Scientific American* [Internet]. 2012;306(6):2/12/2014. Available from:<http://www.scientificamerican.com/article/ultimate-social-network-bacteria-protects-health/>.
2. **Ruppe E, Andremont A.** Causes, consequences, and perspectives in the variations of intestinal density of colonization of multidrug-resistant enterobacteria. *Front Microbiol.* 2013 May 28;4:129.
3. **Durban A, Abellan JJ, Jimenez-Hernandez N, Ponce M, Ponce J, Sala T, et al.** Assessing gut microbial diversity from feces and rectal mucosa. *Microb Ecol.* 2011 Jan;61(1):123-33.
4. **Vaishnavi C.** Translocation of gut flora and its role in sepsis. *Indian J Med Microbiol.* 2013 Oct-Dec;31(4):334-42.
5. **Lagier JC, Armougom F, Million M, Hugon P, Pagnier I, Robert C, et al.** Microbial culturomics: paradigm shift in the human gut microbiome study. *Clin Microbiol Infect.* 2012 Dec;18(12):1185-93.

Chapter VI

Studies conducted in Lebanon during M2 and 1st year PhD Studies

Multi-drug Resistant organisms in Lebanese Nursing Homes.

Introduction

The spread of multi-drug resistance is among the most common public health addressed nowadays (1). The dissemination of multi-drug resistant organisms is sparked by the concern of causing infections with limited therapeutic options (2). In Lebanon, studies have shown the spread of ESBL as well as carbapenemase producers in the clinical settings (3)(4). However little is known about the prevalence of these organisms in the community settings such as in nursing homes.

In **Article 10** entitled “**Carriage of beta-lactamase-producing enterobacteriaceae among nursing home residents in north Lebanon**”, the fecal carriage of ESBL, ampC and carbapenemase producers was followed in 68 elderlies over a four month period. 76.5% of recruited nursing home residents were carriers of ESBL and/or carbapenemase producing Gram-negative bacilli. The carriage was dynamic and significantly related to a recent antibiotic intake.

Article 11 entitled “**Fecal carriage of MDROs in a population of lebanese elderly: Dynamics and impact on bacterial fitness**”, assesses the competitive growth of multi-drug resistant *E. coli* strains compared to sensitive *E. coli*, both isolated from nursing home residents. Sensitive *E. coli* strains out competed the resistant ones when grown in vitro.

Article 12 entitled “**Competition assays between ESBL-producing *E. coli* and *K. pneumoniae* isolates collected from Lebanese elderly: An additional cost on fitness**”, presents inter-species in vitro competitions assays between ESBL and sensitive *E. coli* and *Klebsiella pneumoniae* isolates. The results suggest that ESBL production in *E. coli* as well as in *K. pneumoniae* confer a fitness cost leading to a frequency decrease of these organisms in inter-species competitions.

References

1. **Beyrouthy R, Robin F, Dabboussi F, Mallat H, Hamze M, Bonnet R.** Carbapenemase and virulence factors of Enterobacteriaceae in North Lebanon between 2008 and 2012: evolution via endemic spread of OXA-48. *J Antimicrob Chemother* 2014 Oct;69(10):2699-2705.
2. **Bettioli E, Harbarth S.** Development of new antibiotics: taking off finally? *Swiss Med Wkly* 2015 Jul 31;145:w14167.
3. **Baroud M, Dandache I, Araj GF, Wakim R, Kanj S, Kanafani Z, et al.** Underlying mechanisms of carbapenem resistance in extended-spectrum beta-lactamase-producing *Klebsiella pneumoniae* and *Escherichia coli* isolates at a tertiary care centre in Lebanon: role of OXA-48 and NDM-1 carbapenemases. *Int J Antimicrob Agents* 2013 Jan;41(1):75-79.
4. **Sokhn S,E., Dahdouh E, Daoud Z.** Resistance of Gram-Negative Bacilli in Lebanon. *ISRN Infectious Diseases* 2012;2013:14/6/2014-6.

Article 10

Carriage of beta-lactamase-producing enterobacteriaceae among nursing home residents in north Lebanon.

Iman Dandachi, Elie S.Sokhn, Elie Najem, Eid Azar, Ziad Daoud

Published in **International Journal of Infectious Diseases (IJID)**

Impact Factor: 3.202



Carriage of beta-lactamase-producing *Enterobacteriaceae* among nursing home residents in north Lebanon



Iman Dandachi, Elie Salem Sokhn, Elie Najem, Eid Azar, Ziad Daoud*

Faculty of Medicine and Medical Sciences, Clinical Microbiology Laboratory, University of Balamand, PO Box 33, Amioun, Beirut, Lebanon

ARTICLE INFO

Article history:

Received 15 December 2015

Received in revised form 18 January 2016

Accepted 10 February 2016

Corresponding Editor: Eskild Petersen, Aarhus, Denmark.

Keywords:

Carriage
Nursing homes
Resistance
Carbapenemases
ESBLs

SUMMARY

Background: Multidrug-resistant (MDR) *Enterobacteriaceae* can cause severe infections with high morbidity, mortality, and health care costs. Individuals can be fecal carriers of these resistant organisms. Data on the extent of MDR *Enterobacteriaceae* fecal carriage in the community setting in Lebanon are very scarce. The aim of this study was to investigate the fecal carriage of MDR *Enterobacteriaceae* among the elderly residents of two nursing homes located in north Lebanon.

Methods: Over a period of 4 months, five fecal swab samples were collected from each of 68 elderly persons at regular intervals of 3–4 weeks. Fecal swabs were subcultured on selective media for the screening of resistant organisms. The phenotypic detection of extended-spectrum beta-lactamase (ESBL), AmpC, metallo-beta-lactamase (MBL), and *Klebsiella pneumoniae* carbapenemase (KPC) production was performed using the beta-lactamase inhibitors ethylenediaminetetraacetic acid, phenylboronic acid, and cloxacillin. A temocillin disk was used for OXA-48. Multiplex PCRs were used for the genotypic detection of ESBL and carbapenemase genes, and sequencing was performed to identify CTX-M-15. The medical records of each subject were reviewed on a regular basis in order to assess the risk factors associated with MDR *Enterobacteriaceae* fecal carriage.

Results: Over the study period, 76.5% of the recruited elderly persons were at least one-time carriers. A total of 178 isolates were obtained. Phenotypic testing revealed that 91.5% of them were ESBL producers, 4% were AmpC producers, 2.8% were co-producers of ESBL and AmpC, and 1.7% were co-producers of OXA-48 and ESBL. Recent antibiotic intake was found to be the only independent risk factor associated with the fecal carriage of MDR *Enterobacteriaceae*.

Conclusions: The high prevalence of MDR *Enterobacteriaceae* detected in this study and the emergence of carbapenem resistance is alarming. Efficient infection control measures and antibiotic stewardship programs are urgently needed in these settings in order to limit the spread of resistant strains.

© 2016 The Authors. Published by Elsevier Ltd on behalf of International Society for Infectious Diseases. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

1. Introduction

Multidrug-resistant (MDR) *Enterobacteriaceae* are currently considered a major public health concern worldwide.^{1,2} They can be transmitted easily among patients and healthy persons.³ Studies have shown that after being selected by antibiotics, the cross-transmission of these organisms occurs frequently in the health care setting.⁴ This dissemination will eventually lead to increased rates of MDR *Enterobacteriaceae* carriage. This carriage is often unrecognized and has been known to increase the risk of contracting infections caused by resistant agents.⁵ The treatment

of these cases is often challenging due to the limited therapeutic options; the antibiotic pipeline is drying up and no new antimicrobial agents targeted against MDR *Enterobacteriaceae* are foreseen in the near future.⁶

There is increasing evidence that nursing homes in the community are important reservoirs for MDR *Enterobacteriaceae*.^{4,7} This is in major part due to the inappropriate use of antimicrobial agents in these facilities,⁸ in addition to the difficulties particularly faced when establishing antibiotic stewardship and infection control programs.^{9,10} The prevalence of MDR *Enterobacteriaceae* colonization in nursing homes varies according to the geographical location, patient population, and the level of care provided.¹⁰

In the Middle East, although several studies have been conducted to assess the prevalence of MDR *Enterobacteriaceae* in

* Corresponding author. Tel./fax: +961.6.930250 (ext. 3819).
E-mail address: ziad.daoud@balamand.edu.lb (Z. Daoud).

the hospital ward,¹¹ data on the prevalence of MDR *Enterobacteriaceae* among nursing home residents in these countries are very scarce. In Lebanon, clinical investigations have shown that the prevalence of MDR *Enterobacteriaceae* is on a continuous rise.¹² Local data reported in the form of flyers summarizing the susceptibility of bacteria at the Centre Hospitalier Du Nord in the north of Lebanon, show that between 2011 and 2013 the rate of extended-spectrum beta-lactamase (ESBL) production among clinical isolates increased from 24.6% to 30.4% and from 26.5% to 31.7% in *Escherichia coli* and *Klebsiella pneumoniae*, respectively (Ziad Daoud). Another recent study reported an increase of 1.2% in resistance and decreased susceptibility to ertapenem in clinical isolates of *Enterobacteriaceae*. This resistance was mainly attributed to the production of OXA-48 beta-lactamase.¹²

In an attempt to understand the situation of carriage in the nursing homes of the country and to shed light on this important issue, the present research group conducted a study in Lebanon in which it was found that 71.6% of the recruited elderly subjects were at least one-time carriers during the study period.¹³ The plan was to study the situation in the north of Lebanon, where the extent of the spread of bacterial resistance in the community is not well documented. The socio-cultural as well as economic and educational levels in the north of Lebanon are also very particular to this area of the country. These include the level of poverty and the absence of basic governmental services such as public sanitation and infrastructure, as most of the services are concentrated in the capital Beirut. Unfortunately, all of these data are anecdotal and based on impressions, since official statistics are not available in the country.

The aim of this study was thus to investigate the fecal carriage of MDR *Enterobacteriaceae* among the residents of two major nursing homes located in the north of Lebanon through the determination of the prevalence, dynamics, and risk factors for MDR *Enterobacteriaceae* fecal carriage among elderly subjects. In addition, it was sought to determine whether CTX-M-15, the predominant ESBL gene in the Lebanese population,¹⁴ was also the major ESBL genotype carried among these elderly people.

2. Materials and methods

2.1. Ethics, consent, and permissions

The Research Committee of the University of Balamand and the Project Management Unit at the Lebanese Ministry of Agriculture approved this study. The patient or his/her legal guardian or family member signed a consent form for their participation in the study. The privacy of participants and transparency of the ethical process were guaranteed.

2.2. Study design and population

This was a cross-sectional study conducted in two major nursing homes located in Tripoli in the north of Lebanon. Candidates for this study were elderly residents aged >60 years. A total of 68 individuals were recruited. Fifty-seven were chosen randomly from nursing home 1. This facility has around 60 rooms and a capacity of 200 beds. Eleven elderly persons were recruited randomly from nursing home 2. This facility offers around 20 rooms with a capacity of 50 beds.

2.3. Data collection

The medical records of each elderly person were reviewed with the help of the nurse responsible. Age, sex, number of roommates, mobility status (ambulant/in a wheelchair or bedridden), and the date of admission were all recorded. In addition, urinary/fecal

incontinence, the presence of wounds or ulcers, and the previous or current use of a urinary catheter were also reported. Furthermore, the recruited elderly persons were checked for comorbidities (MDR bacterial infections, diabetes, cancer, pulmonary, cardiovascular, renal, or neurological diseases, and urogenital pathologies), hospital admission during the last year, and whether they had undergone any surgeries, as well as their antibiotic intake during the last 3 months.

2.4. Collection of fecal swabs and isolation of resistant *Enterobacteriaceae*

Between December 2013 and April 2014, five samples (fecal swabs) were obtained from each of 68 elderly persons at regular intervals of 3–4 weeks. A total of 262 samples were collected: 59 at collection 1, 51 at collection 2, 57 at collection 3, 51 at collection 4, and 44 at collection 5. The fecal swabs were subcultured on MacConkey agar supplemented with cefotaxime (2 µg/ml) for the screening of MDR *Enterobacteriaceae*. From each selective plate, different colonies presenting with different morphologies were picked up separately and suspended in Luria broth. After overnight incubation, each bacterial suspension was subcultured again on a selective plate. The following day, if the plate contained colonies with single morphologies, the isolate was preserved in 20% glycerol aliquots at –20 °C for further testing; if more than one type was observed, a re-isolation was performed for further purification.

An elderly subject was defined as a carrier if an MDR *Enterobacteriaceae* was isolated from his/her fecal sample. If the patient was found to be a carrier at all five collections, he/she was considered a 'permanent carrier'. If the resistant bacterium was isolated at fewer than five collections, the subject was defined as an 'intermittent carrier'. Finally, if no MDR *Enterobacteriaceae* was isolated during the five collections, the subject was considered a 'never carrier'. All isolates were identified using biochemical gallery tests (API 20E; bioMérieux).

2.5. Phenotypic tests

Antimicrobial susceptibility testing was performed for 178 isolates using the Kirby–Bauer disk diffusion method. Interpretation of the results was performed in accordance with the Clinical and Laboratory Standards Institute (CLSI) guidelines 2014.¹⁵ Fifteen antimicrobial agents were tested (Table 1). The amoxicillin-clavulanic acid disk was placed in the center between cefepime, ceftazidime, and aztreonam, in order to detect a possible 'keyhole effect'. AmpC beta-lactamase and carbapenemase production was suspected when resistance to cefoxitin and ertapenem, respectively, was observed. Unfortunately, resistance to cefoxitin is not sufficient to distinguish between constitutive and plasmid-mediated AmpC, therefore it was considered that both types of AmpC were detected by this test. In order to confirm these phenotypically, ethylenediaminetetraacetic acid (EDTA), phenylboronic acid (PBA), and cloxacillin were used as beta-lactamase inhibitors.^{16–18} In addition, temocillin susceptibility testing was performed as a presumptive test for the detection of the OXA-48 enzyme.¹⁹

2.6. Detection of ESBL type using multiplex PCR

In order to identify the type(s) of ESBL present in the clinical isolates, multiplex PCR was performed on a representative sample of 18 isolates chosen based on their profile of resistance. Bacterial DNA was prepared by suspending one or two colonies of each test isolate in 200 µl of distilled water and heating the solution at 95 °C for 10 min. The presence of *bla*_{CTX-M}, *bla*_{SHV}, *bla*_{TEM}, and *bla*_{OXA} genes was tested using previously published primer sets and

Table 1
Rates of susceptibility of different *Enterobacteriaceae* isolates

Antimicrobial agent	Number of susceptible isolates (%)			
	<i>Escherichia coli</i> (n = 159)	<i>Klebsiella pneumoniae</i> (n = 5)	<i>Klebsiella oxytoca</i> (n = 9)	<i>Citrobacter diversus</i> (n = 5)
Ampicillin	0 (0)	0 (0)	0 (0)	0 (0)
Aztreonam	7 (4.4)	0 (0)	2 (22.2)	1 (20)
Cefoxitin	138 (86.8)	5 (100)	8 (88.8)	4 (80)
Cefotaxime	0 (0)	0 (0)	0 (0)	0 (0)
Ceftazidime	16 (10)	0 (0)	1 (11.1)	0 (0)
Cefepime	9 (5.6)	0 (0)	0 (0)	0 (0)
Amoxicillin–clavulanic acid	61 (38.3)	2 (40)	1 (11.1)	3 (60)
Piperacillin–tazobactam	68 (42.7)	1 (20)	4 (44.4)	0 (0)
Meropenem	156 (98.1)	5 (100)	9 (100)	5 (100)
Imipenem	156 (98.1)	5 (100)	9 (100)	5 (100)
Ertapenem	156 (98.1)	5 (100)	9 (100)	5 (100)
Tigecycline	118 (100) ^a	3 (100) ^a	6 (100) ^a	5 (100)
Trimethoprim–sulfamethoxazole	59 (37.1)	0 (0)	1 (11.1)	0 (0)
Ciprofloxacin	65 (40.8)	1 (20)	2 (22.2)	0 (0)
Gentamicin	83 (52.2)	1 (20)	4 (44.4)	2 (40)

^a Only 118 *E. coli*, three *K. pneumoniae*, and six *K. oxytoca* isolates were tested for tigecycline susceptibility.

conditions.²⁰ Each reaction tube contained 10 µl of master Mix (Qiagen), 4 µl of primers, and 1 µl of DNA, and was made up to a total volume of 20 µl with sterile distilled water. The PCR reaction conditions consisted of a 15 min denaturation step at 95 °C, followed by 30 amplification cycles of 30 s at 94 °C, 90 s at 62 °C, and 60 s at 72 °C, with a final extension step of 10 min at 72 °C.²⁰

The primer sequences and expected amplicon sizes of the target ESBL genes were as follows: for *bla*_{SHV}: F-CTTTATCGGCCCTCACTCAA, R-AGGTGCTCATCATGGGAAAG (327 bp); *bla*_{TEM}: F-CGCCGCATACATATTCTCAGAATGA, R-ACGCTACCCGGCTCCAGATTTAT (445 bp); *bla*_{CTX-M}: F-ATGTGCAGYACCAGTAARGTKATGGC, R-TGGGTRAAR-TARGETSACCAGAAYCAGCGG (593 bp); *bla*_{OXA}: F-ACACAATACATAT-CAACTTCGC, R-AGTGTGTTTGAATGGTGATC (813 bp).

In order to visualize the PCR amplicons, samples were mixed with 4 µl of Thermo Scientific loading dye and loaded into the wells of a 1.5% agarose gel in 1 × Tris–acetate–EDTA (TAE) buffer. The gel was run at 130 V for 60 min. Amplicons were visualized using an ultraviolet transilluminator system (DIGI DOC-IT System) for analysis. The gel had one well containing a DNA ladder (100 bp; Thermo Scientific) in order to be able to estimate the size of the DNA amplicons.

2.7. Plasmid sequencing and analysis

Plasmid DNA was extracted as described above, quantified using Qubit, and sequenced using the Illumina NGS platform. The sequence data were downloaded from the GenBank database and each sequence file was compared to a number of reference plasmid replicon sequences present in the Plasmid Finder database using BLASTn. Circular representations of the plasmid sequence were created using Unipro UGENE software, and the sequenced plasmids were aligned and compared to reference replicon sequences using BioEdit software.

2.8. Detection of carbapenemase genes using PCR

Another multiplex PCR was conducted for the detection of the carbapenem resistance genes *Klebsiella pneumoniae* carbapenemase (KPC), New Delhi metallo-beta-lactamase (NDM), OXA-48, IMP, SPM, and VIM. DNA extraction was performed as described in the previous section. The presence of the carbapenem resistance genes was tested using universal primers.²¹ PCR amplification reactions were performed in a volume of 20 µl containing 10 µl of Taq PCR Master Mix, 5 µl of sterile water, 4 µl of the primer mix, and 1 µl of the extracted DNA. The conditions of the PCR reaction

were as follow: 94 °C for 10 min, then 36 cycles of 30 s at 94 °C, 40 s at 52 °C, and 50 s at 72 °C for amplification, then 5 min at 72 °C for the final extension.²¹ Amplified DNA products were subjected to electrophoresis on a 1.5% agarose gel in 1 × TAE buffer. The gel was run at 130 V for 1 h. The visualization of amplicons was performed using an ultraviolet transilluminator system (DIGI DOC-IT System) for analysis.

The primer sequences and expected amplicon sizes of target carbapenemase genes were as follows: *bla*_{KPC}: F-CGTCTAGTCTGCTGTCTTTC, R-CTTGTCATCCTTGTAGGCG (798 bp); *bla*_{NDM}: F-GGTTTGGCGATCTGGTTTTC, R-CGGAATGGCTCATCAGGATC (621 bp); *bla*_{OXA-48}: F-GCGTGGTTAAGGATGAACAC, R-CATCAAGTTC-CAACCAACCG (438 bp); *bla*_{IMP}: F-GGAATAGAGTGGCTTAAYTCTC, R-GGTTTAAAYAAAACAACCACC (232 bp); *bla*_{SPM}: F-AAAATCTGGG-TACGCAAACG, R-ACATTATCCGCTGGAACAGG (271 bp); *bla*_{VIM}: F-GATGGTGTITGGTCCGATA, R-CGAATGCGCAGCACCAG (390 bp).

2.9. Statistics and data analysis

For univariate analysis, classical descriptive methods were used according to each site separately. Furthermore, the distributions of variables according to carriage status were compared by conducting a bivariate analysis. A *p*-value of ≤0.05 was considered statistically significant. Furthermore, risk factors with a *p*-value of ≤0.15 were subjected to multivariate analysis. IBM SPSS Statistics version 20.0 (IBM Corp., Armonk, NY, USA) was used for all statistical calculations.

3. Results

3.1. Demographics and prevalence of MDR *Enterobacteriaceae* fecal carriage

The demographic characteristics of the elderly subjects are presented in Table 2. For both nursing homes, the prevalence of fecal carriage was as follow: 32 elderly subjects (54.2%) were fecal carriers at the first collection, 33 (64.7%) at the second collection, 24 (42.1%) at the third collection, 24 (47%) at the fourth collection, and 25 (56.8%) at the fifth collection. Overall, 76.5% of the recruited residents were at least one-time carriers, while 23.5% of them were never carriers.

3.2. Dynamics of MDR *Enterobacteriaceae* fecal carriage

In this study, 262 samples were collected, of which 138 were positive for MDR *Enterobacteriaceae* (52.6%). From these

Table 2
Characteristics of nursing home residents recruited in this study^a

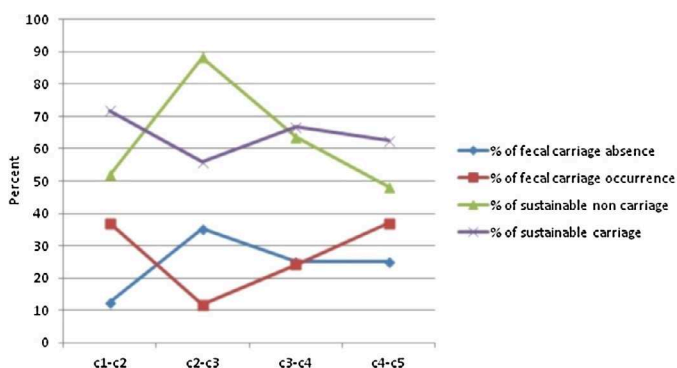
	NH1	NH2
Total number	57	11
Sex		
Male	19 (33.3)	5 (45.5)
Female	38 (66.7)	6 (54.5)
Age, years, mean (SD)	78 (7.8)	75.82 (9.3)
LOS, days, mean (median)	1016 (818)	402.36 (598)
Room accommodation		
Single	3 (5.3)	10 (90.9)
Double	13 (22.8)	1 (9.1)
Triple	1 (1.8)	0 (0)
Quadruple	4 (7)	0 (0)
More than 4 beds/room	36 (63.2)	0 (0)
Mobility status		
Ambulant	11 (19.3)	4 (36.4)
Wheelchair	46 (80.7)	4 (36.4)
Bedridden	0 (0)	3 (27.3)
Urinary catheter	4 (7)	4 (36)
Urinary/fecal incontinence	43 (75.4)	7 (63.6)
Wounds/ulcers	6 (10.5)	2 (18.2)
Recent surgery during last 3 months	2 (3.5)	3 (27.3)
Recent hospitalization during last year	8 (14)	4 (36.4)
Recent antibiotic intake during last 3 months	27 (47.4)	7 (63.6)
Multidrug-resistant bacterial infections	2 (3.5)	0 (0)
Diabetes	6 (10.5)	4 (36.4)
Cancer	2 (3.5)	0 (0)
Pulmonary diseases	6 (10.5)	3 (27.3)
Cardiovascular diseases	24 (42.1)	4 (36.4)
Neurological diseases	24 (42.1)	2 (18.2)
Urogenital pathologies	11 (19.3)	4 (36.4)
Renal diseases	1 (1.8)	0 (0)

NH, nursing home; SD, standard deviation; LOS, length of stay.

^a All data are presented as the number (%) unless stated otherwise.

138 positive samples, 178 isolates were obtained. The number of elderly subjects versus the number of isolates was not 1 to 1, since more than one isolate was obtained for some residents. Overall, 159 isolates (89%) were identified as *E. coli*, 14 (8%) as *Klebsiella spp*, and five (3%) as *Citrobacter spp*.

The fecal carriage among elderly subjects varied from one collection to another (Figure 1). From collection 1 to 2, the carriage of MDR *Enterobacteriaceae* disappeared for four subjects (12.5%), while it appeared in 10 (37%). Between collections 2 and 3, the carriage disappeared in 13 subjects (38.2%), while it appeared in two (11.8%). Between collections 3 and 4, six carriers (25%) became non-carriers, while eight non-carriers (24.2%) became carriers. Between collections 4 and 5, the carriage disappeared in six subjects (25%), while it appeared in 10 (37%). Overall, out of the 52 elderly subjects who were at least one-time carriers, eight (15.4%) were permanent carriers, while 44 (84.6%) were

**Figure 1.** Dynamics and stability of multidrug-resistant *Enterobacteriaceae* fecal carriage.

intermittent carriers. *E. coli* was the most stable resistant colonizer isolated at the five collections, while *Klebsiella spp* and *Citrobacter spp* were only isolated at four and three of the collections, respectively.

3.3. ESBL, AmpC, and OXA-48 detection

The antimicrobial susceptibility testing results are summarized in Table 3. Phenotypic testing revealed that out of 178 isolates, 163 (91.5%) were ESBL producers. Five isolates (2.8%) were found to be co-producers of ESBL and AmpC. Seven isolates (4%) were considered AmpC producers. Furthermore, 46% of the isolated ESBL and/or AmpC producers were co-resistant to at least two other non-beta-lactam antimicrobial agents, 38% were co-resistant to only one non-beta-lactam, and 16% showed no co-resistance. The detailed susceptibility rates for each category are presented in Table 3. As an average of the five collections, 89.5% of ESBL production was detected in *E. coli*, while only 8.5% and 1.8% were detected in *Klebsiella spp* and *Citrobacter spp*, respectively. A 71.4% AmpC production was observed in *E. coli*; however, the methodology used does not distinguish between the constitutive and plasmid-mediated resistance due to AmpC. The simultaneous production of ESBL and AmpC, as well as ESBL and OXA-48, was observed at only the first and second collections; in both cases these were produced by isolates of *E. coli* (Table 4). Three isolates of *E. coli* were carbapenem-non-susceptible. Two of these were isolated from the same patient during the first and second collections, while the third was isolated from another patient during the first collection. In the subsequent collections, no carbapenem-resistant isolates were detected. Phenotypic tests suggested an OXA-48 probably co-produced with ESBL. In this regard, temocillin disks were used for the three isolates (Figure 2).

3.4. Genotypic detection of resistance and occurrence of CTX-M-15

Multiplex PCR analysis performed on 18 isolates revealed the presence of the TEM gene in 17 of them, CTX-M in 16, OXA in four, and SHV in two. Eleven isolates showed coexistence of CTX-M and TEM genes, four showed coexistence of three or four genes, and three isolates harbored only one gene (Figure 3). The 16 isolates harboring the CTX-M gene were all positive for CTX-M-15 after

Table 3
Rates of susceptibility of MDR *Enterobacteriaceae* isolates

Antimicrobial agent	Number of susceptible isolates (%)		
	ESBL producers (n=163)	ESBL and AmpC co-producers (n=5)	AmpC producers (n=7)
Ampicillin	0 (0)	0 (0)	0 (0)
Aztreonam	7 (4.2)	0 (0)	3 (42.8)
Cefoxitin	152 (93.2)	0 (0)	0 (0)
Cefotaxime	0 (0)	0 (0)	0 (0)
Ceftazidime	14 (8.5)	0 (0)	0 (0)
Cefepime	2 (1.2)	0 (0)	7 (100)
Amoxicillin-clavulanic acid	67 (41.1)	0 (0)	0 (0)
Piperacillin-tazobactam	68 (41.7)	2 (40)	3 (42.8)
Meropenem	163 (100)	5 (100)	7 (100)
Imipenem	163 (100)	5 (100)	7 (100)
Ertapenem	163 (100)	5 (100)	7 (100)
Tigecycline	122 (100) ^a	3 (100) ^a	7 (100)
Trimethoprim-sulfamethoxazole	55 (33.74)	3 (60)	2 (28.5)
Ciprofloxacin	61 (37.4)	2 (40)	2 (28.5)
Gentamicin	83 (50.9)	1 (20)	6 (85.7)

MDR, multidrug-resistant; ESBL, extended-spectrum beta-lactamase.

^a Only 122 ESBL producers and three ESBL and AmpC co-producers were tested for tigecycline susceptibility.

Table 4
Prevalence of MDR *Enterobacteriaceae* in different species over the five collections

	Species	Number of isolates	Phenotypic mechanism of resistance
Collection 1	<i>Escherichia coli</i>	35	ESBL
		3	ESBL/AmpC
		1	AmpC
		2	OXA-48/ESBL
Collection 2	<i>Klebsiella oxytoca</i>	5	ESBL
		36	ESBL
		2	ESBL/AmpC
		1	AmpC
Collection 3	<i>Klebsiella oxytoca</i>	3	OXA-48/ESBL
		3	ESBL
	<i>Klebsiella pneumoniae</i>	3	ESBL
		25	ESBL
	Collection 4	<i>Escherichia coli</i>	1
1			ESBL
26			ESBL
Collection 5	<i>Klebsiella pneumoniae</i>	1	AmpC
		1	ESBL
	<i>Citrobacter diversus</i>	1	ESBL
		24	ESBL
	<i>Escherichia coli</i>	1	AmpC
<i>Klebsiella oxytoca</i>	1	AmpC	
<i>Klebsiella pneumoniae</i>	1	ESBL	
<i>Citrobacter diversus</i>	2	ESBL	
		1	AmpC

MDR, multidrug-resistant; ESBL, extended-spectrum beta-lactamase.

DNA extraction and sequencing, therefore showing a high occurrence of this enzyme in the ESBL population. In the phenotypic testing, 17 out of the 18 isolates showed a keyhole effect and were therefore identified as ESBL producers (Table 5).

Regarding the three carbapenem-resistant isolates, multiplex PCR analysis showed that all of them harbored an OXA-48 gene (Figure 4), thereby confirming the phenotypic results.

In view of the low number of isolates selected for genotypic testing, these results cannot be generalized, and tests addressing a larger number of isolates should be performed in the future to confirm that this is true on a larger scale.

3.5. Risk factors associated with fecal carriage of MDR *Enterobacteriaceae*

The associations between MDR *Enterobacteriaceae* fecal carriage and different factors are presented in Table 6. Univariate analysis revealed that recent antibiotic intake during the last 3 months and urogenital pathologies were the only risk factors associated with the fecal carriage of MDR *Enterobacteriaceae* ($p=0.03$ and $p=0.015$, respectively). The percentage of residents who had a recent antibiotic intake was 59.6% (31/52) among the at least one-time carriers and 18.8% (3/16) among the never carriers. For urogenital pathologies, the prevalence was 28.8% (15/52) in carriers versus 0% (0/16) in never carriers. In the multivariate analysis, three factors were included: recent antibiotic intake ($p=0.03$), urogenital pathologies ($p=0.015$), and diabetes ($p=0.102$). This final analysis revealed that recent antibiotic



Figure 2. Temocillin test for the phenotypic detection of OXA-48 production. (A) Negative results (sensitivity) with non-OXA-48 producing isolates. (B) Positive results (resistance) with the three carbapenem-resistant *Enterobacteriaceae* isolates producing OXA-48 isolated in this study.

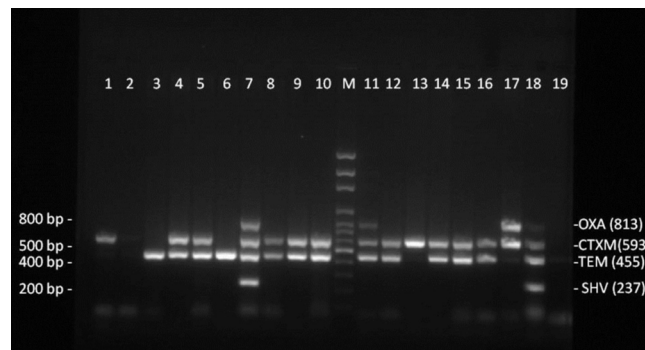


Figure 3. Detection of the beta-lactamase genes SHV, TEM, CTX-M, and OXA in multidrug-resistant *Enterobacteriaceae* isolates obtained from nursing home residents, using multiplex PCR. Lanes 1–18 represent the multidrug-resistant *Enterobacteriaceae* isolates tested. Lane 19 corresponds to the positive control (TEM 455 bp). Lane M is a 1.2-kb DNA ladder. The molecular size of the band in question is indicated in parentheses on the right of the image.

Table 5
Genotypic detection of beta-lactamase genes versus phenotypic identification

Species	Number of isolates	Phenotypic mechanism of resistance	Genes harbored
<i>Escherichia coli</i>	10	ESBL	TEM, CTX-M
<i>Escherichia coli</i>	2	ESBL	TEM, CTX-M, OXA
<i>Escherichia coli</i>	2	ESBL	TEM
<i>Escherichia coli</i>	1	ESBL	CTX-M
<i>Citrobacter diversus</i>	2	ESBL	TEM, SHV, CTX-M, OXA
<i>Citrobacter diversus</i>	1	AmpC	TEM, CTX-M

ESBL, extended-spectrum beta-lactamase.

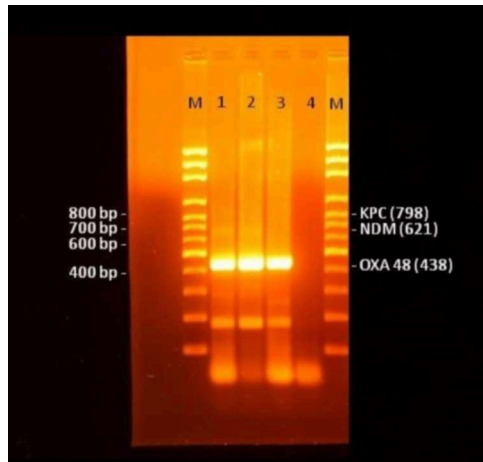


Figure 4. Detection of carbapenemase genes OXA-48, NDM, and KPC in carbapenem-resistant *Enterobacteriaceae* isolates obtained from nursing home residents, using multiplex PCR. Lanes 1–3 represent the carbapenem-resistant *Enterobacteriaceae* isolates. Lane 4 corresponds to the negative control. Lane M is a 1.2-kb DNA ladder. The molecular size of the band in question is indicated in parentheses on the right of the image.

intake was the only independent risk factor associated with MDR *Enterobacteriaceae* fecal carriage.

4. Discussion

Although several studies have addressed the issue of MDR *Enterobacteriaceae* in Lebanon, data on the spread of bacterial resistance in the community are very scarce. Only one recent study has been carried out in nursing homes in Beirut, and that study was performed by the present research group. In that study, it was found that 71.6% of the recruited elderly subjects were at least one-time carriers.¹³ Similar results were found in the present study implemented in the north of Lebanon (76.5%). These results, however, are relatively high when compared to those from similar studies conducted in long-term care facilities worldwide: 70.3% in Italy,²² 41.3% in Japan,²³ and 14.7% in Australia.²⁴ Differences in sample size, medical care, and hand hygiene practices at each site, in addition to differences in the microbiological screening methods used in each study might have influenced the results and therefore have yielded some variations.²⁵ Another important factor to consider when comparing these results is that the majority of the studies were conducted at one time-point only.

As shown in the present study, the carriage status of an elderly person should not be assumed on the basis of only one fecal sampling; rather, multiple screening samples are needed. According to Filius et al., differences in colonization rates could arise as a result of antibiotic consumption that has decreased the number of MDR *Enterobacteriaceae* to an undetectable level in the stool sample.²⁶

Table 6
Association between different factors and MDR *Enterobacteriaceae* fecal carriage^a

	At least one-time carrier	Never carrier
Total number	52 (76.5)	16 (23.5)
Sex		
Male	19 (36.5)	5 (31.2)
Female	33 (63.5)	11 (68.8)
Age, years, mean (SD)	77.81 (7.7)	77.63 (9.3)
LOS, days, mean (median)	900.7 (610)	1629 (829.5)
Room accommodation		
Single/double	22 (42.3)	5 (31.2)
Triple and more	30 (57.7)	11 (68.8)
Mobility status		
Ambulant	12 (23)	3 (18.8)
Wheelchair/bedridden	40 (77)	13 (81.2)
Urinary catheter	7 (13.5)	1 (6.2)
Urinary/fecal incontinence	37 (71.2)	13 (81.2)
Wounds/ulcers	7 (13.5)	1 (6.2)
Recent surgery during last 3 months	5 (9.6)	0 (0)
Recent hospitalization during last year	11 (21)	1 (6.2)
Recent antibiotic intake during last 3 months	31 (59.6) ^b	3 (18.8) ^b
Multidrug-resistant bacterial infections	2 (3.8)	0 (0)
Diabetes	10 (19.2) ^c	0 (0) ^c
Cancer	2 (3.8)	0 (0)
Pulmonary diseases	7 (13.5)	2 (12.5)
Cardiovascular diseases	23 (44.2)	5 (31.2)
Neurological diseases	19 (36.5)	7 (43.8)
Urogenital pathologies	15 (28.8) ^b	0 (0) ^b
Renal diseases	1 (1.9)	0 (0)

MDR, multidrug-resistant; SD, standard deviation; LOS, length of stay.

^a All data are presented as the number (%) unless stated otherwise.

^b *p*-Value ≤ 0.05 .

^c *p*-Value ≤ 0.15 .

The fecal carriage of AmpC producers among the recruited residents is an important finding in this study. AmpC-producing *Enterobacteriaceae* strains have previously been reported in clinical samples from Lebanon.^{27,28} However, the present study appears to be the first to report the prevalence of these MDR bacteria in a community setting. AmpC beta-lactamases are cephalosporinases that can be chromosomally mediated with inducible expression or plasmid-mediated with constitutive expression.^{29,30} Along with ESBLs, the non-recognition of these mechanisms by clinical laboratory personnel leads to inappropriate reporting of the antibiogram to the physician responsible. This in many cases may lead to therapeutic failures.³¹ Nevertheless, the present study might have suffered some limitations due to the use of phenotypic tests to incriminate the corresponding mechanisms of resistance. As is well known, these tests are very helpful for clinical microbiology laboratories; however, their specificities and sensitivities are questionable.

The detection of OXA-48 producers is a major and alarming issue. These beta-lactamases are plasmid-mediated class D oxacillinases that convey resistance to penicillins and have moderate hydrolyzing activity to carbapenems.³² In this study, the phenotypic confirmation of OXA-48 production was performed using temocillin disks. High-level resistance to temocillin is not restricted to OXA-48 producers; metallo-beta-lactamases (MBLs) and KPCs can also be highly resistant to temocillin.³³ Therefore, temocillin resistance is considered a phenotypic confirmation of OXA-48 only in cases where other carbapenem resistance mechanisms are excluded.³⁴ It is important to note that the three ertapenem-resistant isolates in this study were intermediate to meropenem and imipenem and were isolated from two different elderly subjects who had no history of recent hospitalization; however, recent antibiotic treatment with amoxicillin-clavulanic acid was reported for one of them.

Of interest, it was found that in spite of the considerable socio-economic and cultural differences between Beirut and Tripoli, the results of this study were, to a certain extent, similar to those obtained in the study previously undertaken by this research group in Beirut.¹³ In this context, there is agreement between these two studies on the frequency of carriage of ESBL-producing organisms (*E. coli* 82.7% in Beirut and 89% in Tripoli, *K. pneumoniae* 9.7% in Beirut and 8% in Tripoli). In addition, 80.7% of elderly subjects in Beirut were at least one-time carriers and 19.3% never carriers, while these percentages were found to be 76.5% and 23.5%, respectively, in elderly persons in Tripoli. However, although both studies agree that recent antibiotic intake is a significant risk factor, it was found that recent urinary tract pathologies and diabetes were risk factors only among Tripoli nursing homes residents. In addition, carbapenem-resistant *Enterobacteriaceae* were not isolated from the Beirut population.

Obviously other factors played a role in this relatively high prevalence. One possibility is the cross-transmission with resistant bacteria, since 38.5% of elderly subjects who were at least a one-time carrier had no history of recent antibiotic intake. In nursing homes, modes of transmission of MDR *Enterobacteriaceae* usually result from non-adherence to infection control measures; environmental surfaces are not frequently decontaminated, waste is often disposed of incorrectly, and hand hygiene practices are far from optimal in these settings.¹⁰ In 2011, a randomized controlled trial was undertaken in Hong Kong long-term care facilities in order to determine the effectiveness of a hand hygiene infection control program. During the study period, adherence to hand hygiene increased significantly and the occurrence of serious infections decreased from 1.42 cases to 0.65 cases per 1000 resident-days.³⁵

In conclusion, this study demonstrated that the prevalence of fecal carriage of MDR *Enterobacteriaceae* in north Lebanon is high and shows different patterns (one-time carriage, constant carriage, never carriage, etc.). The screening of newly admitted residents for the fecal carriage of MDR *Enterobacteriaceae* becomes a crucial task. The emergence of carbapenem resistance in the community is alarming; training of clinical laboratory technologists on the appropriate detection of the different mechanisms of resistance is essential. The prevalence of MDR *Enterobacteriaceae* fecal carriage among elderly nursing home residents (76.5%) is noteworthy and underlines the importance of nursing homes as reservoirs of resistance in the Lebanese community. The fecal carriage of MDR *Enterobacteriaceae* is dynamic and changes with time. In the majority of the isolates obtained, multidrug resistance was mediated by ESBL production. CTX-M-15 was present in 16 out of the 18 tested ESBL-producing isolates. This does not differ from the average CTX-M-15 in the Lebanese population, although the number of genotypically tested isolates in this study was relatively low. It is well known that phenotypic tests are not as accurate as genotypic methods; however, these are the best available way to detect resistance and incriminate the corresponding mechanism of resistance with an acceptable level of certainty in the clinical laboratories of the country.

Acknowledgements

We are grateful to Dr Jihad Irani (Faculty of Medicine, University of Balamand) for his assistance in the statistics and data analysis of this work. This study was funded by the Lebanese Council for Research. The latter had no role in the study design, in the collection, analysis, and interpretation of data, in the writing of the manuscript, or in the decision to submit the manuscript for publication.

Conflict of interest: The authors declare that no conflict of interest exists.

References

- Kang CI, Song JH. Antimicrobial resistance in Asia: current epidemiology and clinical implications. *Infect Chemother* 2013;**45**:22–31.
- Eshetie S, Unakal C, Gelaw A, Ayelign B, Endris M, Moges F. Multidrug resistant and carbapenemase producing *Enterobacteriaceae* among patients with urinary tract infection at referral hospital, Northwest Ethiopia. *Antimicrob Resist Infect Control* 2015;**4**:12.
- Carlet J, Jarlier V, Harbarth S, Voss A, Goossens H, Pittet D, et al. Ready for a world without antibiotics? The Penesier Antibiotic Resistance Call to Action. *Antimicrob Resist Infect Control* 2012;**1**:11.
- Cassone M, Mody L. Colonization with multi-drug resistant organisms in nursing homes: scope, importance, and management. *Curr Geriatr Rep* 2015;**4**:87–95.
- Villar HE, Baserni MN, Jugo MB. Faecal carriage of ESBL-producing *Enterobacteriaceae* and carbapenem-resistant Gram-negative bacilli in community settings. *J Infect Dev Ctries* 2013;**7**:630–4.
- Chabok A, Tarnberg M, Smedh K, Pahlman L, Nilsson LE, Lindberg C, et al. Prevalence of fecal carriage of antibiotic-resistant bacteria in patients with acute surgical abdominal infections. *Scand J Gastroenterol* 2010;**45**:1203–10.
- Ludden C, Cormican M, Vellinga A, Johnson JR, Austin B, Morris D. Colonisation with ESBL-producing and carbapenemase-producing *Enterobacteriaceae*, vancomycin-resistant enterococci, and methicillin-resistant *Staphylococcus aureus* in a long-term care facility over one year. *BMC Infect Dis* 2015;**15**:168.
- van Buul LW, van der Steen JT, Veenhuizen RB, Achterberg WP, Schellevis FG, Essink RT, et al. Antibiotic use and resistance in long term care facilities. *J Am Med Dir Assoc* 2012;**13**. 568.e1–13.
- Duse A. Infection control in developing countries with particular emphasis on South Africa. *South Afr J Epidemiol Infect* 2005;**20**:37–41.
- Moro ML, Gagliotti C. Antimicrobial resistance and stewardship in long-term care settings. *Future Microbiol* 2013;**8**:1011–25.
- Shaikh S, Fatima J, Shakil S, Rizvi SM, Kamal MA. Antibiotic resistance and extended spectrum beta-lactamases: types, epidemiology and treatment. *Saudi J Biol Sci* 2015;**22**:90–101.
- Beyrouthy R, Robin F, Dabboussi F, Mallat H, Hamze M, Bonnet R. Carbapenemase and virulence factors of *Enterobacteriaceae* in north Lebanon between 2008 and 2012: evolution via endemic spread of OXA-48. *J Antimicrob Chemother* 2014;**69**:2699–705.
- Jallad MA, Naoufal R, Irani J, Azar E. Extended spectrum beta-lactamase carriage state among elderly nursing home residents in Beirut. *ScientificWorldJournal* 2015; 2015. Available at: <http://www.hindawi.com/journals/tswj/2015/987580/abs/> (accessed in 8 April, 2015).
- Moubareck C, Daoud Z, Hakime NI, Hamze M, Mangeny N, Matta H, et al. Countrywide spread of community- and hospital-acquired extended-spectrum beta-lactamase (CTX-M-15)-producing *Enterobacteriaceae* in Lebanon. *J Clin Microbiol* 2005;**43**:3309–13.
- Clinical and Laboratory Standards Institute. Performance standards for antimicrobial susceptibility testing. 24th Informational supplement. Document M100-S24. Wayne, PA: CLSI; 2014. Available at: http://ncipd.org/control/images/NCIPD_docs/CLSI_M100-S24.pdf (accessed in 11 December, 2014).
- Birgy A, Bidet P, Genel N, Doit C, Decre D, Arlet G, et al. Phenotypic screening of carbapenemases and associated beta-lactamases in carbapenem-resistant *Enterobacteriaceae*. *J Clin Microbiol* 2012;**50**:1295–302.
- Helmy MM, Wasfi R. Phenotypic and molecular characterization of plasmid mediated AmpC beta-lactamases among *Escherichia coli*, *Klebsiella spp.*, and *Proteus mirabilis* isolated from urinary tract infections in Egyptian hospitals. *Biomed Res Int* 2014;**2014**:171548.
- van Dijk K, Voets GM, Scharringa J, Voskuil S, Fluit AC, Rottier WC, et al. A disc diffusion assay for detection of class A, B and OXA-48 carbapenemases in *Enterobacteriaceae* using phenyl boronic acid, dipicolinic acid and temocillin. *Clin Microbiol Infect* 2014;**20**:345–9.
- Huang TD, Poirer L, Bogaerts P, Berhin C, Nordmann P, Glupczynski Y. Temocillin and piperacillin/tazobactam resistance by disc diffusion as antimicrobial surrogate markers for the detection of carbapenemase-producing *Enterobacteriaceae* in geographical areas with a high prevalence of OXA-48 producers. *J Antimicrob Chemother* 2014;**69**:445–50.
- Fang H, Ataker F, Hedin G, Dornbusch K. Molecular epidemiology of extended-spectrum beta-lactamases among *Escherichia coli* isolates collected in a Swedish hospital and its associated health care facilities from 2001 to 2006. *J Clin Microbiol* 2008;**46**:707–12.
- Poirer L, Walsh TR, Cuvillier V, Nordmann P. Multiplex PCR for detection of acquired carbapenemase genes. *Diagn Microbiol Infect Dis* 2011;**70**:119–23.
- March A, Aschbacher R, Dhanji H, Livermore DM, Bottcher A, Slegel F, et al. Colonization of residents and staff of a long-term-care facility and adjacent acute-care hospital geriatric unit by multiresistant bacteria. *Clin Microbiol Infect* 2010;**16**:934–44.
- Luvshansharav UO, Hirai I, Niki M, Nakata A, Yoshinaga A, Yamamoto A, et al. Fecal carriage of CTX-M beta-lactamase-producing *Enterobacteriaceae* in nursing homes in the Kinki region of Japan. *Infect Drug Resist* 2013;**6**:67–70.
- Lim CJ, Cheng AC, Kennon J, Spelman D, Hale D, Melican G, et al. Prevalence of multidrug-resistant organisms and risk factors for carriage in long-term care facilities: a nested case-control study. *J Antimicrob Chemother* 2014;**69**:1972–80.
- Jans B, Schoevaerdt D, Huang TD, Berhin C, Latour K, Bogaerts P, et al. Epidemiology of multidrug-resistant microorganisms among nursing home residents in Belgium. *PLoS One* 2013;**8**:e64908.

26. Filius PM, Gyssens IC, Kershof IM, Roovers PJ, Ott A, Vulto AG, et al. Colonization and resistance dynamics of Gram-negative bacteria in patients during and after hospitalization. *Antimicrob Agents Chemother* 2005;**49**:2879–86.
27. Baroud M, Dandache I, Araj GF, Wakim R, Kanj S, Kanafani Z, et al. Underlying mechanisms of carbapenem resistance in extended-spectrum beta-lactamase-producing *Klebsiella pneumoniae* and *Escherichia coli* isolates at a tertiary care centre in Lebanon: role of OXA-48 and NDM-1 carbapenemases. *Int J Antimicrob Agents* 2013;**41**:75–9.
28. Hammoudi D, Ayoub Moubareck C, Aires J, Adaime A, Barakat A, Fayad N, et al. Countrywide spread of OXA-48 carbapenemase in Lebanon: surveillance and genetic characterization of carbapenem-non-susceptible *Enterobacteriaceae* in 10 hospitals over a one-year period. *Int J Infect Dis* 2014;**29**:139–44.
29. Thomson KS. Extended-spectrum-beta-lactamase, AmpC, and carbapenemase issues. *J Clin Microbiol* 2010;**48**:1019–25.
30. Grover N, Sahni AK, Bhattacharya S. Therapeutic challenges of ESBLs and AmpC beta-lactamase producers in a tertiary care center. *Med J Armed Forces India* 2013;**69**:4–10.
31. Tamma PD, Girdwood SC, Gopaul R, Tekle T, Roberts AA, Harris AD, et al. The use of cefepime for treating AmpC beta-lactamase-producing *Enterobacteriaceae*. *Clin Infect Dis* 2013;**57**:781–8.
32. Poirel L, Potron A, Nordmann P. OXA-48-like carbapenemases: the phantom menace. *J Antimicrob Chemother* 2012;**67**:1597–606.
33. Woodford N, Pike R, Meunier D, Loy R, Hill R, Hopkins KL. In vitro activity of temocillin against multidrug-resistant clinical isolates of *Escherichia coli*, *Klebsiella spp.* and *Enterobacter spp.*, and evaluation of high-level temocillin resistance as a diagnostic marker for OXA-48 carbapenemase. *J Antimicrob Chemother* 2014;**69**:564–7.
34. Barbarini D, Russello G, Brovarone F, Capatti C, Colla R, Perilli M, et al. Evaluation of carbapenem-resistant *Enterobacteriaceae* in an Italian setting: report from the trench. *Infect Genet Evol* 2015;**30**:8–14.
35. Yeung WK, Tam WS, Wong TW. Clustered randomized controlled trial of a hand hygiene intervention involving pocket-sized containers of alcohol-based hand rub for the control of infections in long-term care facilities. *Infect Control Hosp Epidemiol* 2011;**32**:67–76.

Article 11

Fecal carriage of MDROs in a population of Lebanese elderly: Dynamics and impact on bacterial fitness.

Caren Challita, Elias Dahdouh, Michel Attiyeh, Iman Dandachi, Elio Ragheb, Roy Taoutel, Carl Tanba and Ziad Daoud.

Published in **Journal of Infection and Public Health**

Impact Factor: 2.118



Fecal carriage of MDROs in a population of Lebanese elderly: Dynamics and impact on bacterial fitness



Caren Challita^a, Elias Dahdouh^b, Michel Attieh^a, Iman Dandachi^a, Elio Ragheb^a, Roy Taoutel^a, Carl Tanba^a, Ziad Daoud^{a,*}

^a Faculty of Medicine, Clinical Microbiology Lab, University of Balamand, Lebanon

^b Faculty of Veterinary, Department of Animal Health, Universidad Complutense de Madrid, Madrid, Spain

ARTICLE INFO

Article history:

Received 19 October 2016

Received in revised form

19 November 2016

Accepted 28 November 2016

Keywords:

Multi-Drug Resistant Organisms

Fecal carriage

Fitness cost

Nursing homes

ABSTRACT

Muti-Drug Resistant Organisms (MDROs) are problematic all over the world, especially in Lebanon. High fecal carriage rates of MDR *Enterobacteriaceae* were reported from Lebanese nursing homes. Some studies show that MDROs have a fitness cost as compared to sensitive isolates. In this study, the competitive growth of MDR *Escherichia coli* obtained from fecal samples from elderly is assessed.

Fecal swabs from ten elderly patients from a Lebanese nursing home were obtained between June and December, 2015. Isolates were identified by API 20E and antimicrobial susceptibilities were determined. Production of ESBL (extended spectrum β lactamase), MBL (metallo β lactamase), AmpC and KPC (*Klebsiella pneumoniae* carbapenemase) was detected phenotypically by the use of EDTA, PBA, cloxacillin, and DDSTs. *In-vitro* competition assays were performed using *E. coli* isolates with different combinations of bacterial resistance.

A total of 117 isolates was obtained with 71.8% *E. coli*, 7.7% of which were ESBL and 5.1% AmpC producers. Sensitive *E. coli* isolates out-competed all other isolates when in competition, followed sequentially by ESBL, AmpC, and OXA-48 (oxacillin) producers.

This study shows an advantage of sensitive *E. coli* strains obtained from fecal samples to out-compete resistant strains in specific *in-vitro* conditions. This ability could be exploited in the elimination of MDR organisms from the gut flora, after further investigation.

© 2017 The Authors. Published by Elsevier Limited. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Introduction

The rapid emergence and spread of bacterial resistance is considered a major public health concern [1]. Bacterial resistance may develop in the gastro-intestinal tract in several ways. For instance, the excessive use of antimicrobial agents eliminates the sensitive bacteria of the human gut normal flora, facilitating colonization by resistant organisms [2]. Multi-Drug Resistant Organisms (MDROs) could also be acquired from dietary sources and colonize the gut [3]. These MDROs could increase the risk of endogenous infections by resistant bacteria and reduce the efficiency of available treatment options [4,5].

Beta-lactamase production is one of several mechanisms by which bacteria develop resistance [6]. The most common

β -lactamases in *Enterobacteriaceae* are Extended Spectrum Beta-Lactamases (ESBLs), AmpCs and carbapenemases [7]. ESBLs are usually plasmid mediated and confer resistance to penicillins, monobactams and extended spectrum cephalosporins, yet show *in-vitro* susceptibility to cephamycins and amoxicillin-clavulanic acid [8]. AmpCs are also present as chromosomal or plasmidic and they are additionally able to hydrolyze cephamycins [9]. Carbapenemases have the ability to hydrolyze carbapenems and relay high-level resistance to beta-lactams [10].

Varying rates of fecal carriage of resistant *Enterobacteriaceae* were observed in different communities. In the Far East, a study conducted in the Chinese Shandong province showed a 42% fecal carriage rate of β -lactamase producing *Enterobacteriaceae* [11]. A similar study covering seven nursing homes in Shanghai reported a rate of 46.92% [12]. Also, a one year study in Nara, Japan identified an 8.5% carriage rate in the community, while the rate went as high as 19.6% among elderly in Japanese nursing homes [13,14]. In European countries such as Germany, a three-year study focusing on resistant *Escherichia coli* fecal carriage identified a 6.4% carriage rate in the community [15]. A different study involv-

* Corresponding author at: Faculty of Medicine and Medical Sciences, Clinical Microbiology Laboratory, University of Balamand, PO Box 33, Amioun, Beirut, Lebanon.

E-mail address: ziad.daoud@balamand.edu.lb (Z. Daoud).

ing thirty one nursing homes in Bavaria recorded a rate of 14.7% [16]. Moreover, simultaneous investigations in two Swedish cities revealed an 8.7% carriage rate of β -lactamase producing *Enterobacteriaceae* in the community and 11% among nursing home residents [17]. In Lebanon, a study conducted on children over a period of three months in 2013 reported a 24.8% carriage rate of MDR organisms [18]. However, higher rates reaching 71.6% and 76.5% of β -lactamase producing *Enterobacteriaceae* were detected in nursing homes in Beirut and Tripoli, respectively [19,20]. These studies also noted that resistant isolates were not consistently recovered from the patients at all the time points chosen.

Many studies showed an association between bacterial resistance and a fitness cost incurred on the bacterium [21]. This association could possibly lead to the loss of resistant strains as they become outgrown by sensitive strains in the gut. One study performed on tigecycline resistant *E. coli* showed a lower total yield of this strain as compared to the parenteral isolate. The reduction was most probably attributed to the acquired resistance [22]. Although several studies have investigated the fitness cost of certain resistant strains, the co-existence of MDR *Enterobacteriaceae* having different mechanisms of resistance has not been investigated.

In this study, fecal samples from elderly residing in a nursing home in north Lebanon were screened for resistant *Enterobacteriaceae* over a period of six months. The *in-vitro* competition among *E. coli* isolates with different phenotypic susceptibility profiles from these samples was then evaluated.

Materials and methods

Study design and population

A cross sectional study was conducted on 10 elderly patients residing in a nursing home located in Tripoli, north Lebanon.

Criteria for selection: patients were randomly selected from a pool of elderly patients in a nursing home previously identified by our group as carriers of MDR organisms. In addition, patients who received antibiotics within the 10 days prior to the initiation of the study were not recruited, and patient who received antibiotics during the period of the study were supposed to be discarded (however, none of the patients fulfilled this criterion).

Collection of fecal swabs and bacterial identification

One fecal swab was collected from each elderly at a regular monthly interval between July and December, 2015. Sensitive bacterial isolates were collected from MacConkey agar plates whereas resistant isolates were collected from MacConkey agar plates supplemented with 2 mg/ml cefotaxime. API20E strips (BioMérieux) were used for identification. Individual isolates were preserved in Luria Bertani broth supplemented with 20% glycerol at -20°C .

Phenotypic detection of resistance

The Kirby-Bauer diffusion method was used to determine the antimicrobial susceptibility of all the collected isolates. The results were interpreted according to the Clinical Laboratory Standards Institute (CLSI) guidelines [23]. In this study, Multi-Drug-Resistant-Organism (MDRO) was defined as any ESBL, AmpC, and/or Carbapenemase producing *Enterobacteriaceae*. The Double Disk Synergy Test (DDST) was used for the phenotypic determination of ESBL production. In this test, amoxicillin-clavulanic acid disks surrounded by cefepime, ceftazidime and aztreonam disks were placed on a lawn of the test isolate on Mueller Hinton Agar (MHA). After overnight incubation at 37°C , the detection of a “key-hole” was indicative of ESBL production. Additional tests were performed for all isolates showing reduced susceptibility towards

cefotaxime or carbapenems. The additional tests consisted of determination of the changes in the inhibition zones of antibiotic disks by the use of: MHA plates impregnated with 5 mM ethylenediaminetetraacetic acid (EDTA) for the detection of Metallo β -lactamases (MBLs); MHA plates impregnated with 10 g/L phenylboronic acid (PBA) for the detection of *Klebsiella pneumoniae* Carbapenemase (KPC); and MHA plates embedded with 270 mg/L cloxacillin for the detection of AmpC [24–26]. Temocillin disks were also used for the detection of OXA-48 production [27].

In-vitro competition assays

In-vitro competition assays were performed as described by Lopez-Rojas et al., with minor modifications [28]. Nine combinations were used in the competition assays that included: ESBL, AmpC, or OXA-48 producers on one hand and sensitive *E. coli* isolates on the other; two MDR *E. coli* isolates with different mechanisms of resistance in competition with each other; two MDR *E. coli* isolates with different mechanisms of resistance and a sensitive isolate in competition with each; three MDR *E. coli* isolates with different mechanisms of resistance in competition with each other; and three MDR *E. coli* isolates with different mechanisms of resistance with one sensitive isolate in competition with each other. The OXA-48-producing *E. coli* isolate was provided by Miss Iman Dandachi from a previous study on fecal swabs from elderly patients for inclusion in our study.

Inocula were adjusted to 1.5×10^6 CFU/mL and were used in order to prepare single cultures and mixed cultures for the selected combinations. Single cultures consisted of the strains that were in competition without the presence of any other organism. Single and mixed cultures for the same strains were performed at the same time. For single cultures, 1:10 serial dilutions in sterile distilled water were performed. At each time point, including the moment of inoculation, 20 μL from each dilution was then spread on MHA agar plates in duplicates and the plates were incubated overnight at 37°C . The same was performed for mixed cultures that were subsequently spread on both MHA and selective MHA (containing 16 mg/L Gentamycin, 2 $\mu\text{g}/\text{mL}$ Ciprofloxacin or 2 $\mu\text{g}/\text{mL}$ from a 10^5 $\mu\text{g}/\text{mL}$ cefotaxime solution; depending on the susceptibility profile of the isolate). In parallel, the OD₅₈₀ was measured at each time point for all the cultures. The following days, viable colonies were counted and the concentrations of the Colony Forming Units per mL (CFU/mL) of each strain in the initial suspension were determined. Competition Indexes (CI) were calculated from mixed cultures. The following formula was used for calculating the CI at each time point: $[(\text{number of isolates A recovered})/(\text{number of isolates B recovered})]/[(\text{number of isolates A inoculated})/(\text{number of isolates B inoculated})]$, where isolates “A” and “B” were determined for each combination that was used individually [28]. Growth rates and doubling times were also calculated from the counts and ODs of single cultures, respectively [29,30].

Statistics and data analysis

Semi quantitative and qualitative analysis were conducted using SPSS 20.0 software.

Ethics, consent, and permissions

A consent form regarding the participation in the study was signed by each recruited patient, his/her legal guardian, or an entitled member from his/her family. The privacy of participants and transparency of the ethical process were guaranteed. IRB approval was obtained.

Table 1
Dynamics of β -lactamase producing *Enterobacteriaceae* carriage among recruited elderly. Six fecal swabs for collected from elderly patients and phenotypic determination of the mechanism of the resistant isolates was performed. "AmpC" and "ESBL" stand for the detection of these enzymes among the isolates, "-" indicates that all the isolates were sensitive, "ND" stands for not determined, and "D" indicates that the patient died.

Patient	Collection 1	Collection 2	Collection 3	Collection 4	Collection 5	Collection 6
P1	AmpC	AmpC	-	-	-	-
P2	ESBL	-	-	-	ND	-
P3	-	-	-	-	-	-
P4	-	-	-	-	ESBL	-
P5	ESBL	-	ESBL	ND	ESBL	-
P6	AmpC & ESBL	ESBL	AmpC	-	AmpC	-
P7	ESBL	ESBL	-	-	-	ND
P8	-	-	-	-	AmpC	-
P9	-	-	-	-	AmpC	-
P10	ESBL	-	D	D	D	D

Results

Prevalence and dynamics of resistant *Enterobacteriaceae*

Nine out of the ten recruited elderly showed at least one time fecal carriage of resistant *Enterobacteriaceae*. Six out of these ten (60%) were fecal carriers in the first collection, three out of ten (30%) in the second, two out of nine (22.2%) in the third, none out of eight (0%) in the fourth, five (62.5%) out of eight the fifth and none (0%) out of seven in the sixth. Table 1 shows the dynamics of collecting the β -lactamase producing enzymes over the six collections. Since more than one isolate was obtained from each recruited elderly, the ratio of fecal samples and isolates collected was not 1:1 and the collected isolates totaled 117. *E. coli* was predominant among the collected isolates (71.8%). Four *K. pneumoniae*, three *Acinetobacter baumannii*, one *Enterobacter cloacae*, one *Proteus mirabilis*, and one *Pseudomonas aeruginosa* isolates were also among the collected isolates.

Of the 117 isolates, 100 (85.5%) were sensitive to all the tested antimicrobial agents and 14.5% were resistant to more than two classes of antimicrobial agents and therefore considered MDR. Non-*E. coli* isolates showed very high susceptibility to all the tested antimicrobial agents. Of the 84 *E. coli* isolates, 94% were susceptible to amoxicillin-clavulanic acid, 91.7% to piperacillin-tazobactam and ceftazidime, 88.1% to cefepime, 89% to aztreonam, 90.9% to gentamycin, 84.5% to ciprofloxacin, and 76.2% to trimethoprim-sulfamethoxazole. None of the isolates was resistant to carbapenems.

Phenotypic detection of β -lactamase production showed that nine (7.7%) *E. coli* isolates Produced ESBL. AmpC production was detected in six (5.1%) isolates where five were identified as *E. coli* and one as *E. cloacae*. However, the phenotypic test used in this study does not differentiate between chromosomal or plasmidic AmpC production. MBL, KPC, and OXA-48 were not detected phenotypically in any of the isolates.

In-vitro competition assays

Competition between a non- β -lactamase producer and β -lactamase producing *E. coli*

The Competition Indexes (CIs) obtained from *in-vitro* competition assays showed that, in the majority of the cases; the sensitive *E. coli* out-competed the β -lactamase producing *E. coli* strains. When in competition with sensitive *E. coli* strains, two ESBL producers showed a decreased growth at 8 and 72 h with CIs equal to 0.268 and 0.245, respectively. Three AmpC producers also showed a reduced growth after 8 h with CIs ranging between 0.853 and 0.375. Moreover, a continuous decrease in growth of the OXA-48 producer was observed after a CI equal to 0.036 was detected after 8 h of incubation.

Competition between β -lactamase producing *E. coli*

The growth of AmpC producing *E. coli* exhibited a decrease in growth after 8 h with a CI equal to 0.430 when grown with ESBL producing *E. coli*. Moreover, a CI equal to 0.125 after 8 h was noticed for the OXA-48 producer when competing with ESBL producing *E. coli*. On the other hand, when competing with an AmpC producer, the CI of the OXA-48 producing strain was 0.226 after 24 h of incubation. Furthermore, in one assay where the three types of β -lactamase producing *E. coli* were co-cultured, the OXA-48 producer was out-competed by both ESBL and AmpC producers (at 8 h, the CI was equal to 0.732 and 0.417, respectively). In the same experiment, AmpC had a weaker growth than ESBL since after 24 h a CI of 0.750 was obtained.

When the ESBL, AmpC, and sensitive *E. coli* strains were put in competition, ESBL producers (CIs: 0.347 and 0.491) and non-beta-lactamase producers (CIs: 0.922 and 0.128) out-competed AmpC producers after 8 h of incubation in two separate assays. ESBL producers exhibited, twice, a reduced growth after 8 h of co-culture (CIs: 0.966 and 0.260) when compared to the sensitive *E. coli*. However, at 48 h, a superior growth for the ESBL producers was noticed (CIs: 1.749 and 3.600). When a combination of all the phenotypes was placed in competition, the OXA-48 producer exhibited the slowest growth after 8 h of incubation as compared to the AmpC (CI: 0.119) and ESBL (CI: 0.033) producers, as well as the sensitive (CI: 0.052) strain. A decrease in growth after 8 h was also noticed for the AmpC producer as compared to the ESBL (CI: 0.275) producer and the sensitive (CI: 0.440) strain. It was not until 48 h after incubation that a decrease in growth was noted for the ESBL (CI: 0.989) producing isolate when compared to the sensitive isolate. Graphs for representatives of the aforementioned competition assays are presented in Figs. 1a and b.

Growth rates

The growth rates obtained from single cultures and the doubling time of these isolates are presented in Table 2. In general, the doubling times of the sensitive and the β -lactamase producing strains were different, leading therefore to greater growth rate constants in the sensitive isolates versus the β -lactamase producers. Moreover, similarly to what was observed in the competition assays, ESBL producers exhibited higher growth rate constants while in single cultures than both AmpC and OXA-48 producers. AmpC producers also had higher growth rate constants than OXA-48 producers (Table 2).

Discussion

High fecal carriage rates of resistant *Enterobacteriaceae* were identified in the Lebanese community, more specifically in nursing homes [19,20]. In this study, the fecal carriage rate of β -lactamase producing *Enterobacteriaceae*, as detected at least once during the study period, among 10 elderly patients residing in a nursing home

reached 90%. In accordance with other studies, *E. coli* was the predominant species among both resistant and sensitive isolates [14,19,20]. Also in conformity with earlier studies, the present results showed dynamic carriage of β -lactamase producing *Enterobacteriaceae* among residents of Lebanese nursing homes [19,20].

A possible explanation for this dynamic carriage would be the fitness cost exerted on the bacteria by the resistance mechanisms. In fact, available literature reported that in an antibiotics-free environment, resistant genes confer a fitness cost to the bacteria,

leading to its decrease in fitness and frequency [21]. This was shown in our study where isolates with certain resistance mechanisms had slower growth rates when cultured alone without antibiotic stress as compared to strains with other susceptibility profiles (Table 2). Shin and Ko also identified a fitness cost in CTX-M producing *E. coli* when co-cultured with a non ESBL producing isolate from the same species [31]. In addition, AmpC and carbapenemase producing *E. coli* isolates were found to exhibit a reduced fitness cost in the presence of their parenteral isolates [32,33]. In our study,

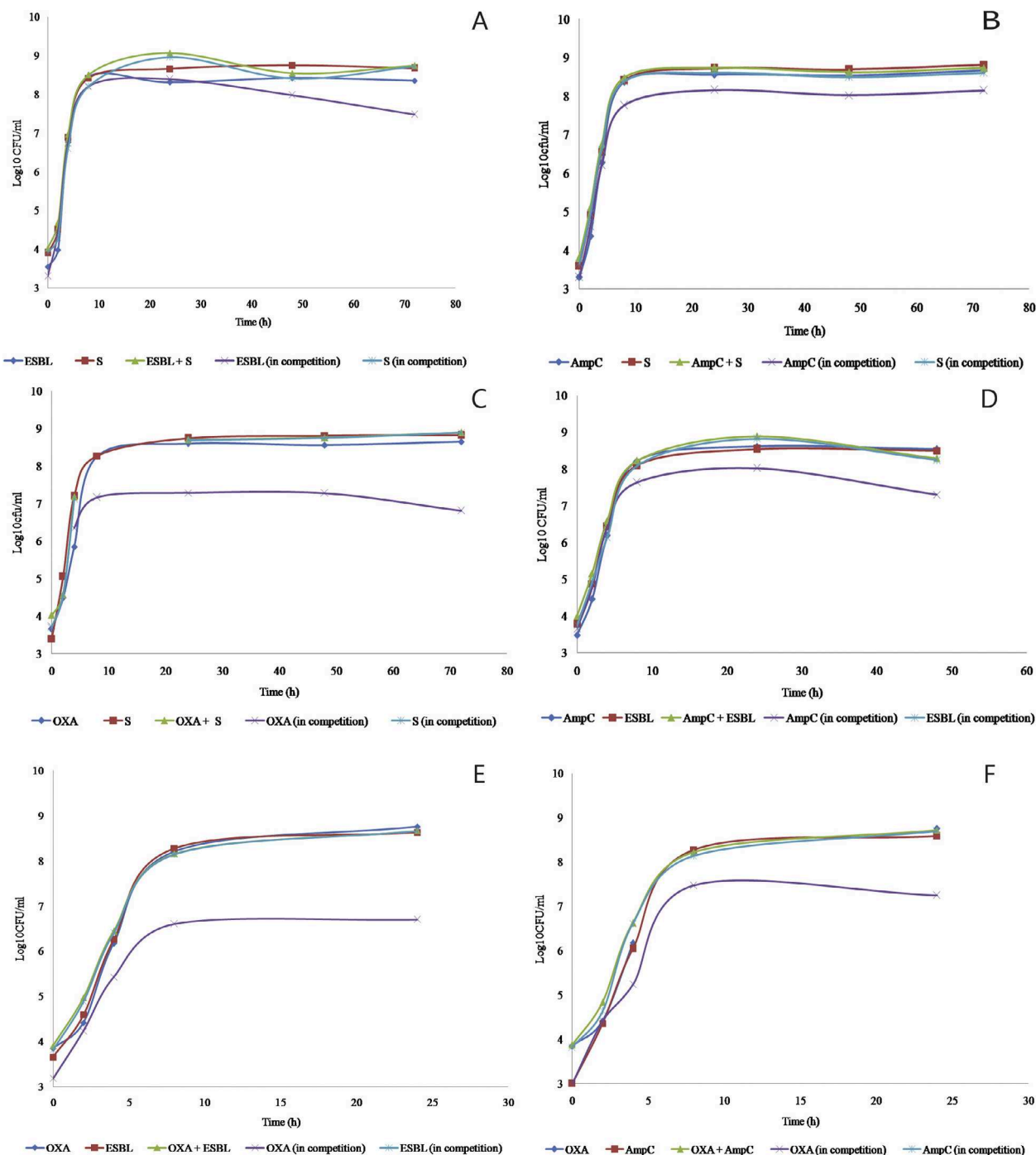


Fig. 1. (a) *In-vitro* competition assays between *E. coli* of different susceptibility profiles. Parts A, B, and C show the growth of *E. coli* isolates having one type of β -lactamase placed in competition with sensitive *E. coli* strains. Parts D, E, and F represent the competition assays between two different *E. coli* isolates producing different types of β -lactamases. (b) *In-vitro* competition assays between *E. coli* of different susceptibility profiles. Part G shows the competition assay between an AmpC producer, an ESBL producer and a sensitive *E. coli* strain. Part H shows the competition between three types of β -lactamase producing *E. coli* (OXA-48, AmpC and ESBL). Part I shows the *in-vitro* competition assay between an OXA-48 producer, AmpC producer, ESBL producer and a sensitive *E. coli* isolate.

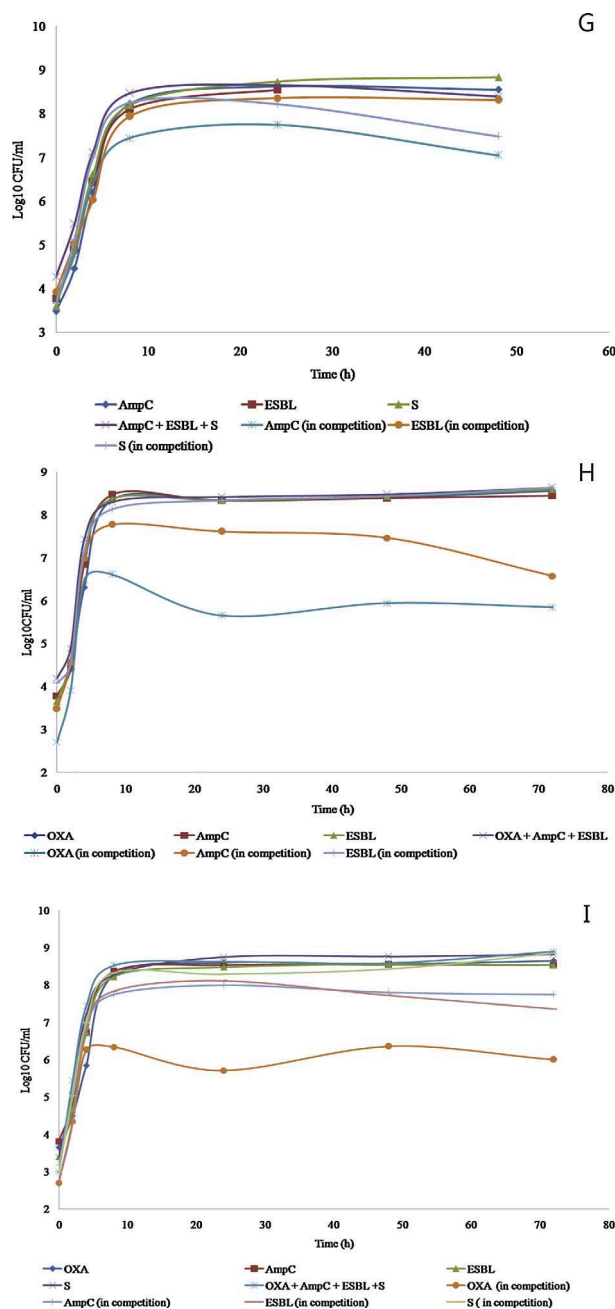


Fig. 1. (a) (Continued)

in accordance to what was previously published, ESBL, AmpC and OXA-48 producing *E. coli* isolates exhibited a slower growth rate in the presence of a sensitive strain of *E. coli*. When competition assays were done in presence of *E. coli* producing different types of beta-lactamases, a higher growth rate was exhibited by ESBL producers over AmpC and Oxa-48 producers, and a higher growth rate was shown by AmpC producers over Oxa-48 producers. This suggests that the type of the β -lactamase produced might affect direct or indirectly the ability of the isolate to grow in presence of another bacterium, affecting therefore bacterial fitness.

To the best of our knowledge, this is the first study to conduct competition assays on isolates of *E. coli* producing different types of β -lactamases. Our results indicate that, among the tested organisms, sensitive isolates of *E. coli* were found to be the least affected by the presence of other bacteria, and therefore, to compete the most in this context. Interestingly, a greater fitness cost could be

associated with the OXA-48 producing *E. coli*, followed respectively by the AmpC and ESBL producers. These findings could possibly be one of the reasons as to why ESBL harboring *E. coli* isolates are more frequently encountered in fecal samples than those producing AmpC and OXAs [19,20]. It also explains why MDR organisms were not consistently isolated from the same patient over time. Moreover, this could be a trigger for future studies that would explore the possibility of causing the resistant organisms to be lost from the gut flora by putting them in competition with susceptible ones.

In conclusion, high rate of MDROs were detected in fecal samples of elderly residents in a Lebanese nursing home. Moreover, resistance through the production of β -lactamases in *E. coli* seems to confer a fitness cost on the bacterium, as detected by our *in-vitro* competition assays. The specific type of β -lactamase results in a different fitness cost where OXA-48 seems to exert the greatest toll on the bacterial cell, and ESBLs the least. In view of the increased car-

Table 2

Growth rates and doubling times of all the used isolates. The growth rate and the doubling time of each isolate used in the *in-vitro* competition assay were calculated during the exponential phase in single cultures.

Isolate	Growth rate constant	Doubling time (min)
ESBL producers versus sensitive strain		
P4S5D122/2 (ESBL)	0.714	27.98
P4S5D122/1 (sensitive)	0.785	29.04
P5S5D122/1 (ESBL)	0.701	30.13
P5S5D122/2 (sensitive)	0.735	28.31
AmpC producers versus sensitive strain		
P6S5D122/1 (AmpC)	0.510	29.41
P6S5D122/2 (sensitive)	0.510	30.64
N48t (AmpC)	0.578	12.76
P9S4D90/1 (sensitive)	0.655	16.66
P6S5D122/1 (AmpC)	0.535	12.06
P4S5D122/1 (sensitive)	0.775	11.81
OXA-48 producer versus sensitive strain		
Z6t2 (OXA-48)	0.502	14.75
P9S4D90/1 (sensitive)	1.133	15.69
AmpC versus ESBL producers		
N48t (AmpC)	0.598	11.83
N36b (ESBL)	0.724	13.88
OXA-48 versus ESBL producers		
Z6t2 (OXA-48)	0.597	14.22
N31 (ESBL)	0.601	13.81
OXA-48 versus AmpC producers		
Z6t2 (OXA-48)	0.597	14.22
N48t (AmpC)	0.543	13.50
AmpC and ESBL producers with sensitive strain		
P6S5D122/1 (AmpC)	0.465	12.26
P4S5D122/2 (ESBL)	0.478	14.49
P4S5D122/1 (sensitive)	0.598	13.27
N48t (AmpC)	0.598	11.83
N36b (ESBL)	0.724	13.88
P9S4D90/1 (sensitive)	0.769	15.08
OXA-48, AmpC, and ESBL producers		
Z6t2 (OXA-48)	0.594	13.44
N48t (AmpC)	0.747	11.86
N31 (ESBL)	0.928	13.38
OXA-48, AmpC, and ESBL producers with sensitive strain		
Z6t2 (OXA-48)	0.502	14.75
N48t (AmpC)	0.742	13.93
N31 (ESBL)	0.810	14.39
P9S4D90/1 (sensitive)	1.140	15.69

riage of MDROs among members of the intestinal flora, our findings are important for the understanding of how these microorganisms interact with each other promoting or decreasing the spread of resistance in this environment. The systematic use of antimicrobial agents to eradicate or limit the spread of resistant organisms has many drawbacks and contributes to the collateral damage. In this context, the understanding of the composition of the normal flora and the different mechanisms of resistance can be a valuable tool in the decision whether to use an antibiotic or not in specific categories of patients. A bigger pool of patients and the inclusion of different types of bacteria could shed further light on this matter and future studies should include a bigger sample of patients in order to validate any conclusion.

Funding

This research was funded by the University of Balamand fund for research.

Competing interests

None declared.

Ethical approval

Not required.

References

- [1] Kang CI, Song JH. Antimicrobial resistance in Asia: current epidemiology and clinical implications. *Infect Chemother* 2013;45:22–31.
- [2] Jernberg C, Lofmark S, Edlund C, Jansson JK. Long-term impacts of antibiotic exposure on the human intestinal microbiota. *Microbiology* 2010;156:3216–23.
- [3] Rolain JM. Food and human gut as reservoirs of transferable antibiotic resistance encoding genes. *Front Microbiol* 2013;4:173.
- [4] Davies J, Davies D. Origins and evolution of antibiotic resistance. *Microbiol Mol Biol Rev* 2010;74:417–33.
- [5] Tenover FC. Development and spread of bacterial resistance to antimicrobial agents: an overview. *Clin Infect Dis* 2001;33:108–15.
- [6] Giedraitienė A, Vitkauskienė A, Naginienė R, Pavilonis A. Antibiotic resistance mechanisms of clinically important bacteria. *Medicina* 2011;47:137–46.
- [7] Denisuik AJ, Lagace-Wiens PRS, Pitout JD, Mulvey MR, Simmer PJ, Taylor F, et al. Molecular epidemiology of extended-spectrum β -lactamase, AmpC β -lactamase- and carbapenemase-producing *Escherichia coli* and *Klebsiella pneumoniae* isolated from Canadian hospitals over a 5 year period: CANWARD 2007–11. *J Antimicrob Chemother* 2013;68:57–65.
- [8] Falagas ME, Karageorgopoulos DE. Extended-spectrum β lactamase-producing organisms. *J Hosp Infect* 2009;73:345–54.
- [9] Jacoby GA. AmpC β lactamase. *Clin Microbiol Rev* 2009;22:161–82.
- [10] Evans BA, Amyes SGB. OXA β -lactamases. *Clin Microbiol Rev* 2014;27:241–63.
- [11] Sun Q, Tarnberg M, Zhao L, Lundborg CS, Song Y, Grape M, et al. Varying high levels of faecal carriage of extended- spectrum beta-lactamase producing *Enterobacteriaceae* in rural villages in Shandong, China: implications for global health. *PLoS One* 2014;9:e113121.
- [12] Zhao SY, Zhang J, Zhang YL, Wang YC, Xiao SZ, Gu FF, et al. Epidemiology and risk factors for fecal extended-spectrum β lactamase-producing *Enterobacteriaceae* (ESBL-E) carriage derived from residents of seven nursing homes in western Shanghai, China. *Epidemiol Infect* 2016;144:695–702.
- [13] Nakamura A, Komatsu M, Noguchi N, Ohno Y, Hashimoto E, Matsutani H, et al. Analysis of molecular epidemiologic characteristics of extended spectrum β -lactamase (ESBL)-producing *Escherichia coli* colonizing feces in hospital patients and community dwellers in a Japanese city. *J Infect Chemother* 2016;2:102–7.
- [14] Luvsansharav U, Hirai I, Niki M, Nakata A, Yoshinaga A, Yamamoto A, et al. Fecal carriage of CTX-M β lactamase-producing *Enterobacteriaceae* in nursing homes in the Kinki region of Japan. *Infect Drug Resist* 2013;6:67–70.
- [15] Valenza G, Nickel S, Pfeifer Y, Eller C, Krupa E, Lehner-Reindl V, et al. Extended-spectrum β lactamase-producing *Escherichia coli* as intestinal colonizers in the German community. *Antimicrob Agents Chemother* 2014;58:1228–30.
- [16] Valenza G, Nickel S, Pfeifer Y, Pietsch M, Voigtländer E, Lehner-Reindl V, et al. Prevalence and genetic diversity of extended-spectrum β lactamase (ESBL)-producing *Escherichia coli* in nursing homes in Bavaria, Germany. *Vet Microbiol* 2015. S0378-1135:30048-1.
- [17] Blom A, Ahl J, Mansson M, Resman F, Tham J. The prevalence of ESBL-producing *Enterobacteriaceae* in a nursing home setting compared with elderly living at home: a cross-sectional comparison. *BMC Infect Dis* 2016;16:111.
- [18] Hijazi SM, Fawzi MA, Ali FM, Abd El Galil KH. Prevalence and characterization of extended-spectrum beta-lactamases producing *Enterobacteriaceae* in healthy children and associated risk factors. *Ann Clin Microbiol Antimicrob* 2016;15:1–9.
- [19] Jallad MA, Naoufal R, Irani J, Azar E. Extended spectrum beta-lactamase carriage state among elderly nursing home residents in Beirut. *Sci World J* 2015;2015:1–7.
- [20] Dandachi I, Salem Sokhn E, Najem E, Azar E, Daoud Z. Carriage of beta-lactamase-producing *Enterobacteriaceae* among nursing home residents in north Lebanon. *Int J Infect Dis* 2016;45:24–31.
- [21] Melnyk AH, Wong A, Kassen R. The fitness costs of antibiotic resistance mutations. *Evol Appl* 2014;8:273–83.
- [22] Linkevicius M, Sandegren L, Andersson DI. Mechanisms and fitness costs of tetracycline resistance in *Escherichia coli*. *J Antimicrob Chemother* 2013;68:2809–19.
- [23] Clinical and Laboratory Standards Institute. Performance standards for antimicrobial susceptibility testing. Clinical and Laboratory Standards Institute, 34; 2014. p. 50–7.
- [24] Birgy A, Bidet P, Genel N, Doit C, Decre D, Arlet G, et al. Phenotypic screening of carbapenemases and associated beta-lactamases in carbapenem-resistant *Enterobacteriaceae*. *J Clin Microbiol* 2012;50:1295–302.
- [25] Helmy MM, Wasfi R. Phenotypic and molecular characterization of plasmid mediated AmpC beta-lactamases among *Escherichia coli*, *Klebsiella* spp., and *Proteus mirabilis* isolated from urinary tract infections in Egyptian hospitals. *BioMed Res Int* 2014;2014:1–8.
- [26] Van Dijk K, Voets GM, Scharringa J, Voskuil S, Fluit AC, Rottier WC, et al. A disc diffusion assay for detection of class A, B and OXA-48 carbapenemases in *Enterobacteriaceae* using phenyl boronic acid, dipicolinic acid and temocillin. *Clin Microbiol Infect* 2014;20:345–9.

- [27] Huang TD, Poirel L, Bogaerts P, Berhin C, Nordmann P, Glupczynski Y. Temocillin and piperacillin/tazobactam resistance by disc diffusion as antimicrobial surrogate markers for the detection of carbapenemase-producing *Enterobacteriaceae* in geographical areas with a high prevalence of OXA-48 producers. *J Antimicrob Chemother* 2014;69:445–50.
- [28] López-Rojas R, Domínguez-Herrera J, McConnell MJ, Docobo-Peréz F, Smani Y, Fernández-Reyes M, et al. Impaired virulence and in vivo fitness of colistin-resistant *Acinetobacter baumannii*. *Int J Infect Dis* 2011;203:545–8.
- [29] Hall BG, Acar H, Nandipati A, Barlow M. Growth rates made easy. *Mol Biol Evol* 2013;31:232–8.
- [30] Todar K. The growth of bacterial populations; 2014 www.textbookofbacteriology.net.
- [31] Shin J, Ko KS. Effect of plasmids harbouring blaCTX-M on the virulence and fitness of *Escherichia coli* ST131 isolates. *Int J Antimicrob Agents* 2015;46:214–8.
- [32] Subbiah M, Top EM, Shah DH, Call DR. Selection pressure required for long-term persistence of blaCMY-2-positive IncA/C plasmids. *J Appl Environ Microbiol* 2011;77:4486–93.
- [33] Göttig S, Riedel-Christ S, Saleh A, Kempf VAJ, Hamprecht A. Impact of blaNDM-1 on fitness and pathogenicity of *Escherichia coli* and *Klebsiella pneumoniae*. *Int J Antimicrob Agents* 2016;47:430–5.

Article 12

**Competition assays between ESBL-producing *E. coli* and *K. pneumoniae* isolates
collected from Lebanese elderly: An additional cost on fitness.**

Nourhane Hafza, Caren Challita, Iman Dandachi, Mounir Bousaab, Elias Dahdouh, Ziad
Daoud

Published in **Journal of Infection and Public Health**

Impact Factor: 2.118



Contents lists available at ScienceDirect

Journal of Infection and Public Health

journal homepage: <http://www.elsevier.com/locate/jiph>



Competition assays between ESBL-producing *E. coli* and *K. pneumoniae* isolates collected from Lebanese elderly: An additional cost on fitness

Nourhane Hafza^a, Caren Challita^b, Iman Dandachi^b, Mounir Bousaab^b, Elias Dahdouh^c, Ziad Daoud^{b,*}

^a Faculty of Sciences, University of Balamand, Lebanon

^b Faculty of Medicine, Clinical Microbiology Lab, University of Balamand, Lebanon

^c Faculty of Veterinary, Department of Animal Health, Universidad Complutense de Madrid, Madrid, Spain

ARTICLE INFO

Article history:

Received 9 June 2017

Received in revised form 13 August 2017

Accepted 9 September 2017

Keywords:

MDROs

Enterobacteriaceae

Fecal carriage

Fitness cost

Nursing homes

ABSTRACT

The dissemination of Multi Drug Resistant Organisms (MDROs) is one of the major public health problems addressed nowadays. High fecal carriage rates of MDR *Enterobacteriaceae* were reported from Lebanese nursing homes. Studies have shown that the acquisition of resistance genes by bacteria might confer a fitness cost detected as a decrease in the frequency of these bacteria as compared to sensitive isolates. In this study, the competitive growth of MDR *Enterobacteriaceae* isolated from elderly is assessed.

Sensitive and ESBL-producing *Escherichia coli* and *Klebsiella pneumoniae* isolates were identified. Interspecies *in-vitro* competition assays were conducted in different combinations.

ESBL-producing *K. pneumoniae* presented a fitness cost when competing against sensitive *E. coli*. On the other hand, resistant *E. coli* only showed a fitness cost when growing in presence of two sensitive *K. pneumoniae* isolates. These results suggest that ESBL-production genes in *E. coli* and *K. pneumoniae* may confer a fitness cost that leads to the decrease in frequency of these bacteria in interspecies competitions. Culturing bacteria in a medium with more diverse isolates can provide better insights into bacterial competition and resistance dynamics, which can be exploited in the search for alternative therapeutic approaches towards the colonization of resistant bacteria.

© 2017 The Authors. Published by Elsevier Limited on behalf of King Saud Bin Abdulaziz University for Health Sciences. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Introduction

The dissemination of Multi Drug Resistant Organisms (MDROs) is one of the major public health issues being addressed nowadays [1]. Infections with MDROs can lead to increased morbidity, mortality and health care costs [2]. In this context, members of the *Enterobacteriaceae* family have developed complex mechanisms of resistance, chiefly the production of extended spectrum beta lactamase (ESBLs), AmpC beta lactamases and carbapenemases; these enzymes provide the bacterium with resistance toward the majority of the therapeutic options available in the clinical market [3,4]. The antibiotic pipeline is drying up and no new antibiotics are seen

in the near future for the treatment of infections caused by these MDROs [2].

The human intestinal microbiota is currently recognized as an epicenter for gene resistance and horizontal gene transfer among bacterial species [5]. This is mainly due to the intestinal high exposure to antimicrobial agents driven by the over usage of antibiotics [6]; in addition to its rich abundance in nutrients, attachment sites and high cell density. The over usage of antibiotics drives a selective pressure that favors resistant bacteria over the sensitive ones; in addition, it creates a favorable environment for the transfer and development of resistance genes [6]. Accordingly, high fecal carriage levels of resistant *Enterobacteriaceae* were detected in the Lebanese community. For instance, a study examining carriage among children between 1 and 5 years old presented a rate of 24.8% [10]. Moreover, studies on Lebanese nursing homes revealed remarkably higher rates of fecal carriage of 71.6% and 76.5% in samples collected from Beirut and Tripoli, respectively [11,12]. Notably, these studies also reported that resistant isolates were not consistently retrieved from the patients at all the tested time points.

* Corresponding author at: Faculty of Medicine and Medical Sciences, Clinical Microbiology Laboratory, University of Balamand, P.O. Box 33, Amioun, Beirut, Lebanon.

E-mail addresses: ziad.daoud@balamand.edu.lb, daoudziad@gmail.com (Z. Daoud).

<https://doi.org/10.1016/j.jiph.2017.09.010>

1876-0341/© 2017 The Authors. Published by Elsevier Limited on behalf of King Saud Bin Abdulaziz University for Health Sciences. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Table 1
Primers used in this study.

Target(s)	Primer	Sequence (5' to 3')	Size (bp)
TEM	TEM-F	CGC CGC ATA CAC TAT TCT CAG AAT GA	445
	TEM-R	ACG CTC ACC GGC TCC AGA TTT AT	
SHV	SHV-F	CTT TAT CGG CCC TCA CTC AA	237
	SHV-R	AGG TGC TCA TCA TGG GAA AG	
OXA	OXA-F	ACA CAA TAC ATA TCA ACT TCG C	813
	OXA-R	AGT GTG TTT AGA ATG GTG ATC	
CTXM	CTXM-F	ATG TGC AGY ACC AGT AAR GTK ATG GC	593
	CTXM-R	TGG GTR AAR TAR GTS ACC AGA AYC AGC GG	

When present in an antibiotic free environment, studies have shown that the acquisition of resistance genes by bacteria interferes with their biological functions and might confer a fitness cost detected as a decrease in the frequency of these bacteria [7].

The hypothesis stating that the decreased and controlled antibiotic usage can lead to a reduction in intestinal carriage of bacterial resistance remains to be proved. Studies conducted in order to investigate the fitness alterations caused by the acquisition of antibiotics resistance genes were mainly targeting sensitive bacterial species and their resistant counterpart [8–10]. However, inter-species competitions are not given attention.

In this study, resistant *Enterobacteriaceae* isolated previously by Challita et al. [11] from fecal samples collected from elderly residing in nursing homes in North Lebanon were put in competition. Hence, the aim was to evaluate the fitness alterations conferred by the production of β -lactamases, more specifically ESBLs, in *Escherichia coli* and *Klebsiella pneumoniae* isolates through in-vitro competition assays between sensitive and resistant isolates. Fitness alterations conferred by the production of ESBL, in the presence of more than one competing sensitive strains was also investigated.

Methodology

Bacterial isolates

A total of 4 strains of *E. coli* and 4 strains of *K. pneumoniae* were used for the competitions assays. For *E. coli*, as well as for *K. pneumoniae*, the strains consisted of 2 sensitive and 2 ESBL producers. These strains were isolated from fecal swabs of elderly, residents in a nursing home situated in Tripoli, North of Lebanon.

Identification of chosen isolates was done using API 20E strips (BioMérieux). Antibiotic Susceptibility Testing was performed using the Kirby-Bauer diffusion technique. Interpretation of the results was performed according to the Clinical Laboratory Standards Institute (CLSI) guidelines (2014) [12]. For the determination of ESBL production, the Double Disk Synergy Test (DDST) was used. Briefly, in this test, a disk of amoxicillin-clavulanic acid was placed in the center between ceftazidime, cefepime and aztreonam disks on the surface of a Mueller Hinton Agar plate inoculated with the tested organism. The detection of a key-hole effect after an overnight incubation at 37°C was the phenotypic confirmation of ESBL production.

Multiplex PCR analysis for CTX-M, TEM, SHV and OXA genes detection

For the genotypic confirmation of beta lactamases production, a multiplex PCR was conducted. Universal primers, previously described were used for bla_{CTX-M}, bla_{TEM}, bla_{SHV} and bla_{OXA} genes (Table 1) [13]. DNA extraction was done by suspending 2 colonies of the test isolate in 200 μ L of sterile distilled water and heating the solution at 95°C for 10 min. Thereafter, a multiplex PCR was car-

ried on under the following reaction conditions: 15 min of initial denaturation step at 95°C, followed by 30 amplification cycles of 30 s at 94°C, 90 s at 62°C, and 60 s at 72°C, with a final extension step of 10 min at 72°C. Amplified PCR products were run on a 1.5% agarose gel at 130V for 1 h. DNA amplicons visualization was done using a digital Gel documentation system (Biorad).

In-vitro competition assays

In-vitro competition assays were performed as described previously [14]. Six different combinations were used: one sensitive *E. coli* and one sensitive *K. pneumoniae*; one ESBL-producing *E. coli* and one ESBL-producing *K. pneumoniae*; one sensitive *E. coli* and one ESBL-producing *K. pneumoniae*; one sensitive *K. pneumoniae* and one ESBL-producing *E. coli*; one ESBL-producing *K. pneumoniae* and two different sensitive *E. coli*; one ESBL-producing *E. coli* and two different sensitive *K. pneumoniae*. To note that assays were conducted in duplicates and taking into account all possible combinations within each group.

For each bacterial isolate used in single culture or in combination with another competing isolate, an initial inoculum of 0.5 McFarland (equivalent to 1.5×10^8 CFU/mL) was prepared; thereafter, 1:100 dilution in SDW was performed to reach a final concentration of 1.5×10^6 CFU/mL. In each combination, single cultures contained the *E. coli* or *K. pneumoniae* isolate alone in the medium, while the mixed cultures contained competing isolates all together. For single cultures, 1:10 serial dilutions in SDW were performed. Thereafter, 20 μ L from each dilution was spread on MHA agar plates in duplicates and incubated overnight at 37°C at each time point, including the moment of inoculation. For mixed cultures, same procedure was performed; however, 20 μ L from each dilution was spread on both MHA agar plates and selective MHA plate containing cefotaxime (2 μ g/mL). To note that the selective plates were only for the combinations including sensitive and resistant isolates; whereas those containing only sensitive or resistant strains in competition, the spread was done on Uriselect medium plates in order to differentiate between *E. coli* and *K. pneumoniae* competing isolates. At each time point, the OD₅₈₀ was measured. The concentrations of Colony Forming Units per mL (CFU/mL) of the original suspensions at each time point, was calculated by counting the viable colonies on the agar plates after overnight incubation at 37°C.

Furthermore, competition indexes (CI) were calculated from mixed cultures using the following formula at each time point:

$$\frac{\text{number of isolates (A) recovered/number of isolates (B) recovered}}{\text{number of isolates (A) inoculated/number of isolates (B) inoculated}}$$

“A” and “B” isolates are determined for each combination that was used individually [14]. Counts and ODs of single cultures were used for the calculations of growth rates and doubling times respectively [15,16].

Statistical analysis

IBM SPSS Statistics version 20.0 (IBM Corp., Armonk, NY, USA) was used for the qualitative and semi-quantitative analysis calculations.

Results

Genotypic detection of beta lactamase genes

Multiplex PCR analysis revealed that the 2 ESBL producing *E. coli* chosen in this study harbored the CTX-M and CTX-M/TEM genes respectively. Regarding the ESBL producing *K. pneumoniae* strains: one had the CTX-M, SHV and TEM genes while the second one contained all 4 genes: TEM, SHV, CTX-M and OXA. *E. coli*

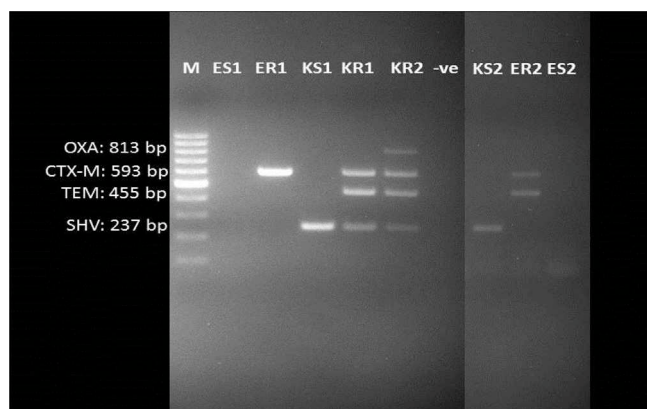


Fig. 1. Detection of beta lactamase genes: OXA, CTXM, SHV and TEM in *E. coli* and *K. pneumoniae* isolates used in this study. ES1/ES2 and ER1/ER2 correspond the sensitive and resistant *E. coli* isolates respectively. KS1/KS2 and KR1/KR2 correspond the sensitive and resistant *K. pneumoniae* isolates respectively. M is a 1.2-kb DNA ladder. Molecular size of the bands in question is indicated in parentheses on the right of the picture.

susceptible isolates were negative for beta lactamase genes while the *K. pneumoniae* ones harbored only the SHV gene (Fig. 1). SHV gene is universally present in *K. pneumoniae*, evolved first as a chromosomal gene encoding for naturally produced penicillinases in *Klebsiella* spp.; this gene have spread to other enterobacterial species through its incorporation into plasmids [17].

In-vitro competition assays

Competition between a sensitive *E. coli* and a sensitive *K. pneumoniae*

The competition indexes obtained from in vitro competition assays showed that the sensitive *K. pneumoniae* out-competed the sensitive *E. coli* isolate when grown in the same medium; this was suggested by a CI of 0.72 and 0.73 after 8 and 48 h respectively for the two sensitive *K. pneumoniae* strains tested.

Competition between an ESBL producing *E. coli* and an ESBL producing *K. pneumoniae*

After 8 h of incubation, no difference in growth of the ESBL producers was detected. However, after 24 h, ESBL producing *E. coli* out-competed the ESBL producing *K. pneumoniae*, represented by a CI bigger than 2.

Competition between an ESBL producing *E. coli* and one or two sensitive *K. pneumoniae*

When an ESBL producing *E. coli* was put in the same medium with one sensitive *K. pneumoniae* the following result was obtained: out of 4 combination possibilities performed, 2 showed no difference in growth between the competing isolates; whereas 2 showed competition indices (1.25 and 2.59 after 8 and 48 h respectively) favoring the ESBL producing *E. coli* compared to the sensitive *K. pneumoniae*. On the other hand, when the ESBL producing *E. coli* was in competition with two sensitive *K. pneumoniae* isolates, it showed a decrease in growth after 8 h of incubation, represented by a CI equal to 0.85.

Competition between an ESBL producing *K. pneumoniae* and one or two sensitive *E. coli*

When an ESBL producing *K. pneumoniae* was put in competition with one or two sensitive *E. coli* isolates, the CI was always in favor of the sensitive *E. coli* strains (CI <1 after 8 h). Representative graphs for all aforementioned results are presented in Fig. 2.

Table 2

Doubling times and growth rates of all used isolates. These were calculated during the exponential phase in single cultures.

Isolates	Doubling time (min)	Growth rate
<i>Sensitive E. coli</i> v/s sensitive <i>K. pneumoniae</i>		
<i>E. coli</i> (S1)	46.48	2.384
<i>K. pneumoniae</i> (S1)	45.08	2.158
<i>E. coli</i> (S2)	53.11	2.116
<i>K. pneumoniae</i> (S2)	47.21	2.423
<i>ESBL E. coli</i> v/s <i>ESBL K. pneumoniae</i>		
<i>E. coli</i> (R1)	46.93	2.662
<i>K. pneumoniae</i> (R1)	45.78	2.289
<i>E. coli</i> (R2)	35.93	2.191
<i>K. pneumoniae</i> (R2)	36.77	2.233
<i>ESBL K. pneumoniae</i> v/s sensitive <i>E. coli</i>		
<i>E. coli</i> (S1)	47.76	1.992
<i>K. pneumoniae</i> (R1)	39.38	2.252
<i>E. coli</i> (S2)	35.19	2.923
<i>K. pneumoniae</i> (R2)	40.58	2.375
<i>E. coli</i> (S2)	53.18	2.880
<i>K. pneumoniae</i> (R1)	55.11	2.545
<i>E. coli</i> (S1)	18.66	1.446
<i>K. pneumoniae</i> (R2)	27.33	1.236
<i>ESBL E. coli</i> v/s sensitive <i>K. pneumoniae</i>		
<i>K. pneumoniae</i> (S1)	45.32	2.110
<i>E. coli</i> (R1)	46.23	2.582
<i>K. pneumoniae</i> (S2)	38.84	2.329
<i>E. coli</i> (R2)	38.54	2.014
<i>K. pneumoniae</i> (S1)	40.45	2.888
<i>E. coli</i> (R2)	42.98	3.677
<i>K. pneumoniae</i> (S2)	41.22	2.122
<i>E. coli</i> (R1)	43.97	2.865
<i>ESBL K. pneumoniae</i> v/s two sensitive <i>E. coli</i>		
<i>E. coli</i> (S1)	30.45	2.761
<i>E. coli</i> (S2)	31.37	2.822
<i>K. pneumoniae</i> (R1)	45.98	1.471
<i>ESBL E. coli</i> v/s two sensitive <i>K. pneumoniae</i>		
<i>K. pneumoniae</i> (S1)	28.39	3.233
<i>K. pneumoniae</i> (S2)	27.12	2.818
<i>E. coli</i> (R1)	48.71	2.142

Growth rates

The doubling times and Growth rates calculated from single cultures of each isolate used are presented in Table 2. Overall, the results of growth rates and doubling times were compatible with the competition indices. Sensitive *K. pneumoniae* isolates had lower doubling time and higher growth rates compared to the sensitive *E. coli*. In addition, considering the in-vitro competition assays of ESBL producers and sensitive isolates; in these latter, except for one case (ESBL *E. coli* versus sensitive *K. pneumoniae*), sensitive *E. coli* and *K. pneumoniae* isolates had always a lower doubling time and a higher growth rate compared to the ESBL producer.

Discussion

The fecal carriage of MDROs has been thought as a risk factor for infections with limited therapeutic options and causing increased morbidity and health care costs [18]. Studies addressing this issue all agreed that the fecal carriage of resistant organisms is always dynamic i.e. variable over time [19]. One possible cause of this dynamicity is that resistance genes when acquired by a bacterium, temper with the normal growth and confer a fitness cost for the hosting organism [20]. Among other factors, the fitness cost is manifested by an increased doubling time and a lowered growth rate. These properties can be inferred when culturing resistant isolates alone and in the presence of sensitive strains [7]. While many studies addressed this issue by performing in-vitro competition assays between sensitive and resistant isolates of the same species, inter species competitions has been given little attention. Our study

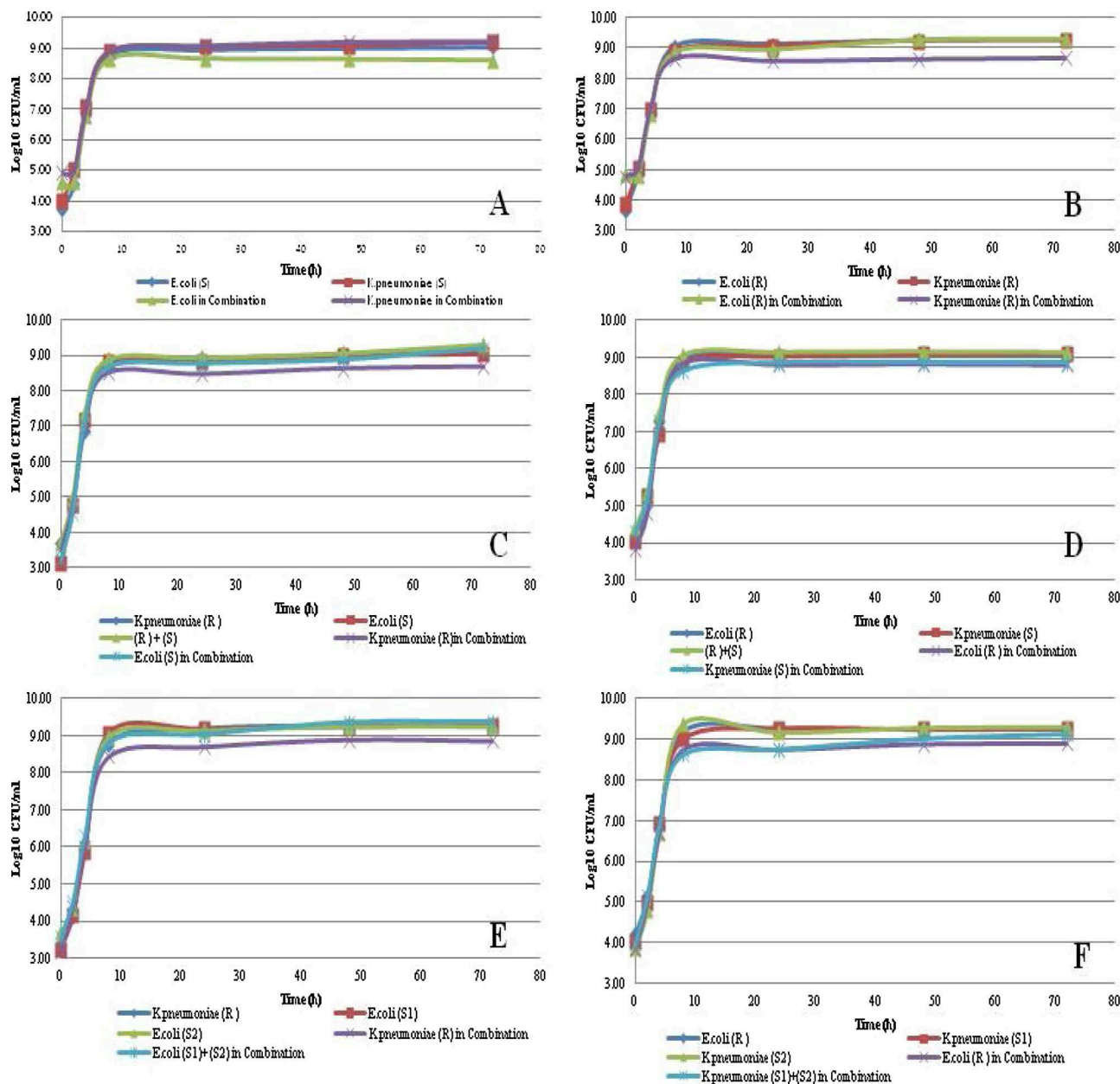


Fig. 2. In vitro competition assays between (A) sensitive *E. coli* and *K. pneumoniae* (B) ESBL-producing *E. coli* and *K. pneumoniae* (C) (E) ESBL-producing *K. pneumoniae* with one and two sensitive *E. coli* respectively (D) (F) ESBL-producing *E. coli* with one and two sensitive *K. pneumoniae* respectively.

has shown that when present in the same medium, sensitive *K. pneumoniae* is more fit than its *E. coli* counterpart. However, when both are ESBL producers, *E. coli* is the out-competitor. This phenomenon can have two explanations: first is that the acquisition of ESBL genes induces a fitness advantage in *E. coli* while it causes a fitness cost in *K. pneumoniae*. Second, as shown in Fig. 1, ESBL producing *K. pneumoniae* isolates harbored more beta lactamase genes than the ESBL producing *E. coli* strains. Whether a higher number of resistance genes can cause a higher fitness cost in the hosting bacterium remains to be tested on a larger number of samples containing a wider variety of resistance genes. On the other hand, the ESBL producing *E. coli* showed also a fitness advantage when competed with a sensitive *K. pneumoniae*. This in part shows that an ESBL producing *E. coli* has a fitness advantage in the presence of one *K. pneumoniae* isolate whether this latter is an ESBL producer or not. However, when present with more than one sensitive *K. pneumoniae* isolate (Fig. 1C), it pays a fitness cost depicted

by significantly higher doubling time and growth rate. Therefore, it can be deduced that not only the resistance characteristics of the competitor strains are important but also their numbers in the medium.

Regarding the competition assay involving ESBL producing *K. pneumoniae* and sensitive *E. coli* isolates, our results showed that the sensitive strains are always out-competing resistant isolates, even if these latter belong to different species. Given the fact that antibiotics target essential bacterial functions, it seems plausible that newly acquired bacterial resistance, which results from alterations of cellular functions and enzymes production, imposes changes on bacterial fitness; hence, inducing alterations in competition outcomes [7]. One study conducted by Linkevicius et al has shown that competition assays between wild type *E. coli* and tigeicycline resistant isolates harboring mutations in the efflux regulatory network (ERN) *lon* and *marR* genes, and LPS genes had 13%, 0.3% and 24% fitness decrease for *lon*, *marR* and LPS mutants respec-

tively [21]. As for carbapenem resistance genes, plasmid-mediated NDM-1 exhibits a fitness reduction in *K. pneumoniae* and *E. coli* [8] and VIM-2 in *Salmonella enteric* [22] when cultured with their sensitive counterparts. Recently, a study conducted by Challita et al. showed that among different beta lactamase producing *E. coli*; OXA-48 producers exhibited the greater fitness cost, followed by AmpC then ESBL producers as compared to the sensitive isolates when co-cultured altogether [11].

In conclusion, to the best of our knowledge, our study is the first to expose two different gastro-intestinal tract colonizers in inter-species competitions. Increasing the number of studied isolates, in addition to the usage of additional types of bacterial species in competition would mimic the diverse composition of intestinal normal flora and gives better insights about bacterial competition and resistance dynamics. Furthermore, in accordance with other previous studies, it became plausible that a possible way of managing GIT colonization with ESBL producers is the reduction and controlled usage of antibiotics. Alternatively, another suggested employment of these findings may be the application of “Fecal Microbiota Transplantation” (FMT). Since antibiotics consumption disrupts the GIT microbiota and enables opportunistic pathogens to cause infections, FMT from a healthy donor can re-establish the normal flora by competition [23].

Funding

This research was funded by the University of Balamand fund for research and the CNRS Lebanon by the GRP grant.

Competing interests

None declared.

Ethical approval

Not required.

References

- [1] Kang CI, Song JH. Antimicrobial resistance in Asia: current epidemiology and clinical implications. *Infect Chemother* 2013;45(March(1)):22–31.
- [2] Chabok A, Tarnberg M, Smedh K, Pahlman L, Nilsson LE, Lindberg C, et al. Prevalence of fecal carriage of antibiotic-resistant bacteria in patients with acute surgical abdominal infections. *Scand J Gastroenterol* 2010;45(October(10)):1203–10.
- [3] Falagas ME, Karageorgopoulos DE. Extended-spectrum β -lactamase-producing organisms. *J Hosp Infect* 2009;73(December(4)):345–54.
- [4] Giedraitiene A, Vitkauskienė A, Naginiene R, Pavilonis A. Antibiotic resistance mechanisms of clinically important bacteria. *Medicina (Kaunas)* 2011;47(3):137–46.
- [5] Huddlestone JR. Horizontal gene transfer in the human gastrointestinal tract: potential spread of antibiotic resistance genes. *Infect Drug Resist* 2014;7(June):167–76.
- [6] Davies J, Davies D. Origins and evolution of antibiotic resistance. *Microbiol Mol Biol Rev* 2010;74(September(3)):417–33.
- [7] Melnyk AH, Wong A, Kassen R. The fitness costs of antibiotic resistance mutations. *Evol Appl* 2015;8(March(3)):273–83.
- [8] Gottig S, Riedel-Christ S, Saleh A, Kempf VA, Hamprecht A. Impact of blaNDM-1 on fitness and pathogenicity of *Escherichia coli* and *Klebsiella pneumoniae*. *Int J Antimicrob Agents* 2016;47(June(6)):430–5.
- [9] Sun Z, Jiao X, Peng Q, Jiang F, Huang Y, Zhang J, et al. Antibiotic resistance in *Pseudomonas aeruginosa* is associated with decreased fitness. *Cell Physiol Biochem* 2013;31(2–3):347–54.
- [10] Nielsen KL, Pedersen TM, Udekuvu KI, Petersen A, Skov RL, Hansen LH, et al. Fitness cost: a bacteriological explanation for the demise of the first international methicillin-resistant *Staphylococcus aureus* epidemic. *J Antimicrob Chemother* 2012;67(June(6)):1325–32.
- [11] Challita C, Dahdouh E, Attieh M, Dandachi I, Ragheb E, Taoutel R, et al. Fecal carriage of MDROs in a population of Lebanese elderly: dynamics and impact on bacterial fitness. *J Infect Public Health* 2017 <http://dx.doi.org/10.1016/j.jiph.2016.11.004>.
- [12] Performance standards for antimicrobial susceptibility testing, 24th informational supplement. Document M100-S24 [Internet]; 2014. Available from: http://ncipd.org/control/images/NCIPD_docs/CLSI_M100-S24.pdf.
- [13] Fang H, Ataker F, Hedin G, Dornbusch K. Molecular epidemiology of extended-spectrum beta-lactamases among *Escherichia coli* isolates collected in a Swedish hospital and its associated health care facilities from 2001 to 2006. *J Clin Microbiol* 2008;46(February(2)):707–12.
- [14] Lopez-Rojas R, Dominguez-Herrera J, McConnell MJ, Docobo-Perez F, Smani Y, Fernandez-Reyes M, et al. Impaired virulence and in vivo fitness of colistin-resistant *Acinetobacter baumannii*. *J Infect Dis* 2011;203(February(4)):545–8.
- [15] Hall BC, Acar H, Nandipati A, Barlow M. Growth rates made easy. *Mol Biol Evol* 2014;31(January(1)):232–8.
- [16] Kenneth Todar. The Growth of Bacterial Populations, In: *Todar's Online Book of Bacteriology*, page 1 to 4. <http://textbookofbacteriology.net/growth.html>.
- [17] Doosti A, Pourabbas M, Arshi A, Chehelgerdi M, Kabiri H. TEM and SHV genes in *Klebsiella pneumoniae* isolated from cockroaches and their antimicrobial resistance pattern. *Osong Public Health Res Perspect* 2015;6(February(1)):3–8.
- [18] Jallad MA, Naoufal R, Irani J, Azar E. Extended spectrum beta-lactamase carriage state among elderly nursing home residents in Beirut. *Sci World J* 2015;2015:987580.
- [19] Dandachi I, Salem Sokhn E, Najem E, Azar E, Daoud Z. Carriage of beta-lactamase-producing Enterobacteriaceae among nursing home residents in north Lebanon. *Int J Infect Dis* 2016;45(February):24–31.
- [20] Vogwill T, MacLean RC. The genetic basis of the fitness costs of antimicrobial resistance: a meta-analysis approach. *Evol Appl* 2015;8(March(3)):284–95.
- [21] Linkevicius M, Anderssen JM, Sandegren L, Andersson DI. Fitness of *Escherichia coli* mutants with reduced susceptibility to tigecycline. *J Antimicrob Chemother* 2016;71(May(5)):1307–13.
- [22] Cordeiro NF, Chabalgoity JA, Yim L, Vignoli R. Synthesis of metallo-beta-lactamase VIM-2 is associated with a fitness reduction in *Salmonella enterica* Serovar Typhimurium. *Antimicrob Agents Chemother* 2014;58(November(11)):6528–35.
- [23] Rohlfke F, Stollman N. Fecal microbiota transplantation in relapsing *Clostridium difficile* infection. *Ther Adv Gastroenterol* 2012;5(November(6)):403–20.

Chapter VI conclusion

The prevalence of multi-drug resistant organisms in the nursing homes of north Lebanon is elevated (1). The fecal carriage appears to be dynamic and significantly associated with a recent antibiotic intake (2). Infection control measurement including the screening of newly admitted residents for multi-drug resistance is needed in these settings. Furthermore, antibiotic stewardship programs are crucial to control the over-use of antibacterial agents in these areas.

References

1. **Dandachi I, Salem Sokhn E, Najem E, Azar E, Daoud Z.** Carriage of beta-lactamase-producing Enterobacteriaceae among nursing home residents in north Lebanon. *Int J Infect Dis.* 2016 Feb 17;45:24-31.
2. **Jallad MA, Naoufal R, Irani J, Azar E.** Extended spectrum beta-lactamase carriage state among elderly nursing home residents in Beirut. *ScientificWorldJournal.* 2015;2015:987580.

CONCLUSION AND FUTURE PERSPECTIVES

For many years, multi-drug resistant organisms were thought to be confined to the hospital settings (1). However, recent studies have demonstrated the presence of an external reservoir of resistance in “animal sector” from which multi-drug resistant organisms can be transferred to humans (1). ESBL, carbapenem and colistin resistant Gram-negative bacilli are nowadays heavily reported in livestock worldwide (2) (3). The zoonotic transmission of multi-drug resistant organisms is sparked by the concern of causing infections with limited therapeutic options (4). The first step toward controlling the diffusion and emergence of resistance in animals is by determining the extent of the dissemination of multi-drug resistant organisms in a country via surveillance studies; then deciphering the driver factors that have contributed to the observed situation and for which infection control measures will be implemented accordingly.

In Lebanon, the extent of ESBL and colistin resistant organisms’ dissemination in food producing animals was unknown. This research work provides an original description on the current epidemiology of ESBL and ampC producing Gram-negative bacilli in chicken and pigs over the Lebanese territory. Furthermore, this work reports for the first time in this country the detection of *mcr-1* in poultry, swine, feed, litter but also in farmers. Previous studies In Lebanon targeted mainly the prevalence of beta lactamase producers in the clinical settings (5). On the other hand, the mechanism of colistin resistance was described by a single study that reported the detection of three colistin resistant *K. pneumoniae* in a hospital in Beirut; colistin resistance in these latter where due to mutations in the *phoP/Q*, *pmrA/B* and *mgrB* genes (6). ESBL/ampC producing Gram-negative bacilli are heavily disseminated in poultry and swine. Over a two years period, the prevalence of ESBL producers has increased significantly by 32% in the south of Lebanon. A significant increase was also observed at the level of CTX-M and TEM genes. The detection of different sequence types in addition to the random distribution of isolated strains in the MSP dendrogram reveals a multi-clonal dissemination of multi-drug resistant organisms and suggests rather the diffusion of plasmids carrying resistance genes. Gentamicin and colistin are among the most common antibiotics administered for poultry in Lebanon. Personal communication with a worker in one of the visited swine farms revealed that enrofloxacin is given for pigs. Indeed, it has been suggested that unregulated use of antibiotics is the main driver for the emergence of resistance in animals (7). However, unfortunately, other factors are involved such as poor sanitation and crowding (8). In Lebanon, this mostly applies to the swine farms where we have found during our surveillance poor feed quality, questionable hygienic measures and waste management.

Studies have shown that contaminated waste water, soils, air dust and feed are all possible routes of resistance transmission from animals to their surroundings and vice versa (9).

The detection of beta lactamase and *mcr-1* positive Gram-negative bacilli in the chicken feed in Lebanon is questionable. Are these due to contamination from the housing environment or that antibiotics are hiddenly used as feed additives? In the literature, it has been suggested that the detection of bacteria resistant to antibiotics used as feed additives in animals is a possible evidence that antibiotics use as growth promoters is a contributor of the emergence and dissemination of multi-drug resistance in food producing animals (10). An example for this is the use of avoparcin (a vancomycin analogue not used in humans) as a feed additive in livestock. The use of this antibiotic as a growth promoter in animals was associated with the emergence and dissemination of vancomycin resistant Enterococci (VRE) in humans in Europe in the early and mid-1990s (11). As a consequence, European Union banned avoparcin administration for animals in 1995 (11). Thereafter, surveillance studies have shown that avoparcin ban was accompanied with a significant decrease in the prevalence of vancomycin resistant Enterococci in animals and subsequently in humans (11). This is unlike the US, where avoparcin use in the veterinary sector continued and as a result no change in the dissemination of VRE has occurred. A recent review paper conducted by O'Driscoll et al in 2015 showed that the prevalence of VRE is significantly lower in Europe compared to the one in the US and Latin America (12). Therefore, in Lebanon, in view of the heavy dissemination of *mcr-1* strains observed during our investigations, it becomes crucial to ban colistin use in animals. This will definitely lead to a decrease in the prevalence of *mcr-1* in the territory as the aforementioned experience of avoparcin ban in Europe has shown. Colistin ban and control of antibiotic usage in the Lebanese veterinary sector can be compensated in the future by the use of vaccines against the most common bacteria causing infections in the Lebanese Livestock. This could be achieved by first conducting surveillance studies on resistant bacteria in diseased animals. By knowing the most common bacterial agents causing infections in the Lebanese farm animals with their profiles of resistance; vaccines against these latter can then be implemented. One example could be the use of the ASN-4 monoclonal antibody against *mcr-1* E. coli (13). Guachalla et al showed that ASN-4 retained its bactericidal activity against positive *mcr-1* ST131 E. coli strains as compared to the *mcr-1* negative ones (13).

Moreover, as previously reported for humans (14), unfortunately antibiotics are not the sole contributors to the emergence of resistance in animals; indeed colistin ban in Lebanon should be accompanied by the implementation of strict infection control measures in farm animals.

Farm's owners and workers should be trained to ensure continuous proper disinfected areas. Disinfection of farmers' boot upon entry and exit from the chicken house in addition to gloves wear, devoted clothing and footwear are warranted. Furthermore, risk factors associated with the acquisition of colistin resistance in animals in Lebanon, beside colistin use and hygienic measures should be explored in future studies.

In conclusion, our work has contributed to a better knowledge of the epidemiology and risk factors of the acquisition of multi-drug resistant bacteria in animals in Lebanon. In the "one health" concept this work re-emphasizes the need to have global intervention measures to avoid dissemination of antibiotic resistance in humans, animals and environment.

.

References

1. **de Been M, Lanza VF, de Toro M, Scharringa J, Dohmen W, Du Y, et al.** Dissemination of cephalosporin resistance genes between *Escherichia coli* strains from farm animals and humans by specific plasmid lineages. *PLoS Genet.* 2014 Dec 18;10(12):e1004776.
2. **Bui Thi Kim N, Bui Thi Mai H, Ueda S, Le Danh T, Yamamoto Y, Hirai I.** Potential Transmission Opportunity of CTX-M-producing *Escherichia coli* in Large-scale Chicken Farm in Vietnam. *J Glob Antimicrob Resist.* 2017 Oct 10.
3. **Grami R, Mansour W, Mehri W, Bouallegue O, Boujaafar N, Madec JY, et al.** Impact of food animal trade on the spread of *mcr-1*-mediated colistin resistance, Tunisia, July 2015. *Euro Surveill.* 2016;21(8):30144,7917.ES.2016.21.8.30144.
4. **Bettioli E, Harbarth S.** Development of new antibiotics: taking off finally? *Swiss Med Wkly.* 2015 Jul 31;145:w14167.
5. **Baroud M, Dandache I, Araj GF, Wakim R, Kanj S, Kanafani Z, et al.** Underlying mechanisms of carbapenem resistance in extended-spectrum beta-lactamase-producing *Klebsiella pneumoniae* and *Escherichia coli* isolates at a tertiary care centre in Lebanon: role of OXA-48 and NDM-1 carbapenemases. *Int J Antimicrob Agents.* 2013 Jan;41(1):75-9.
6. **Okdah L, Leangapichart T, Hadjadj L, Olaitan AO, Al-Bayssari C, Rizk R, et al.** First report of colistin-resistant *Klebsiella pneumoniae* clinical isolates in Lebanon. *J Glob Antimicrob Resist.* 2017 Jun;9:15-6.
7. **Roess AA, Winch PJ, Akhter A, Afroz D, Ali NA, Shah R, et al.** Household Animal and Human Medicine Use and Animal Husbandry Practices in Rural Bangladesh: Risk Factors for Emerging Zoonotic Disease and Antibiotic Resistance. *Zoonoses Public Health.* 2015 Nov;62(7):569-78.
8. **Aliyu AB, Saleha AA, Jalila A, Zunita Z.** Risk factors and spatial distribution of extended spectrum beta-lactamase-producing- *Escherichia coli* at retail poultry meat markets in Malaysia: a cross-sectional study. *BMC Public Health.* 2016 Aug 2;16:699,016-3377-2.
9. **Laube H, Friese A, von Salviati C, Guerra B, Kasbohrer A, Kreienbrock L, et al.** Longitudinal monitoring of extended-spectrum-beta-lactamase/AmpC-producing *Escherichia coli* at German broiler chicken fattening farms. *Appl Environ Microbiol.* 2013 Aug;79(16):4815-20.

10. **Chattopadhyay MK.** Use of antibiotics as feed additives: a burning question. *Front Microbiol.* 2014 Jul 2;5:334.
11. **Marshall BM, Levy SB.** Food animals and antimicrobials: impacts on human health. *Clin Microbiol Rev.* 2011 Oct;24(4):718-33.
12. **O'Driscoll T, Crank CW.** Vancomycin-resistant enterococcal infections: epidemiology, clinical manifestations, and optimal management. *Infect Drug Resist.* 2015 Jul 24;8:217-30.
13. **Guachalla LM, Ramoni K, Varga C, Mutti M, Ghazawi A, Pal T, et al.** Retained activity of an O25b specific monoclonal antibody against Mcr-1 producing *Escherichia coli* ST131. *Antimicrob Agents Chemother.* 2018 Apr 23.
14. **Olaitan AO, Morand S, Rolain JM.** 2016. Emergence of colistin-resistant bacteria in humans without colistin usage: A new worry and cause for vigilance. *Int J Antimicrob Agents* 47(1):1-3.

ACKNOWLEDGMENTS

First of All, I would like to dedicate my sincere gratitude to my supervisor Pr. Ziad Daoud, for his guidance and continuous support through my PhD studies. Since my first steps in my master studies, you were always the professor who pushed me to learn, work and achieve my best. Moreover, you were the father who always motivated me, supported me, and, more importantly, lifted me up when I fell during my whole PhD journey. You taught me research, but you also taught me life. I am indeed blessed to be your student for both my Master and PhD studies.

Additionally, my sincere thanks go to my mentor Pr. Jean-Marc Rolain. Being in your team and one of your students was an honor. From you, I learned a lot in the research field. Thank you for your guidance, patience and the support you gave through this journey. I would also like to thank my thesis committee members Pr. Isabelle Kempf, Pr. Ghassan Mattar, Pr. Claude Afif, and Pr. Philippe Colson for their interest in my research work.

When I first started my master studies, I have never imagined that I would live this day, the day of finalizing my PhD manuscript for my thesis defense. Actually, I started really seeking this dream when I knew my colleague and brother Dr. Elias Dahdouh. Thank you Dahdouh for building this dream with me and for always being there no matter what the circumstances are.

Furthermore, I would like to take this opportunity and express my deepest love and gratitude to my best friend and sister Diana Eter, who was always with me through the ups and downs of this educational journey. I am so blessed to have the best caring, supportive and never changing friend like you. The same goes to Dima Malak, Mariam El Hassan, and Sara Balto.

I would also like to thank deeply my dear friend and colleague Linda Hadjadi, who was a caring friend and guide in the Pr. JMR team. Similarly, many thanks go to Dr. Liliane

Okdah and Dr. Tania Nawfal Daguer, Adele, Jamal, Hussein and Fatima for being my support in France, and making me feel like I have a home away from my family. Equally, I would like to thank Dr.Dienne, Thongpan Leangapichart, Rym, Selma, Hanan, Fatima, Sophie, Haytham, Mouna, Sabrina, Lotfi and to all the JMR team for your precious friendships and the beautiful memories of laughter that we had together.

Last but not least, I would like to convey my deepest love, gratitude, recognition and pride to the two people who aided me in growing up, to the eyes that looked after me days and nights, to the unconditional love, to my everything in this life “MOM and DAD”. Without you I am nothing. I came to this life bare, you made me the person I am today, and I am proud to say that I am the result of your own making. No words in this world can ever express my love and gratitude towards you. Besides, my parents gave me three gifts in this life, my lovely sisters Mariam, Ayah and Rama. You are my joy, happiness, and life protectors. My dear sisters you are always the reason behind my smile, strength and intention. I love you endlessly.

